# **Patrice Stalvig**

Subject: Attachments: RFQ 20-99150 Harrison Stair Removal REBID Complete RFQ as Attachment 20-99150 REBID.pdf

To All Interested Bidders:

The City of Duluth is requesting quotes to remove a stairwell and entrance to Harrison Community Center building. Due to the estimated dollar value of this procurement, formal sealed bids are not required.

Not less than the minimum salaries and prevailing wages as set forth in the attached documents must be paid on this project. The awarded contractor will be required to provide proof of insurance meeting the city's requirements prior to commencement of work. If the cost of the project is over \$25,000, the contractor will be required to sign the city standard construction contract and performance and payment bonds will be required. If the cost exceeds \$50,000, a Responsible Contractor Form must be submitted with the bid. All of these documents can be reviewed on the city purchasing website at <a href="https://www.duluthmn.gov/purchasing/forms/">https://www.duluthmn.gov/purchasing/forms/</a>

A complete copy of the RFQ, including plans, responsible contractor form, prevailing wage decision, general conditions, and a bid sheet are attached.

All construction projects must adhere to the 2019 City of Duluth, Minnesota Construction Standards. The Standards, and other standard construction documents, may be found online at <a href="https://www.duluthmn.gov/engineering/standard-construction-specifications/">https://www.duluthmn.gov/engineering/standard-construction-specifications/</a>.

Do not use suspended or debarred parties as subcontractors or material suppliers on this project.

Please e-mail your quote to <u>purchasing@duluthmn.gov</u>. Reference the RFQ number (20-99150) and the Project Title in the subject line of your e-mail. If you are unable to complete the form electronically, you may still submit a quote. Please call the purchasing office at 218-730-5340 and we will provide you with a hard copy form that you can drop off or return by mail.

# All quotes, whether submitted by e-mail, postal mail, or in person, must be submitted no later than 2:00 PM on Thursday, March 12, 2020.

The City reserves the right to waive any informalities, and to reject any or all quotes. All bidders will be notified via e-mail once the quotes have been evaluated.

If you have any questions regarding this email, please call the Purchasing Office at 218-730-5340, or e-mail to <u>purchasing@duluthmn.gov</u>. Please reference RFQ number 20-99150 in your subject line.

Thank you -

Patti Stalvig, CPSM Senior Buyer

# City of Duluth – Purchasing

411 West First Street, Room 120 Duluth, MN 55802 <u>www.duluthmn.gov</u> 218-730-5002 <u>pstalvig@duluthmn.gov</u>





# REQUEST FOR QUOTES (SIMPLIFIED BID) 20-99150 HARRISON COMMUNITY CENTER STAIR REMOVAL

## QUOTES DUE THURSDAY, MARCH 12, 2019 AT 2:00 PM

**PROJECT DESCRIPTION:** Remove subterranean stairwell, exterior door and frame, and infill at Harrison Community Center, 3002 West 3<sup>rd</sup> Street, Duluth, MN 55806.

**PRE-BID MEETING:** No pre-bid meeting will be held. Bidders are encouraged to visit the site on their own.

**WORK SCHEDULE:** Bidders shall provide an estimated start date along with their quote. Work can begin as soon as weather allows. Once started, work on the project must progress continuously as weather allows.

**QUESTIONS:** Please submit any questions regarding this project via e-mail to <u>purchasing@duluthmn.gov</u>. Responses will be provided in the form of an addendum.

# \*\*Be sure to prepare your quote based on paying prevailing wages, securing performance and payment bonds if required, and obtaining the required insurance\*\*

Quotes may be e-mailed to purchasing@duluthmn.gov, mailed to the Purchasing Office, City Hall, 411 West 1st Street, Room 120, Duluth, MN 55802 or dropped off in person at the same address. Please put RFQ # 20-99150 in the subject line of your e-mail or on the envelope if mailing/dropping off.

The City of Duluth reserves the right to split the award where there is a substantial savings to the City, to waive informalities and to reject any and/or all quotes. Price may not be the only consideration for award. Quotes must be firm for a minimum of 60 days. Prevailing wages must be paid on this project. The most recent wage decision is attached.

#### The following documents must be submitted with your quote:

1. **Responsible Contractor (required if your quote is \$50,000 or more)** - No construction contract in excess of \$50,000 will be awarded unless the Bidder is a "responsible contractor" as defined in Minnesota Statute §16C.285, subdivision 3. All Bidders submitting a quote for this project must verify that they meet the minimum criteria specified in the statute by submitting a Responsible Contractor Verification and Certification of Compliance form with their quote. The owner or officer of the company must sign the form under oath verifying compliance with each of the minimum criteria. Bidders must obtain verification of compliance from all subcontractors. Bidders must submit signed copies of verifications and certifications of compliance from subcontractors at the City's request.

# The awarded supplier/contractor will be required to submit the following forms before a contract will be executed. Copies of standard contracts and forms are available for view and download on the City website at https://www.duluthmn.gov/purchasing/forms/:

1. **Insurance** – Contractor must provide proof of Public Liability (General Commercial), Automobile Liability, and Worker's Compensation insurance meeting City requirements. The insurance requirements are listed in section 7 of the draft construction contract.

2. **Affidavit of Non-Collusion** – The successful bidder shall be required to execute an affidavit stating that he/she has not entered into a collusive agreement with any other person, firm, or corporation in regard to any bid submitted.

3. **Performance & Payment Bonds (required for quotes over \$25,000) -** The awarded contractor will be required to submit performance and payments bonds in the full amount of the project cost prior to award.

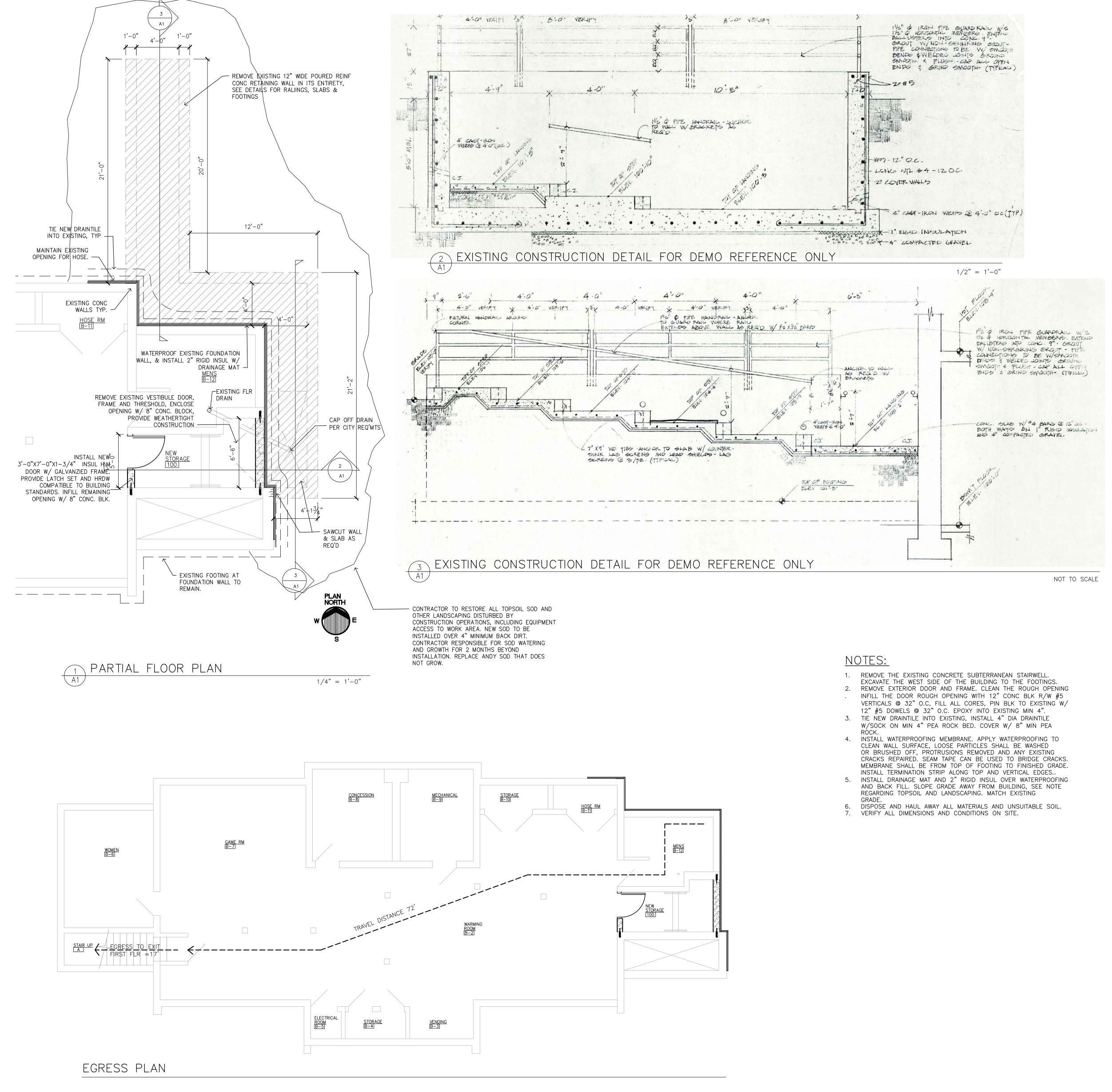
4. **Affirmative Action/EEO** - The contractor must take affirmative action to ensure that the employees and applicants for employment are not discriminated against because of their race, color, creed, sex or national origin, and must meet the affirmative action goals. Contractors are encouraged to subcontract with Disadvantaged Business Enterprises (DBEs) when possible. A current list of certified DBEs is available on the Minnesota Unified Certification website at http://mnucp.metc.state.mn.us . Contractor will comply with all applicable Equal Employment Opportunity laws and regulations. Awarded contractor must submit an Equal Employment Opportunity (EEO) Affirmative Action Policy Statement & Compliance Certificate.

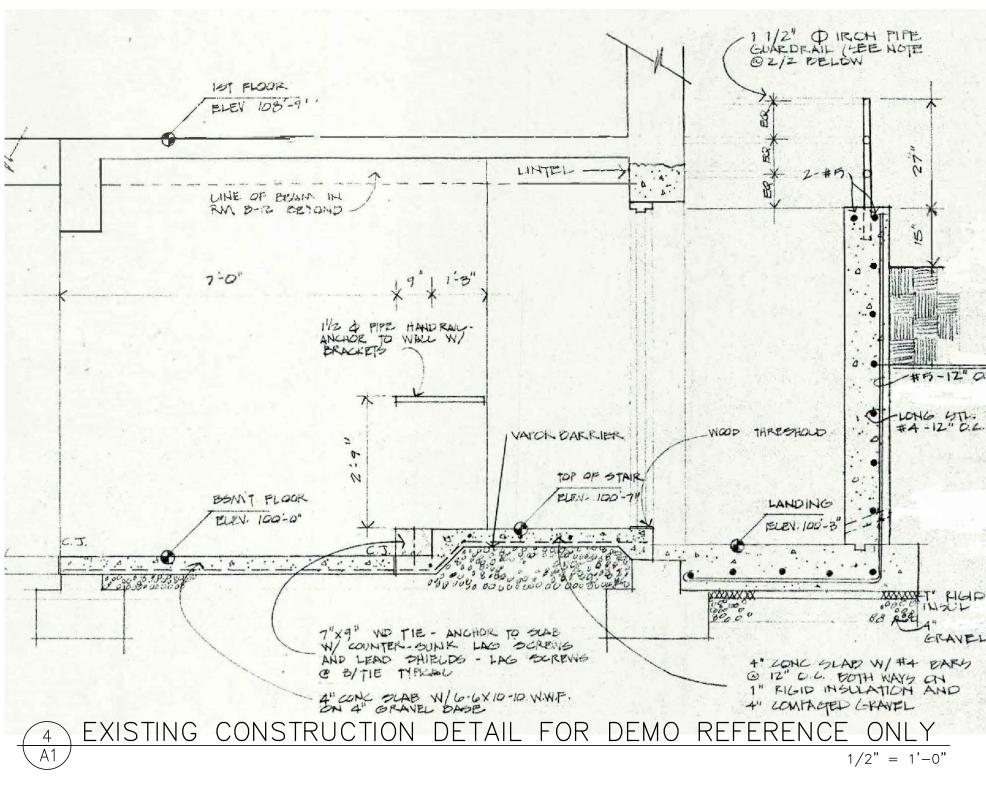
5. **Out of State Contractor** - Unless a State of Minnesota Certificate of Exemption is provided, any outof-state bidder receiving a bid award will have 8% retained from invoice payments on any contracts over \$50,000. Submit a signed copy of the signed exemption form when submitting Payment and Performance Bonds. Visit <u>http://www.revenue.state.mn.us/Forms\_and\_Instructions/sde.pdf</u> for this form.

6. The City of Duluth is an Equal Opportunity Employer. Contractor shall comply with all applicable Equal Employment Opportunity laws and regulations.

#### CITY OF DULUTH

Amanda Ashbach Purchasing Agent





# **CODE SUMMARY**

#### Harrison Community Club 3002 West Third Street Duluth, MN

Generally this project consists of removal of exterior stairwell on west end of the building. Former

- door opening is being infilled and remaining wall waterproofed. No change ot occupancy or building area occurs with this project. CODE SUMMARY- 2015 MN BUILDING CODE
- Occupancy Group:
- <u>Construction Type</u>: Building Area:

- Basement <u>2035 S.F</u>. Total Area 4815 S.F.

First Floor

IIIB

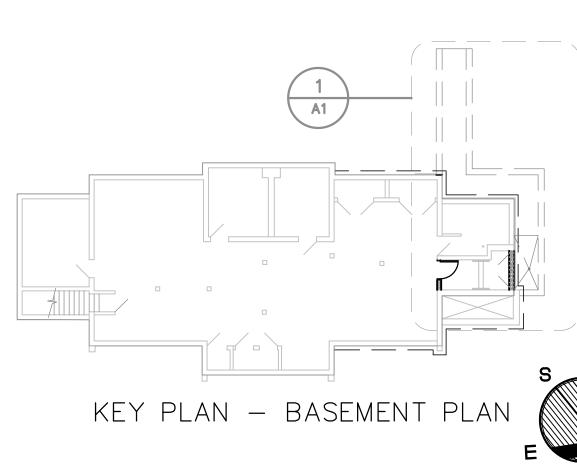
A3, no change this project.

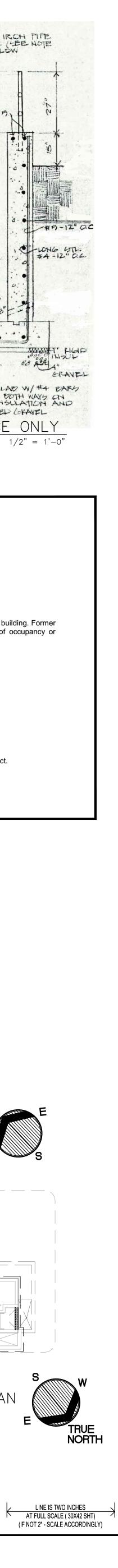
No change in area occurs with this project.

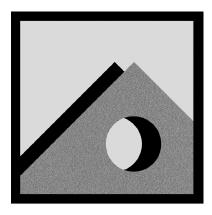
2780 S.F.

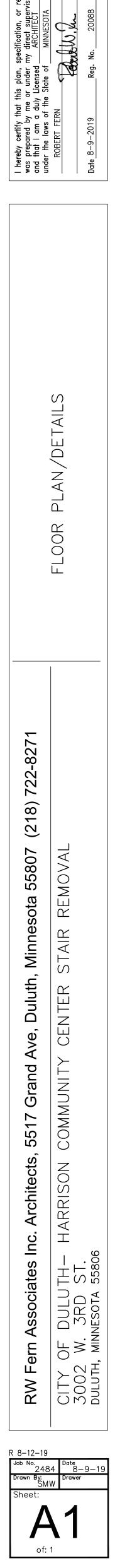
NO SPECIAL INSPECTIONS REQ'D MECHANICAL AND ELECTRICAL BY OWNER











#### MINNESOTA DEPARTMENT OF LABOR AND INDUSTRY PREVAILING WAGES FOR STATE FUNDED CONSTRUCTION PROJECTS

# THIS NOTICE MUST BE POSTED ON THE JOBSITE IN A CONSPICUOUS PLACE

#### **Construction Type: Commercial**

#### **County Number: 69**

County Name: ST. LOUIS

Effective: 2019-12-16 Revised: 2020-01-27

This project is covered by Minnesota prevailing wage statutes. Wage rates listed below are the minimum hourly rates to be paid on this project.

All hours worked in excess of eight (8) hours per day or forty (40) hours per week shall be paid at a rate of one and one half (1 1/2) times the basic hourly rate.

Violations should be reported to:

Department of Labor and Industry Prevailing Wage Section 443 Lafayette Road N St Paul, MN 55155 (651) 284-5091 DLI.PrevWage@state.mn.us

\* Indicates that adjacent county rates were used for the labor class listed.

County: ST. LOUIS (69)

LABOR CODE AND CLASS		EFFECT DATE	BASIC RATE	FRINGE RATE	TOTAL RATE					
LABORERS (101 - 112) (SPECIAL CRAFTS 701 - 730)										
101	LABORER, COMMON (GENERAL LABOR WORK)	2019-12-16	26.52	19.29	45.81					
102	LABORER, SKILLED (ASSISTING SKILLED CRAFT JOURNEYMAN)	2019-12-16	26.52	19.29	45.81					
103	LABORER, LANDSCAPING (GARDENER, SOD LAYER AND NURSERY OPERATOR)	2019-12-16	24.00	16.96	40.96					
104*	FLAG PERSON	2019-12-16	26.52	19.29	45.81					
105*	WATCH PERSON	FOR RATE CALL 651-284-5091 OR EMAIL DLI.PREVWAGE@STATE.MN.US								
106	BLASTER	2019-12-16	27.22	19.29	46.51					

LABOR CODE AND CLASS		EFFECT DATE	BASIC RATE	FRINGE RATE	TOTAL RATE
107	PIPELAYER (WATER, SEWER AND GAS)	2019-12-16	34.28	20.27	54.55
108	TUNNEL MINER	FOR RATE CALL 65 DLI.PREVWAGE@S		EMAIL	
109	UNDERGROUND AND OPEN DITCH LABORER (EIGHT FEET BELOW STARTING GRADE LEVEL)	2019-12-16	32.48	20.27	52.75
110	SURVEY FIELD TECHNICIAN (OPERATE TOTAL STATION, GPS RECEIVER, LEVEL, ROD OR RANGE POLES, STEEL TAPE MEASUREMENT; MARK AND DRIVE STAKES; HAND OR POWER DIGGING FOR AND IDENTIFICATION OF MARKERS OR MONUMENTS; PERFORM AND CHECK CALCULATIONS; REVIEW AND UNDERSTAND CONSTRUCTION PLANS AND LAND SURVEY MATERIALS). THIS CLASSIFICATION DOES NOT APPLY TO THE WORK PERFORMED ON A PREVAILING WAGE PROJECT BY A LAND SURVEYOR WHO IS LICENSED PURSUANT TO MINNESOTA STATUTES, SECTIONS 326.02 TO 326.15.	2019-12-16	26.52	19.29	45.81
111	TRAFFIC CONTROL PERSON (TEMPORARY SIGNAGE)	FOR RATE CALL 65 DLI.PREVWAGE@S		EMAIL	
SPECIAL EQUIPMENT (201 - 204)					
201*	ARTICULATED HAULER	2019-12-16	38.13	20.30	58.43
202	BOOM TRUCK	2019-12-16 2020-05-01	40.08 40.93	20.55 21.70	60.63 62.63
203*	LANDSCAPING EQUIPMENT, INCLUDES HYDRO SEEDER OR MULCHER, SOD ROLLER, FARM TRACTOR WITH ATTACHMENT SPECIFICALLY SEEDING, SODDING, OR PLANT, AND TWO-FRAMED FORKLIFT (EXCLUDING FRONT, POSIT-TRACK, AND SKID STEER LOADERS), NO EARTHWORK OR GRADING FOR ELEVATIONS	2019-12-16	24.00	16.96	40.96

LABOR CODE AND CLASS		EFFECT DATE	BASIC RATE	FRINGE RATE	TOTAL RATE
204	OFF-ROAD TRUCK	2019-12-16	32.85	19.00	51.85
		2020-05-01	33.65	19.95	53.60
205	PAVEMENT MARKING OR MARKING REMOVAL EQUIPMENT (ONE OR TWO PERSON OPERATORS); SELF-PROPELLED TRUCK OR TRAILER MOUNTED UNITS.	2019-12-16	26.91	19.87	46.78

#### HIGHWAY/HEAVY POWER EQUIPMENT OPERATOR

				10 50	
GROUP 2 *		2019-12-16	35.54	19.70	55.24
306	GRADER OR MOTOR PATROL				
308	TUGBOAT 100 H.P. AND OVER WHEN LICENS	E REQUIRED (HIGHWA	Y AND HEAVY (	ONLY)	
GROUP 3		2019-12-16	38.09	20.50	58.59
		2020-05-01	38.94	21.40	60.34
309	ASPHALT BITUMINOUS STABILIZER PLANT				
310	CABLEWAY				
312	DERRICK (GUY OR STIFFLEG)(POWER)(SKIDS	S OR STATIONARY) (H	IGHWAY AND HI	EAVY ONLY)	
314	DREDGE OR ENGINEERS, DREDGE (POWER)	AND ENGINEER			
316	LOCOMOTIVE CRANE OPERATOR				
320	TANDEM SCRAPER				
322	TUGBOAT 100 H.P AND OVER (HIGHWAY AN	D HEAVY ONLY)			
GROUP 4		2019-12-16	37.79	20.50	58.29
GROUP 4		2019-12-16 2020-05-01	37.79 38.64	20.50 21.40	58.29 60.04
<b>GROUP 4</b> 323	AIR TRACK ROCK DRILL				
	AIR TRACK ROCK DRILL AUTOMATIC ROAD MACHINE (CMI OR SIMIL	2020-05-01	38.64		
323		2020-05-01	38.64		
323 324	AUTOMATIC ROAD MACHINE (CMI OR SIMIL	2020-05-01 LAR) (HIGHWAY AND F	38.64 IEAVY ONLY)	21.40	
323 324 325	AUTOMATIC ROAD MACHINE (CMI OR SIMIL BACKFILLER OPERATOR	2020-05-01 LAR) (HIGHWAY AND F STEEL DRUMMED (EIG ACHINES (POWER), INC	38.64 IEAVY ONLY) HT TONS AND O' LUDING PAVERS	21.40 VER)	60.04
323 324 325 327	AUTOMATIC ROAD MACHINE (CMI OR SIMIL BACKFILLER OPERATOR BITUMINOUS ROLLERS, RUBBER TIRED OR S BITUMINOUS SPREADER AND FINISHING MA	2020-05-01 LAR) (HIGHWAY AND F STEEL DRUMMED (EIG ACHINES (POWER), INC S (OPERATOR AND SCR	38.64 IEAVY ONLY) HT TONS AND O LUDING PAVERS IEED PERSON)	21.40 VER)	60.04
323 324 325 327 328	AUTOMATIC ROAD MACHINE (CMI OR SIMIL BACKFILLER OPERATOR BITUMINOUS ROLLERS, RUBBER TIRED OR S BITUMINOUS SPREADER AND FINISHING MA AND MICRO SURFACING, OR SIMILAR TYPES	2020-05-01 LAR) (HIGHWAY AND F STEEL DRUMMED (EIG ACHINES (POWER), INC S (OPERATOR AND SCF IILAR TYPE WITH ALL	38.64 IEAVY ONLY) HT TONS AND O LUDING PAVERS EED PERSON) ATTACHMENTS	21.40 VER) S, MACRO SURF	60.04
323 324 325 327 328 329	AUTOMATIC ROAD MACHINE (CMI OR SIMIL BACKFILLER OPERATOR BITUMINOUS ROLLERS, RUBBER TIRED OR S BITUMINOUS SPREADER AND FINISHING MA AND MICRO SURFACING, OR SIMILAR TYPES BROKK OR R.T.C. REMOTE CONTROL OR SIM CAT CHALLENGER TRACTORS OR SIMILAR T	2020-05-01 LAR) (HIGHWAY AND F STEEL DRUMMED (EIG ACHINES (POWER), INC S (OPERATOR AND SCF IILAR TYPE WITH ALL	38.64 IEAVY ONLY) HT TONS AND O LUDING PAVERS EED PERSON) ATTACHMENTS	21.40 VER) S, MACRO SURF	60.04
323 324 325 327 328 329 330	AUTOMATIC ROAD MACHINE (CMI OR SIMIL BACKFILLER OPERATOR BITUMINOUS ROLLERS, RUBBER TIRED OR S BITUMINOUS SPREADER AND FINISHING MA AND MICRO SURFACING, OR SIMILAR TYPES BROKK OR R.T.C. REMOTE CONTROL OR SIM CAT CHALLENGER TRACTORS OR SIMILAR T SCRAPERS	2020-05-01 LAR) (HIGHWAY AND F STEEL DRUMMED (EIG ACHINES (POWER), INC S (OPERATOR AND SCF IILAR TYPE WITH ALL TYPES PULLING ROCK	38.64 IEAVY ONLY) HT TONS AND O LUDING PAVERS EED PERSON) ATTACHMENTS WAGONS, BULL	21.40 VER) S, MACRO SURF DOZERS AND	60.04

LABOR CODE AND CLASS		EFFECT DATE	BASIC RATE	FRINGE RATE	TOTAL RATE
335	CRUSHING PLANT (GRAVEL AND STONE) OR	GRAVEL WASHING, C	RUSHING ANI	O SCREENING PI	LANT
336	CURB MACHINE				
337	DIRECTIONAL BORING MACHINE				
338	DOPE MACHINE (PIPELINE)				
340	DUAL TRACTOR				
341	ELEVATING GRADER				
345	GPS REMOTE OPERATING OF EQUIPMENT				
347	HYDRAULIC TREE PLANTER				
348	LAUNCHER PERSON (TANKER PERSON OR PIL	OT LICENSE)			
349	LOCOMOTIVE (HIGHWAY AND HEAVY ONLY)				
350	MILLING, GRINDING, PLANNING, FINE GRADE	E, OR TRIMMER MACH	INE		
352	PAVEMENT BREAKER OR TAMPING MACHINE	E (POWER DRIVEN) MI	GHTY MITE O	R SIMILAR TYP	E
354	PIPELINE WRAPPING, CLEANING OR BENDING	<b>MACHINE</b>			
356	POWER ACTUATED HORIZONTAL BORING MA	CHINE, OVER SIX INC	CHES		
357	PUGMILL				
359	RUBBER-TIRED FARM TRACTOR WITH BACKH ONLY)	HOE INCLUDING ATTA	CHMENTS (H	IGHWAY AND H	IEAVY
360	SCRAPER				
361	SELF-PROPELLED SOIL STABILIZER				
362	SLIP FORM (POWER DRIVEN) (PAVING)				
363	TIE TAMPER AND BALLAST MACHINE				
365	TRACTOR, WHEEL TYPE, OVER 50 H.P. WITH P ONLY)	TO UNRELATED TO L	ANDSCAPING	(HIGHWAY AN	D HEAVY
367	TUB GRINDER, MORBARK, OR SIMILAR TYPE				
GROUP 5 *		2019-12-16	30.50	18.90	49.40
370	BITUMINOUS ROLLER (UNDER EIGHT TONS)				
371	CONCRETE SAW (MULTIPLE BLADE) (POWER	OPERATED)			
372	FORM TRENCH DIGGER (POWER)				
375	HYDRAULIC LOG SPLITTER				
376	LOADER (BARBER GREENE OR SIMILAR TYPE				
377	POST HOLE DRIVING MACHINE/POST HOLE A	UGER			
379	POWER ACTUATED JACK				
381	SELF-PROPELLED CHIP SPREADER (FLAHERT)	Y OR SIMILAR)			
382	SHEEP FOOT COMPACTOR WITH BLADE . 200 I	H.P. AND OVER			
383	SHOULDERING MACHINE (POWER) APSCO OR CHIP SPREADER	SIMILAR TYPE INCLU	JDING SELF-P	ROPELLED SAN	D AND
384	STUMP CHIPPER AND TREE CHIPPER				
385	TREE FARMER (MACHINE)				

LABOR CODE AND CLASS		EFFECT DATE	BASIC RATE	FRINGE RATE	TOTAL RATE
GROUP 6 *		2019-12-16	33.54	20.50	54.04
		2020-05-01	34.39	21.40	55.79
387	CAT, CHALLENGER, OR SIMILAR TYPE OF TRAC	CTORS, WHEN PULLI	NG DISK OR R	OLLER	
389	DREDGE DECK HAND				
391	GRAVEL SCREENING PLANT (PORTABLE NOT C	CRUSHING OR WASHI	NG)		
393	LEVER PERSON				
395	POWER SWEEPER				
396	SHEEP FOOT ROLLER AND ROLLERS ON GRAVE	EL COMPACTION, INC	LUDING VIBE	RATING ROLLE	RS
397	TRACTOR, WHEEL TYPE, OVER 50 H.P., UNRELA	ATED TO LANDSCAPI	NG		

#### COMMERCIAL POWER EQUIPMENT OPERATOR

GROUP 1		2019-12-16	43.59	20.55	64.14			
		2020-05-01	44.44	21.70	66.14			
501	HELICOPTER PILOT (COMMERCIAL CONSTRUC	CTION ONLY)						
502	TOWER CRANE 250 FEET AND OVER (COMMERCIAL CONSTRUCTION ONLY)							
503	TRUCK CRAWLER CRANE WITH 200 FEET OF B CONSTRUCTION ONLY)	OOM AND OVER, INCL	UDING JIB (COM	IMERCIAL				
GROUP 2 *		2019-12-16	43.25	20.55	63.80			
		2020-05-01	44.10	21.70	65.80			
504	CONCRETE PUMP WITH 50 METERS/164 FEET O	OF BOOM AND OVER (CO	OMMERCIAL CO	ONSTRUCTION	ONLY)			
505	PILE DRIVING WHEN THREE DRUMS IN USE (C	OMMERCIAL CONSTRU	CTION ONLY)					
506	TOWER CRANE 200 FEET AND OVER (COMMER	CIAL CONSTRUCTION	ONLY)					
507	TRUCK OR CRAWLER CRANE WITH 150 FEET OF BOOM UP TO AND NOT INCLUDING 200 FEET, INCLUDING JIB (COMMERCIAL CONSTRUCTION ONLY)							
GROUP 3		2019-12-16	41.84	20.55	62.39			
GROUP 3		2019-12-16 2020-05-01	41.84 42.69	20.55 21.70	62.39 64.39			
<b>GROUP 3</b> 508	ALL-TERRAIN VEHICLE CRANES (COMMERCIA	2020-05-01	42.69					
	ALL-TERRAIN VEHICLE CRANES (COMMERCIA CONCRETE PUMP 32-49 METERS/102-164 FEET (	2020-05-01 AL CONSTRUCTION ONI	42.69 LY)	21.70				
508	× ×	2020-05-01 AL CONSTRUCTION ONI COMMERCIAL CONSTR	42.69 LY)	21.70				
508 509	CONCRETE PUMP 32-49 METERS/102-164 FEET (	2020-05-01 AL CONSTRUCTION ONI COMMERCIAL CONSTR	42.69 LY)	21.70				
508 509 510	CONCRETE PUMP 32-49 METERS/102-164 FEET ( DERRICK (GUY & STIFFLEG) (COMMERCIAL CO	2020-05-01 AL CONSTRUCTION ONI COMMERCIAL CONSTR ONSTRUCTION ONLY)	42.69 LY) LUCTION ONLY)	21.70	64.39			
508 509 510 511	CONCRETE PUMP 32-49 METERS/102-164 FEET ( DERRICK (GUY & STIFFLEG) (COMMERCIAL CO STATIONARY TOWER CRANE UP TO 200 FEET SELF-ERECTING TOWER CRANE 100 FEET AND	2020-05-01 AL CONSTRUCTION ONI COMMERCIAL CONSTR ONSTRUCTION ONLY)	42.69 LY) LUCTION ONLY)	21.70	64.39			
508 509 510 511 512	CONCRETE PUMP 32-49 METERS/102-164 FEET ( DERRICK (GUY & STIFFLEG) (COMMERCIAL CO STATIONARY TOWER CRANE UP TO 200 FEET SELF-ERECTING TOWER CRANE 100 FEET AND CONSTRUCTION ONLY)	2020-05-01 AL CONSTRUCTION ONI COMMERCIAL CONSTR ONSTRUCTION ONLY) OVER MEASURED FRC DNSTRUCTION ONLY)	42.69 LY) LUCTION ONLY)	21.70 PIN (COMMER	64.39			
508 509 510 511 512 513	CONCRETE PUMP 32-49 METERS/102-164 FEET ( DERRICK (GUY & STIFFLEG) (COMMERCIAL CO STATIONARY TOWER CRANE UP TO 200 FEET SELF-ERECTING TOWER CRANE 100 FEET AND CONSTRUCTION ONLY) TRAVELING TOWER CRANE (COMMERCIAL CO TRUCK OR CRAWLER CRANE UP TO AND NOT	2020-05-01 AL CONSTRUCTION ONI COMMERCIAL CONSTR ONSTRUCTION ONLY) OVER MEASURED FRC DNSTRUCTION ONLY)	42.69 LY) LUCTION ONLY)	21.70 PIN (COMMER	64.39			
508 509 510 511 512 513 514	CONCRETE PUMP 32-49 METERS/102-164 FEET ( DERRICK (GUY & STIFFLEG) (COMMERCIAL CO STATIONARY TOWER CRANE UP TO 200 FEET SELF-ERECTING TOWER CRANE 100 FEET AND CONSTRUCTION ONLY) TRAVELING TOWER CRANE (COMMERCIAL CO TRUCK OR CRAWLER CRANE UP TO AND NOT	2020-05-01 AL CONSTRUCTION ONI COMMERCIAL CONSTR ONSTRUCTION ONLY) OVER MEASURED FRC ONSTRUCTION ONLY) INCLUDING 150 FEET C	42.69 LY) EUCTION ONLY) PM BOOM FOOT PF BOOM, INCLU	21.70 PIN (COMMER JDING JIB	64.39 CIAL			

LABOR CODE AND CLASS	EFFECT DATE	BASIC RATE	FRINGE RATE	TOTAL RATE			
515	CRAWLER BACKHOE INCLUDING ATTACHMENTS (COMMERCIAL	CONSTRUCTIO	ON ONLY)				
516	FIREPERSON, CHIEF BOILER LICENSE (COMMERCIAL CONSTRUCTION ONLY)						
517	HOIST ENGINEER (THREE DRUMS OR MORE) (COMMERCIAL CONS	STRUCTION ON	LY)				
518	LOCOMOTIVE (COMMERCIAL CONSTRUCTION ONLY)						
519	OVERHEAD CRANE ( INSIDE BUILDING PERIMETER) (COMMERCIA	L CONSTRUCT	TION ONLY)				
520	TRACTOR . BOOM TYPE (COMMERCIAL CONSTRUCTION ONLY)						
GROUP 5	2019-12-16	40.08	20.55	60.63			
	2020-05-01	40.93	21.70	62.63			
521	AIR COMPRESSOR 450 CFM OR OVER (TWO OR MORE MACHINES)	(COMMERCIAI	L CONSTRUCTIO	ON ONLY)			
522	CONCRETE MIXER (COMMERCIAL CONSTRUCTION ONLY)						
523	CONCRETE PUMP UP TO 31 METERS/101 FEET OF BOOM						
524	DRILL RIGS, HEAVY ROTARY OR CHURN OR CABLE DRILL WHEN BUILDING CONSTRUCTION (COMMERCIAL CONSTRUCTION ONLY		SSON FOR ELE	VATOR OR			
525	FORKLIFT (COMMERCIAL CONSTRUCTION ONLY)						
526	FRONT END, SKID STEER 1 C YD AND OVER						
527	HOIST ENGINEER ( ONE OR TWO DRUMS) (COMMERCIAL CONSTR	UCTION ONLY	)				
528	MECHANIC-WELDER (ON POWER EQUIPMENT) (COMMERCIAL CONSTRUCTION ONLY)						
529	POWER PLANT (100 KW AND OVER OR MULTIPLES EQUAL TO 100KW AND OVER) (COMMERCIAL CONSTRUCTION ONLY)						
530	PUMP OPERATOR AND/OR CONVEYOR (TWO OR MORE MACHINES) (COMMERCIAL CONSTRUCTION ONLY)						
531	SELF-ERECTING TOWER CRANE UNDER 100 FEET MEASURED FRO CONSTRUCTION ONLY)	M BOOM FOOT	F PIN (COMMER	CIAL			
532	STRADDLE CARRIER (COMMERCIAL CONSTRUCTION ONLY)						
533	TRACTOR OVER D2 (COMMERCIAL CONSTRUCTION ONLY)						
534	WELL POINT PUMP (COMMERCIAL CONSTRUCTION ONLY)						
GROUP 6	2019-12-16	38.57	20.55	59.12			
	2020-05-01	39.42	21.70	61.12			
535	CONCRETE BATCH PLANT (COMMERCIAL CONSTRUCTION ONLY)	)					
536	FIREPERSON, FIRST CLASS BOILER LICENSE (COMMERCIAL CONS	TRUCTION ON	LY)				
537	FRONT END, SKID STEER UP TO 1 C YD						
538	GUNITE MACHINE (COMMERCIAL CONSTRUCTION ONLY)						
539	TRACTOR OPERATOR D2 OR SIMILAR SIZE (COMMERCIAL CONST	RUCTION ONL	Y)				
540	TRENCHING MACHINE (SEWER, WATER, GAS) EXCLUDES WALK E	BEHIND TRENC	HER				
GROUP 7	2019-12-16	37.45	20.55	58.00			
	2020-05-01	38.30	21.70	60.00			
541	AIR COMPRESSOR 600 CFM OR OVER (COMMERCIAL CONSTRUCT	ION ONLY)					
542	BRAKEPERSON (COMMERCIAL CONSTRUCTION ONLY)						

LABOR CODE AND CLASS		EFFECT DATE	BASIC I RATE	FRINGE RATE	FOTAL RATE			
543	CONCRETE PUMP/PUMPCRETE OR COMPLACO TYPE (COMMERCIAL CONSTRUCTION ONLY)							
544	FIREPERSON, TEMPORARY HEAT SECOND CL ONLY)	ASS BOILER LICENSE	(COMMERCIAL C	CONSTRUCTION	1			
545	OILER (POWER SHOVEL, CRANE, TRUCK CRANE, DRAGLINE, CRUSHERS AND MILLING MACHINES, OR OTHER SIMILAR POWER EQUIPMENT) (COMMERCIAL CONSTRUCTION ONLY)							
546	PICK UP SWEEPER (ONE CUBIC YARD HOPPEI	R CAPACITY) (COMME	RCIAL CONSTRU	UCTION ONLY)				
547	PUMP AND/OR CONVEYOR (COMMERCIAL CO	ONSTRUCTION ONLY)						
GROUP 8		2019-12-16	35.44	20.55	55.99			
		2020-05-01	36.29	21.70	57.99			
548	ELEVATOR OPERATOR (COMMERCIAL CONST	TRUCTION ONLY)						
549	GREASER (COMMERCIAL CONSTRUCTION ON	JLY)						
550	MECHANICAL SPACE HEATER (TEMPORARY CONSTRUCTION ONLY)	HEAT NO BOILER LICH	ENSE REQUIRED)	(COMMERCIAI	-			
TRUCK DRIVERS								

GROUP 1 *		2019-12-16	32.85	19.00	51.85
		2020-05-01	33.65	19.95	53.60
601	MECHANIC . WELDER				
602	TRACTOR TRAILER DRIVER				
603	TRUCK DRIVER (HAULING MACHINERY INCLU WINCHES)	UDING OPERATION OF I	HAND AND POW	ER OPERATED	)
GROUP 2		2019-12-16	29.70	16.60	46.30
604	FOUR OR MORE AXLE UNIT, STRAIGHT BODY	TRUCK			
GROUP 3		2019-12-16	32.20	19.00	51.20
		2020-05-01	33.00	19.95	52.95
605	BITUMINOUS DISTRIBUTOR DRIVER				
606	BITUMINOUS DISTRIBUTOR (ONE PERSON OPI	ERATION)			
607	THREE AXLE UNITS				
GROUP 4 *		2019-12-16	25.10	10.85	35.95
608	BITUMINOUS DISTRIBUTOR SPRAY OPERATOR	R (REAR AND OILER)			
609	DUMP PERSON				
610	GREASER				
611	PILOT CAR DRIVER				
612	RUBBER-TIRED, SELF-PROPELLED PACKER UN	NDER 8 TONS			
613	TWO AXLE UNIT				

LABOR CODE AND CLASS		EFFECT DATE	BASIC RATE	FRINGE RATE	TOTAL RATE			
614	SLURRY OPERATOR							
615	TANK TRUCK HELPER (GAS, OIL, ROAD OIL, AND WATER)							
616	TRACTOR OPERATOR, UNDER 50 H.P.							
SPECIAL CRAFTS								
701	HEATING AND FROST INSULATORS	2019-12-16	42.31	19.55	61.86			
702	BOILERMAKERS	2019-12-16	38.33	27.43	65.76			
703	BRICKLAYERS	2019-12-16	34.71	26.92	61.63			
		2020-05-01	37.21	26.92	64.13			
704	CARPENTERS	2019-12-16	31.10	20.58	51.68			
705	CARDET LAVERS (LINIOLELIM)	2019-12-16	33.63	18.56	52.19			
705	CARPET LAYERS (LINOLEUM)	2019-12-10	35.68	18.56	54.24			
		2020-03-01	55.08	18.30	34.24			
706	CEMENT MASONS	2019-12-16	33.41	19.33	52.74			
707	ELECTRICIANS	2019-12-16	38.44	27.12	65.56			
		2020-05-31	40.04	27.84	67.88			
708	ELEVATOR CONSTRUCTORS	2019-12-16	49.91	39.24	89.15			
		2020-01-01	51.55	40.48	92.03			
709	GLAZIERS	2019-12-16	31.69	20.65	52.34			
710*	LATHERS	2019-12-16	31.44	18.43	49.87			
712	IRONWORKERS	2019-12-16	33.19	29.40	62.59			
		2020-05-01	35.29	29.40	64.69			
714	MILLWRIGHT	2019-12-16	35.10	19.90	55.00			
		2020-05-01	37.15	19.90	57.05			
715	PAINTERS (INCLUDING HAND BRUSHED, HAND SPRAYED, AND THE TAPING OF PAVEMENT MARKINGS)	2019-12-16	31.39	19.99	51.38			

LABOR CODE AND CLASS			EFFECT DATE	BASIC RATE	FRINGE RATE	TOTAL RATE
716	PILEDRIVER (INCLUDING VIBRATORY DRIVER OR EXTRACTOR FOR PILING AND SHEETING OPERATIONS)		2019-12-16	38.91	22.08	60.99
717	PIPEFITTERS . STEAMFITTERS	+\$200,000	2019-12-16	42.60	22.25	64.85
		-\$200,000	2019-12-16	39.90	21.25	61.15
718	PLASTERERS		2019-12-16	34.09	20.08	54.17
			2020-05-01	35.69	20.08	55.77
719	PLUMBERS		2019-12-16	41.02	22.03	63.05
720	ROOFER		2019-12-16	34.15	17.94	52.09
			2020-07-01	35.55	17.94	53.49
721	SHEET METAL WORKERS		2019-12-16	34.86	28.12	62.98
722	SPRINKLER FITTERS		2019-12-16	37.58	19.49	57.07
723	TERRAZZO WORKERS		2019-12-16	38.91	19.40	58.31
724	TILE SETTERS		2019-12-16	27.44	24.79	52.23
725	TILE FINISHERS		2019-12-16	19.70	20.38	40.08
726	DRYWALL TAPER		2019-12-16	31.99	19.99	51.98
727	WIRING SYSTEM TECHNICIAN		2019-12-16	40.17	17.63	57.80
			2020-07-01	41.42	17.63	59.05
728	WIRING SYSTEMS INSTALLER		2019-12-16	28.14	14.71	42.85
			2020-07-01	29.02	14.71	43.73
729	ASBESTOS ABATEMENT WORKER		2019-12-16	31.78	19.35	51.13
			2020-01-01	32.73	20.00	52.73
730	SIGN ERECTOR		2019-12-16	29.78	15.59	45.37

LABOR CODE AND CLASS	EFFECT	BASIC	FRINGE	TOTAL
EABOR CODE MAD CENSS	DATE	RATE	RATE	RATE

# **BID FORM**

# BID # 20-99150 (REBID) HARRISON COMMUNITY CENTER STAIR REMOVAL

	ITEI	M		PRICE
Lump Sum Price			\$	
TOTAL PRICE IN WRI	TING			
ESTIMATED STAF	RT DATE:			
	ACKNOV	VLEDGMENT OF ADDEN	DA	
	ADDENDUM #	INITIAL/DATE		
	ADDENDUM #	INITIAL/DATE		
	ADDENDUM #	INITIAL/DATE		
Signature			Date _	
Name/Title				
Company Name				
Address				
City, State, Zip				
		ntaged Business Enterprise,		

#### ATTACHMENT A PRIME CONTRACTOR RESPONSE

#### **RESPONSIBLE CONTRACTOR VERIFICATION AND CERTIFICATION OF COMPLIANCE**

## PROJECT NUMBER: \_\_\_\_\_

# This form includes changes by statutory references from the Laws of Minnesota 2015, chapter 64, sections 1-9. This form must be submitted with the response to this solicitation. A response received without this form, will be rejected.

does	Stat. § 16C.285, Subd. 7. <b>IMPLEMENTATION.</b> any prime contractor or subcontractor or motor carrier that not meet the minimum criteria in subdivision 3 or fails to verify that it meets those criteria is not a responsible actor and is not eligible to be awarded a construction contract for the project or to perform work on the project
mean	Stat. § 16C.285, Subd. 3. <b>RESPONSIBLE CONTRACTOR, MINIMUM CRITERIA</b> . "Responsible contractor" s a contractor that conforms to the responsibility requirements in the solicitation document for its portion of the on the project and verifies that it meets the following minimum criteria:
(1)	The Contractor:
	(i) is in compliance with workers' compensation and unemployment insurance requirements;
	(ii) is in compliance with Department of Revenue and Department of Employment and Economic Development registration requirements if it has employees;
	(iii) has a valid federal tax identification number or a valid Social Security number if an individual; and
	(iv) has filed a certificate of authority to transact business in Minnesota with the Secretary of State if a foreign corporation or cooperative.
(2)	The contractor or related entity is in compliance with and, during the three-year period before submitting the verification, has not violated section 177.24, 177.25, 177.41 to 177.44, 181.03, 181.101, 181.13, 181.14, or 181.722, and has not violated United States Code, title 29, sections 201 to 219, or United States Code, title 40, sections 3141 to 3148. For purposes of this clause, a violation occurs when a contractor or related entity:
	(i) repeatedly fails to pay statutorily required wages or penalties on one or more separate projects for a total underpayment of \$25,000 or more within the three-year period, provided that a failure to pay is "repeated" only if it involves two or more separate and distinct occurrences of underpayment during the three-year period;
	(ii) has been issued an order to comply by the commissioner of Labor and Industry that has become final;
	(iii) has been issued at least two determination letters within the three-year period by the Department of Transportation finding an underpayment by the contractor or related entity to its own employees;
	(iv) has been found by the commissioner of Labor and Industry to have repeatedly or willfully violated any of the sections referenced in this clause pursuant to section 177.27;
	(v) has been issued a ruling or findings of underpayment by the administrator of the Wage and Hour Division of the United States Department of Labor that have become final or have been upheld by an administrative law judge or the Administrative Review Board; or
	(vi) has been found liable for underpayment of wages or penalties or misrepresenting a construction worker as an independent contractor in an action brought in a court having jurisdiction. Provided that, if the contractor or related entity contests a determination of underpayment by the Department of Transportation in a contested case proceeding, a violation does not occur until the contested case proceeding has concluded with a determination that the contractor or related entity underpaid wages or penalties;*
	(vii) has been convicted of a violation of section 609.52, subd 2 (19).

(3)	The contractor or related entity is in compliance with and, during the three-year period before submitting the verification, has not violated section 181.723 or chapter 326B. For purposes of this clause, a violation occurs when a contractor or related entity has been issued a final administrative or licensing order;*
(4)	The contractor or related entity has not, more than twice during the three-year period before submitting the verification, had a certificate of compliance under section 363A.36 revoked or suspended based on the provisions of section 363A.36, with the revocation or suspension becoming final because it was upheld by the Office of Administrative Hearings or was not appealed to the office;*
(5)	The contractor or related entity has not received a final determination assessing a monetary sanction from the Department of Administration or Transportation for failure to meet targeted group business, disadvantaged business enterprise, or veteran-owned business goals, due to a lack of good faith effort, more than once during the three-year period before submitting the verification;*
	* Any violations, suspensions, revocations, or sanctions, as defined in clauses (2) to (5), occurring prior to July 1, 2014, shall not be considered in determining whether a contractor or related entity meets the minimum criteria.
(6)	The contractor or related entity is not currently suspended or debarred by the federal government or the state of Minnesota or any of its departments, commissions, agencies, or political subdivisions that have authority to debar a contractor; and
(7)	All subcontractors and motor carriers that the contractor intends to use to perform project work have verified to the contractor through a signed statement under oath by an owner or officer that they meet the minimum criteria listed in clauses (1) to (6).

#### Minn. Stat. § 16C.285, Subd. 5. SUBCONTRACTOR VERIFICATION.

A prime contractor or subcontractor shall include in its verification of compliance under subdivision 4 a list of all of its first-tier subcontractors that it intends to retain for work on the project. Prior to execution of a construction contract, and as a condition precedent to the execution of a construction contract, the apparent successful prime contractor shall submit to the contracting authority a supplemental verification under oath confirming compliance with subdivision 3, clause (7). Each contractor or subcontractor shall obtain from all subcontractors with which it will have a direct contractual relationship a signed statement under oath by an owner or officer verifying that they meet all of the minimum criteria in subdivision 3 prior to execution of a construction contract with each subcontractor.

If a prime contractor or any subcontractor retains additional subcontractors on the project after submitting its verification of compliance, the prime contractor or subcontractor shall obtain verifications of compliance from each additional subcontractor with which it has a direct contractual relationship and shall submit a supplemental verification confirming compliance with subdivision 3, clause (7), within 14 days of retaining the additional subcontractors.

A prime contractor shall submit to the contracting authority upon request copies of the signed verifications of compliance from all subcontractors of any tier pursuant to subdivision 3, clause (7). A prime contractor and subcontractors shall not be responsible for the false statements of any subcontractor with which they do not have a direct contractual relationship. A prime contractor and subcontractors shall be responsible for false statements by their first-tier subcontractors with which they have a direct contractual relationship only if they accept the verification of compliance with actual knowledge that it contains a false statement.

Subd. 5a. **Motor carrier verification.** A prime contractor or subcontractor shall obtain annually from all motor carriers with which it will have a direct contractual relationship a signed statement under oath by an owner or officer verifying that they meet all of the minimum criteria in subdivision 3 prior to execution of a construction contract with each motor carrier. A prime contractor or subcontractor shall require each such motor carrier to provide it with immediate written notification in the event that the motor carrier no longer meets one or more of the minimum criteria in subdivision 3 after submitting its annual verification. A motor carrier shall be ineligible to perform work on a project covered by this section if it does not meet all the minimum criteria in subdivision 3. Upon request, a prime contractor or subcontractor shall submit to the contracting authority the signed verifications of compliance from all motor carriers providing for-hire transportation of materials, equipment, or supplies for a project.

#### Minn. Stat. § 16C.285, Subd. 4. VERIFICATION OF COMPLIANCE.

A contractor responding to a solicitation document of a contracting authority shall submit to the contracting authority a signed statement under oath by an owner or officer verifying compliance with each of the minimum criteria in subdivision 3, with the exception of clause (7), at the time that it responds to the solicitation document.

A contracting authority may accept a signed statement under oath as sufficient to demonstrate that a contractor is a responsible contractor and shall not be held liable for awarding a contract in reasonable reliance on that statement. A prime contractor, subcontractor, or motor carrier that fails to verify compliance with any one of the required minimum criteria or makes a false statement under oath in a verification of compliance shall be ineligible to be awarded a construction contract on the project for which the verification was submitted.

A false statement under oath verifying compliance with any of the minimum criteria may result in termination of a construction contract that has already been awarded to a prime contractor or subcontractor or motor carrier that submits a false statement. A contracting authority shall not be liable for declining to award a contract or terminating a contract based on a reasonable determination that the contractor failed to verify compliance with the minimum criteria or falsely stated that it meets the minimum criteria. A verification of compliance need not be notarized. An electronic verification of compliance made and submitted as part of an electronic bid shall be an acceptable verification of compliance under this section provided that it contains an electronic signature as defined in section 325L.02, paragraph (h).

#### CERTIFICATION

By signing this document I certify that I am an owner or officer of the company, and I swear under oath that:

1) My company meets each of the Minimum Criteria to be a responsible contractor as defined herein and is in compliance with Minn. Stat. § 16C.285, and

2) if my company is awarded a contract, I will submit Attachment A-1 prior to contract execution, and

3) if my company is awarded a contract, I will also submit Attachment A-2 as required.

Authorized Signature of Owner or Officer:	Printed Name:
Title:	Date:
Company Name:	

NOTE: Minn. Stat. § 16C.285, Subd. 2, (c) If only one prime contractor responds to a solicitation document, a contracting authority may award a construction contract to the responding prime contractor even if the minimum criteria in subdivision 3 are not met.

#### ATTACHMENT A-1

#### FIRST-TIER SUBCONTRACTORS LIST

#### SUBMIT PRIOR TO EXECUTION OF A CONSTRUCTION CONTRACT

#### PROJECT NUMBER:

Minn. Stat. § 16C.285, Subd. 5. A prime contractor or subcontractor shall include in its verification of compliance under subdivision 4 a list of all of its first-tier subcontractors that it intends to retain for work on the project. Prior to execution of a construction contract, and as a condition precedent to the execution of a construction contract, the apparent successful prime contractor shall submit to the contracting authority a supplemental verification under oath confirming compliance with subdivision 3, clause (7). Each contractor or subcontractor shall obtain from all subcontractors with which it will have a direct contractual relationship a signed statement under oath by an owner or officer verifying that they meet all of the minimum criteria in subdivision 3 prior to execution of a construction contract with each subcontractor.

FIRST TIER SUBCONTRACTOR NAMES* (Legal name of company as registered with the Secretary of State)	Name of city where company home office is located

\*Attach additional sheets as needed for submission of all first-tier subcontractors.

## SUPPLEMENTAL CERTIFICATION FOR ATTACHMENT A-1

By signing this document I certify that I am an owner or officer of the company, and I swear under oath that:

All first-tier subcontractors listed on attachment A-1 have verified through a signed statement under oath by an owner or officer that they meet the minimum criteria to be a responsible contractor as defined in Minn. Stat. § 16C.285.

Authorized Signature of Owner or Officer:	Printed Name:
Title:	Date:
Company Name:	

#### **ATTACHMENT A-2**

#### ADDITIONAL SUBCONTRACTORS LIST

#### PRIME CONTRACTOR TO SUBMIT AS SUBCONTRACTORS ARE ADDED TO THE PROJECT

#### PROJECT NUMBER:

This form must be submitted to the Project Manager or individual as identified in the solicitation document.

Minn. Stat. § 16C.285, Subd. 5. ... If a prime contractor or any subcontractor retains additional subcontractors on the project after submitting its verification of compliance, the prime contractor or subcontractor shall obtain verifications of compliance from each additional subcontractor with which it has a direct contractual relationship and shall submit a supplemental verification confirming compliance with subdivision 3, clause (7), within 14 days of retaining the additional subcontractors. ...

ADDITIONAL SUBCONTRACTOR NAMES* (Legal name of company as registered with the Secretary of State)	Name of city where company home office is located

\*Attach additional sheets as needed for submission of all additional subcontractors.

#### SUPPLEMENTAL CERTIFICATION FOR ATTACHMENT A-2

By signing this document I certify that I am an owner or officer of the company, and I swear under oath that:

All additional subcontractors listed on Attachment A-2 have verified through a signed statement under oath by an owner or officer that they meet the minimum criteria to be a responsible contractor as defined in Minn. Stat. § 16C.285.

Authorized Signature of Owner or Officer:	Printed Name:
Title:	Date:
Company Name:	

## **DECLARATION OF NON-COLLUSION**

I hereby swear (or affirm) under penalty of perjury:

1) That I am the bidder (if the bidder is an individual), a partner in the bidder (if the bidder is a partnership), or an officer or employee of the bidding corporation having authority to sign on its behalf (if the bidder is a corporation);

2) That the attached bid or bids have been arrived at by the bidder independently and have been submitted without collusion with and without agreement, understanding, or planned common course of action with any other vendor of materials, supplies, equipment or services described in the invitation to bid, designed to limit independent bidding or competition;

3) That the contents of the bid or bids have not been communicated by the bidder or its employees or agents to any person not an employee or agent of the bidder or its surety on any bond furnished with the bid or bids and will not be communicated to any such person prior to the official opening of the bid or bids;

4) That a family relationship between a City of Duluth employee and bidder/proposer are in noncollusion; and

5) That I have fully informed myself regarding the accuracy of the statements made in this declaration.

Signed: \_\_\_\_\_

Firm Name:

Bidder's Federal Identification Number

#### EQUAL EMPLOYMENT OPPORTUNITY EEO AFFIRMATIVE ACTION POLICY STATEMENT & COMPLIANCE CERTIFICATE

TO: City of Duluth, MN		PROJECT NUMBER & DESCRIPTION	
			-
FRO	FROM:		

#### (Vendor's name, address, telephone number)

A) Employment: It is the policy of the above named FIRM to afford equal opportunity for employment to all individuals regardless of race, color, creed, religion, national origin, ancestry, age, sex, marital status, status with respect to public assistance and/or disability. The FIRM will take affirmative action to ensure that we will: (1) recruit, hire, and promote all job classifications without regard to race, color, creed, religion, national origin, ancestry, age, sex, marital status, status with respect to public assistance, and/or disability, except where sex is a bona fide occupational qualification; (2) base decisions on employment so as to further the principle of equal employment opportunity; (3) ensure that promotion decisions are in accord with the principles of equal employment opportunity by imposing only valid requirements for promotional opportunities; (4) ensure that all personnel actions such as compensation, benefits, transfers, layoffs, return from layoff, FIRM sponsored training, education tuition assistance, social and recreational programs will be administered without regard to race, color, creed, religion, national origin, ancestry, age, sex, marital status, status with respect to public assistance, social and recreational programs will be administered without regard to race, color, creed, religion, national origin, ancestry, age, sex, marital status, status with respect to public assistance, and/or disability. The FIRM also intends full compliance with Veteran affirmative action requirements. Additionally, minority and female employees shall be encouraged to participate in all FIRM activities and refer applicants.

I have designated (name) \_\_\_\_\_\_\_to direct the establishment of and to monitor the implementation of personnel procedures to guide the FIRM's affirmative action program. Where PROJECTS exceed \$500,000, this official shall also serve as the liaison officer that administers the FIRM's "Minority Business Enterprise Program." This official is charged with designing and implementing audit and reporting systems that will keep management informed on a monthly basis of the status of the equal opportunity area.

Supervisors have been made to understand that their work performance is being evaluated on the basis of their equal opportunity efforts and results, as well as other criteria. It shall be the responsibility of the FIRM and its supervisors to take actions to prevent harassment of employees placed through affirmative action efforts.

- B) Reports: Unless exempted by law and regulation, the FIRM shall make available and file those reports related to equal opportunity as may be required by the City of Duluth and State and Federal compliance agencies. Requirements and Reports are defined in 41CFR60 "Compliance Responsibility for Equal Opportunity" published by the U. S.Department of Labor which is incorporated herein by reference. Additional requirements are defined in various State and Federal Civil Rights Legislation and Rules promulgated thereunder.
- C) Nonsegregated Facilities: The FIRM certifies that it does not maintain or provide for its employees any segregated facilities at any of its establishments and that it does not permit its employees to perform their services at any location, under its control, where segregated facilities are maintained. The FIRM certifies that it will not maintain or provide for its employees any segregated facilities at any of its establishments and that it will not maintain or provide for its employees any segregated facilities at any of its establishments and that it will not permit its employees to perform their services at any location, under its control, where segregated facilities are maintained. The FIRM agrees that a breach of this certification is a violation of the Equal Opportunity Clause in this certificate. As used in this Certification, the term "segregated

facilities" means any waiting rooms, work area, rest rooms and wash rooms, restaurants and other eating areas, time clocks, locker rooms and other storage or dressing areas, parking lots, drinking fountains, recreation for entertainment area, transportation, and housing facilities provided for employees which are segregated by explicit directive or are, in fact, segregated on the basis of race, color, religion, or national origin, because of habit, local custom, or otherwise.

- **D)** Affirmative Action Compliance Program: Unless exempted by regulation and law, the FIRM-if the FIRM has 50 or more employees and if the value of current contracts with the City of Duluth exceeds \$50,000-shall prepare and maintain a written affirmative action compliance program that meets the requirement as set forth in 41CFR60.
- E) Non-Compliance: The FIRM certifies that it is not currently in receipt of any outstanding letters of deficiencies, show cause, probable cause, or other such notification of non-compliance with EEO Laws and Regulations.
- F) Employment Goals "Construction" Projects: It shall be the goal of the FIRM if the PROJECT is of a construction nature that in all on-site employment generated that no less than 3% of the on-site workforce will be minority employees and that no less than 7% of the on-site workforce will be female employees. Further, it is the goal of the FIRM if the PROJECT is of a construction nature that in all on-site employment generated that no less than 3% of the work hours generated shall be worked by minority employees and that no less than 3% of the work hours generated shall be worked by minority employees and that no less than 7% of the work hours generated shall be worked by female employees.
- **G) Subcontractors:** The FIRM will for all its PROJECT subcontractors regardless of tier (unless exempted by law and regulation) that received in excess of \$2,500 require that: (1) the subcontractor shall execute an "EEO Statement and Certification" similar in nature to this "Statement and Certification", (2) said documentation to be maintained on file with the FIRM or subcontractor as may be appropriate.

Executed this \_\_\_\_\_ day of \_\_\_\_\_, 20\_ by:

Printed name and title

Signature

**NOTE:** In addition to the various remedies prescribed for violation of Equal Opportunity Laws, the penalty for false statements is prescribed in 18 U.S.C. 1001.

## CITY OF DULUTH GENERAL CONDITIONS FOR CONSTRUCTION CONTRACTS

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	PARTIAL USE OF IMPROVEMENTS

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#### 101. DEFINITIONS

Except as specifically provided herein, the following meanings shall be given to the terms defined below:

- a. Addenda (Addendum). A document listing any changes, revisions or clarifications of the Contract Documents which have been duly issued by the City to prospective bidders prior to the bid submission deadline.
- b. Architect. The architect or engineer licensed to practice architecture or engineering and to serve the City with architectural or engineering services, or their authorized representative or successor.
- c. Change Order. A written order from the City to the Contractor, issued after execution of the Contract, which authorizes and directs a change in the Work or an adjustment in the contract sum or the contract time. The contract sum and the contract time may be changed only by Change Order.
- d. City. The City of Duluth, Minnesota, a municipal body, acting through the Project Manager or other person(s) duly authorized to act on behalf of the City of Duluth for the purpose of administering the Project.
- e. Contract. The agreement executed by the City, between the City and the Contractor, of which these GENERAL CONDITIONS form a part.
- f. Contractor. An entity, whether public or private, which furnishes to the City, products, services or supplies (other than standard commercial supplies, office space or printing services).
- g. Contract Documents. Includes the following: Executed Agreement, Addenda (if any), Invitation for Bids, Instructions to Bidders, Signed Copy of Bid, General Conditions – Part I, Supplemental Conditions – Part II, Technical Specifications, and Drawings (as listed in the Schedule of Drawings), and all requested submittals such as Certificate of Insurance, performance and payment bonds, EEO Affirmative Action Policy Statement & Compliance Certificate, Certificate of Non-Collusion, etc., whether the Contract Documents are paper, electronic or other medium.
- h. Contract Time. The period of time allotted in the Contract for completion of the Work.
- i. Date of Commencement of Work. The date established in a notice to proceed issued by the City to the Contractor.
- j. Date of Substantial Completion of the Work. The date certified by the City when construction is sufficiently complete, in accordance with the Contract, so the City may occupy the Work for the use for which it is intended.
- k. Day. The term as used herein shall mean calendar day.
- I. Drawings. The graphic and pictorial portions of the Contract Documents which show the design, location and dimensions of the Work.
- m. Field Order. A written interpretation necessary for the proper execution of the Work, in the form of drawings or other instructions issued to the Contractor by the City or the Architect.
- n. Project. The activities to be undertaken by the Contractor as described in this Contract, which from time to time may be amended by mutual consent of the City and Contractor.
- **o.** Project Location. The area available to the Contractor for use in performing the Work, as defined on the plans.
- p. Project Manager. For purposes of these General Conditions, the term "project manager" shall mean the delegated representative of the City denominated in Section 102, Communications, who has the responsibility for administering the Project.
- **q.** Specifications. Written requirements of the Work which may include materials, equipment, systems, standards or workmanship for the Work.
- r. Subcontractor. An entity, regardless of tier, which has entered into an agreement with the Contractor or another Subcontractor, to undertake certain Project activities as described in that agreement.
- s. Technical Specifications. That part of the Contract Documents which describes, outlines and

stipulates the quality of the materials to be furnished, the quality of workmanship required, and the methods to be used in carrying out the Work to be performed under this Contract.

t. Work. All labor necessary to produce the construction required by the Contract Documents, all materials and equipment incorporated or to be incorporated in such construction, products, services, or supplies required by the Contract Documents, or any other requirements set forth in the Contract.

#### **102.** COMMUNICATIONS

Unless otherwise expressly provided herein, all notices, demands, requests, instructions, approvals, and claims must be in writing addressed to the City of Duluth, to the attention of the Project Manager and Architect as follows:

CITY	Rob Hurd City of Duluth 1532 W. Michigan Street Duluth, MN 55806
ARCHITECT	Robert Fern RW Fern Associates, Inc. Architects 5517 Grand Avenue Duluth, MN 55807

Unless otherwise expressly provided herein, any notice to or demand upon the contractor must be in writing addressed to the Contractor as follows:

CONTRACTOR	Contractor
	Company Name
	Address
	City, State ZIP

Notice provided to the parties shall be sufficiently given if delivered by nationally recognized commercial overnight delivery service or mailed by registered or certified mail, postage prepaid and return receipt requested, at the addresses set forth above or at such other address as such party may from time to time designate in a written notice to the other party.

Any such notice shall be deemed to have been given as of the time of actual delivery.

This section does not apply to decisions given pursuant to Section 121, Shop Drawings.

#### **103.** SUPERINTENDENCE BY CONTRACTOR

- a. Except where the Contractor is an individual who provides personal superintendence to the Work, the Contractor shall provide a competent superintendent, satisfactory to the City and the Architect, on the Work at all times during working hours with full authority to act on the Contractor's behalf. The Contractor shall also provide an adequate staff for the proper coordination and expediting of the Work.
- b. The Contractor shall lay out its own Work and shall be responsible for all such Work executed under the Contract. Before starting Work, the Contractor shall locate all general reference points and take such steps as necessary to prevent their dislocation or destruction. If disturbed or destroyed, the Contractor shall replace as directed. The prime contractor shall lay out Work, and be responsible for its accuracy. The Contractor shall verify all figures and elevations before proceeding with the Work and will be held responsible for any error resulting from the failure to do so. All Work shall be coordinated with the City.

#### 104. PERMITS

- a. Required permits shall be secured and paid for by the prime contractor.
- b. All Work and materials are to comply in every respect with the Building Code and all associated laws and ordinances, regulations, any applicable grant requirements, and the directions of the inspectors of buildings and other proper officials of the area in which the Work is to be constructed. Such laws, regulations, any applicable grant requirements, and directions are to be considered as part of this specification.

#### **105. SUBCONTRACTS**

- a. The Contractor shall not execute an agreement with any Subcontractor, or permit any Subcontractor to perform any Work included in this contract unless and until the Contractor provides the City with a sufficient First Tier Subcontractors List and accompanying signed statement under oath from each Subcontractor confirming compliance with the Responsible Contractor Criteria under Minnesota law, Minn. Stat. §16C.285 or its successor, using substantially the form attached hereto.
- b. The City shall have the right to disapprove a proposed Subcontractor if, in its reasoned discretion, there is cause to disapprove such Subcontractor. For purposes of this provision, "cause" is a determination based upon an evaluation of the ability of the Subcontractor to perform the Subcontract in conformance with the plans and specifications, including but not limited to, the Subcontractor's past performance, capacity to perform the Work, or ability to meet the Responsible Contractor Criteria.
- c. The Contractor shall be as fully responsible to the Owner for the acts and omissions of its Subcontractors, and of persons either directly or indirectly employed by them, as for the acts and omissions of persons directly employed by the Contractor.
- d. The Contractor shall cause appropriate provisions to be inserted in all subcontracts relative to the Work to require compliance by each Subcontractor with the applicable provisions of this Contract.
- e. Nothing contained in this Contract shall create any contractual relationship between the Subcontractor and the City.

#### **106.** OTHER CONTRACTS

The City may award, or may have awarded, other contracts for additional Work, and the Contractor shall cooperate fully with such other Contractors, by scheduling its own Work with that to be performed under other Contracts as may be directed by the City. The Contractor shall not commit or permit any act which will interfere with the performance of Work by any other Contractor as scheduled.

#### **107.** FITTING AND COORDINATION OF THE WORK

- a. The Contractor shall be responsible for the proper fitting of all Work and for the coordination of the operations of all trades, Subcontractors, or materialmen engaged upon this Contract, and shall be prepared to guarantee to each of its Subcontractors the locations and measurements which they may require for the fitting of their Work to all surrounding Work.
- b. Each Subcontractor shall inspect the surfaces over which its Work will be installed prior to starting Work. Each Subcontractor shall present notice of unacceptable surfaces to the prime contractor, who shall correct same in a manner acceptable to the City. Subcontractor's start of Work denotes acceptance of surfaces and acceptance of responsibility for acceptable final results.

#### **108. RESPONSIBILITIES OF CONTRACTOR**

Except as otherwise specifically stated in the contract documents and technical specifications, the prime contractor shall provide and pay for all materials, labor, tools, equipment, water, light, heat, power,

transportation, superintendence, temporary construction of every nature, charges, levies, fees, or other expenses and all other services and facilities of every nature whatsoever necessary for the performance of the contract, and to deliver all improvements embraced in this contract for site preparation complete in every respect within the specified time.

#### 109. MUTUAL RESPONSIBILITY OF CONTRACTORS

If, through acts or neglect on the part of the Contractor, any other Contractor or any Subcontractor shall suffer loss or damage on the Work, the Contractor shall settle with such other Contractor or Subcontractor by agreement or arbitration, if such other Contractor or Subcontractor will so settle. If such other Contractor or Subcontractor shall assert any claim against the City on account of damage alleged to have been so sustained, the City shall notify this Contractor, who shall defend at its own expense any suit based upon such claim, and, if any judgment or claims against the City shall be allowed, the Contractor shall pay or satisfy such judgment or claim and pay all costs and expenses in connection therewith.

#### **110. PROGRESS SCHEDULE**

Immediately after execution of the Contract, the Contractor shall submit for approval a carefully prepared Progress Schedule, showing the proposed start and completion dates of each of the various sections of the Work, the anticipated monthly payments to become due the Contractor and the accumulated percent of progress each month. The Schedule shall not exceed the current time limits under the Contract Documents. The Schedule may be revised at appropriate intervals as required by the conditions of the Work and the Project.

#### 111. PAYMENTS

- a. Partial Payments.
  - 1) At least once per month at regular intervals, the Contractor shall prepare a request for payment and submit it to the Project Manager for approval. Certified payrolls shall accompany all requests for payment. The amount of the payment due the Contractor shall be determined by adding to the total value of Work completed to date, the value of materials properly stored on the site and deducting (1) five percent (5%) of the total amount, this sum to be retained until substantial completion and (2) the amount of all previous payments. The total value of the Work completed to date shall be based on the estimated quantities of Work completed and on the unit prices contained in the agreement. The value of materials properly stored on site shall be based upon the estimated quantities of such materials and the invoice prices. Copies of all invoices shall be available for inspection.
  - 2) Monthly or partial payments made by the City to the Contractor are advanced for the purpose of assisting the Contractor to expedite the Work of construction. The Contractor shall be responsible for the care and protection of all materials and Work upon which payments have been made until final acceptance of such Work and materials by the City. Such payments shall not constitute a waiver of the right of the City to require the fulfillment of all terms of the Contract and the delivery of all improvements embraced in this Contract complete and satisfactory to the City in all details.
  - 3) Per MN Statute 2018, Section 15.72, Subd 2, after substantial completion, the City may withhold as retainage no more than (1) 250% of the cost to correct or complete work known at the time of substantial completion; and (2) one percent (1 %) of the value of the contract or \$500, whichever is greater, pending completion and submission of all final paperwork by the contractor or subcontractor, including, but not limited to, operation manuals, payroll documents for prevailing wage requirements, and the withholding exemption certificate required by section 270C.66.
- b. Final Payment.
  - 1) After final inspection and acceptance by the Architect and the City of all Work under the Contract, the Contractor shall prepare a request for final payment which shall be based upon

the carefully measured and computed quantity of each item of Work at the applicable unit prices stipulated in the Contract. The total amount of the final payment due the Contractor under this Contract shall be the amount computed as described above less all previous payments. Final payment to the Contractor shall be made subject to its furnishing the City with a release in satisfactory form of all claims against the City arising under and by virtue of the contract, other than such claims, if any, as may be specifically excepted by the Contractor from the operation of the release as provided under Section 117, Disputes.

- 2) The City, before paying the final estimate, may require the Contractor to furnish releases or receipts from all Subcontractors having performed any Work and all persons having supplied materials, equipment (installed on the Project) and services to the Contractor, if the City deems the same necessary in order to protect its interest. The City, however, may make payment in part or in full to the Contractor without requiring the furnishing of such releases or receipts and any payments so made shall in no way impair the obligations of any surety or sureties furnished under this Contract.
- 3) The Contractor and all of its Subcontractors shall comply with Minnesota Statutes, § 290.92 or its successor. Pursuant to Minnesota Statutes, §290.97, the City will not issue final payment to the Contractor prior to receipt of an "Affidavit for Obtaining Final Settlement of Contractor with the State of Minnesota and Any of Its Political or Governmental Subdivisions" (Form IC-134) from the Contractor and from each of the Contractor's Subcontractors (if any). The Contractor and Subcontractors shall submit to the City original copies of Form IC-134 already fully executed by the Commissioner of Revenue of the State of Minnesota. It shall be the responsibility of the Contractor to ensure that all of the affidavits herein required are submitted to the owner.
- c. Withholding Payments. The City may withhold from any payment otherwise due the Contractor so much as may be necessary to protect the City and, if it so elects, may also withhold any amounts due from the Contractor to any Subcontractors or material dealers for Work performed or material furnished by them, including liquidated damages, if any. The foregoing provisions shall be construed solely for the benefit of the City and will not require the City to determine or adjust any claims or disputes between the Contractor and its Subcontractors or material dealers, or to withhold any moneys for their protection unless the City elects to do so. The failure or refusal of the City to withhold any moneys from the Contractor shall in no way impair the obligations of any surety or sureties under any bond or bonds furnished under this Contract.
- d. Payments Subject to Submission of Certificates. Each payment to the Contractor by the City shall be made subject to submissions by the Contractor of all written certifications required of the Contractor and its Subcontractors.

#### **112.** CHANGES IN THE WORK

- a. The City may make changes in the scope of Work required to be performed by the Contractor under the Contract without invalidating the Contract, and without relieving the Contractor from any of its obligations under the Contract or any guarantee given by it pursuant to the Contract provisions, and without affecting the validity of the guaranty bonds, and without relieving or releasing the surety or sureties of said bonds. All changes in the Scope of Work, whether or not the change increases or decreases the total Contract Price, shall require a Change Order and such Work shall be executed under the terms of the original Contract unless expressly provided otherwise.
- b. Except for the purpose of affording protection against any emergency endangering health, life, or property, the Contractor shall make no change in the materials used or in the specified manner of constructing and/or installing the Improvements or supply additional labor, services, or materials beyond that actually required for the execution of the Contract, unless authorized in writing by a Change Order approved by the City. No claim for an adjustment of the Contract Price will be valid unless so authorized.

- c. If a Change Order is requested by either party, the Party requesting the Change Order shall prepare the written Change Order and the Contractor shall prepare and provide to City an itemized proposal stating the increase or decrease in the cost of each of the proposed changes to the Work involved in the Change Order and the net total change in the cost of the Change Order calculated as provided for in Subparagraph d. below, after which the procedure shall be as follows:
  - 1) If the Architect is providing Construction Supervision services to the Project, the proposed Change Order shall be presented to the Architect for his or her review and, if deemed appropriate, approval.
  - 2) If the proposed Change Order is approved by the Architect pursuant to Sub-subparagraph 1) above or if Construction Administration services are being performed by the Project Manager, the proposed Change Order shall be provided to the Project Manager for his or her review and approval.
  - 3) If the Change Order is so approved, the City will execute the Change Order in accordance therewith for acceptance by the Contractor.
  - 4) If the Change Order is not acceptable and prompt agreement between the two parties cannot be reached, the City may order the Contractor to proceed with the Work. The Contractor shall proceed with the Work but may preserve it's right to challenge the Change in Work by promptly notifying the City that it is proceeding with the Work under protest. The parties shall then proceed in accordance with Section 117, Disputes, herein.
- d. Changes in the cost of the Contract shall be determined as follows:
  - 1) If the Work being changed is covered in whole or in part by unit prices bid in the Contract, the change in the cost of the Contract as changed by the Change Order shall be determined by application of the unit prices as bid to the changes in the Work.
  - 2) As and to the extent that the change in the Work resulting from the Change Order is not covered by unit prices in the Contract, the change in the Contract price shall be determined by increases or decreases in the Contractor's actual costs for labor or materials or both to implement the Change Order plus a multiplier of ten percent (10%) of such costs; the Contractor shall be required to document its changed costs in a manner reasonably satisfactory to City. The multiplier shall not apply to costs referenced in Sub-subparagraph 1 above.
- e. Each change order shall include in its final form:
  - 1) A detailed description of the change in the Work.
  - 2) The Contractor's proposal (if any).
  - 3) A definite statement as to the resulting change in the Contract price and/or time, if any.
  - 4) The statement that all Work involved in the change shall be performed in accordance with the Contract requirements except as modified by the Change Order.
- f. If the Contractor claims that any instructions by Drawings or otherwise involve extra cost or extension of time, such claims shall be submitted in writing to the City within ten (10) days after receipt of the instructions, and in any event, before proceeding to execute the Work.
- g. Claims for additional compensation for extra Work, due to alleged errors in ground elevations, contour lines, or bench marks, will not be recognized unless accompanied by certified survey data. The survey data must be made prior to the time the original ground was disturbed, and clearly show that errors exist which resulted, or would result, in handling more material, or performing more Work than would be reasonably estimated from the Drawings and maps issued.
- h. Any discrepancies which may be discovered between actual conditions and those represented by the documents shall at once be reported to the City and Work shall not proceed, except at the Contractor's risk, until written instructions have been issued by the City.
- i. If, on the basis of the available evidence, the City determines that an adjustment of the Contract Price and/or time is justifiable, the procedure shall then be as provided above for changes in the Work.

#### **113. GENERAL GUARANTY**

Contractor shall be made responsible for proper installation of all items in its contract and shall remedy, free of charge, any defects in material and workmanship and repair all damage resulting, for a period of one year from the date of final acceptance. All systems shall be in operation prior to acceptance. The beginning of the warranty period relating to faulty materials and workmanship will be established by the final acceptance of the Project by the owner. The necessity of partial occupancy of an uncompleted structure by the owner will not alter the warranty period herein defined. All Work under the Contract shall be guaranteed for a period of one (1) year from the date of final acceptance.

- a. Neither the final certificate of payment nor any provisions in the Contract nor partial or entire use of the improvements embraced in this Contract by the City or the public shall constitute an acceptance of liability in respect to any express warranties or responsibility for faulty materials or workmanship. The Contractor shall promptly remedy any defects in the Work and pay for any damage to other Work resulting therefrom which subsequently appears. The City will give notice of defective materials and Work with reasonable promptness.
- b. If, within one year after the Date of Substantial Completion or within such longer period of time as may be prescribed by law or by the terms of any applicable special guarantee required by the Contract, any of the Work is found to be defective or not in accordance with the specifications of the Contract, the Contractor shall correct it promptly upon receipt of a written notice from the City to do so, unless the City has previously given the Contractor a written acceptance of such condition or Work.

#### **114. BREACH OF CONTRACT**

The City may, subject to the Force Majeure provisions of this Contract and in addition to its other rights under the Contract, declare the Contractor in breach of the Contract by written notice thereof to the Contractor, and terminate the Contract in whole or in part, in accordance with Section 115, Termination, for reasons including but not limited to any of the following:

- a. Failure to begin the Work within the time specified in the Contract;
- b. Failure to perform the Work with sufficient labor, equipment, or material to insure the completion of the specified Work in accordance with the Contract terms;
- c. Unsatisfactory performance of the Work;
- d. Failure or refusal to remove material, or remove and replace any Work rejected as defective or unsatisfactory;
- e. Discontinuance of the Work without approval;
- f. Failure to resume the Work, which has been discontinued, within a reasonable time after notice to do so;
- g. Insolvency or bankruptcy;
- h. Failure to protect, to repair, or to make good any damage or injury to property;
- i. Breach of any provision of the Contract;
- j. Misrepresentations made in the Contractor's bid/proposal; or
- k. Failure to comply with applicable industry standards, customs, and practice.

#### 115. TERMINATION

If the Contractor is in breach of the Contract, the City, by written notice to the Contractor, may terminate the Contractor's right to proceed with the Work. Upon such termination, the City may take over the Work and prosecute the same to completion, by contract or otherwise, and the Contractor and its sureties shall be liable to the City for any additional cost incurred by the City in its completion of the Work and they shall also be liable to the City for liquidated damages for any delay in the completion of the Work as provided below. If the Contractor's right to proceed is terminated, the City may take possession of and utilize in completing the Work such materials, tools, equipment, and plant as may be on the site of the Work and necessary therefore.

#### **116.** LIQUIDATED DAMAGES.

If the Contractor is in breach of this Contract by virtue of failing to complete the Work within the time stipulated in the Contract Documents, including any extensions of time for excusable delays as herein provided, and the City suffers damages as a result thereof, all or any portion of which cannot be reasonably determined as to the amount thereof because of the nature of such damages, the Contractor shall pay to the City to compensate City for such portion of the damages it suffered as a result thereof, the amount of n/a as fixed, agreed, and liquidated damages for each calendar day of delay, until the Work is completed, and the Contractor and its sureties shall be liable to the City for the amount thereof.

#### 117. DISPUTES.

- a. All disputes arising under this Contract or its interpretation, whether involving law or fact or both, or extra Work, and all claims for alleged breach of contract shall, within ten (10) days of the first event giving rise to the dispute, be presented by the Contractor to the City for decision. Such notice shall state the facts surrounding the claim in sufficient detail to identify the claim together with its character and scope. In the meantime, the Contractor shall proceed with the Work as directed by the City. Any claim not presented within the time limit specified within this paragraph shall be deemed to have been waived, except that if the claim is of a continuing character and notice of the claim is not given within ten (10) days of the first event giving rise to it, the claim will be considered only for a period commencing ten (10) days prior to the receipt by the City of notice thereof.
- b. The Contractor shall submit in detail its claim and proof thereof. Each decision by the City will be in writing as provided for in Section 102, Communications.
- c. If the Contractor does not agree with any decision of the City, it shall in no case allow the dispute to delay the Work but shall notify the City promptly that it is proceeding with the Work under protest and may then except the matter in question from the final release.

#### **118. FORCE MAJEURE**

The right of the Contractor to proceed shall not be terminated nor shall the Contractor be charged with liquidated damages for any delays in the completion of the Work due to any acts of the Government, including controls or restrictions upon or requisitioning of materials, equipment, tools, or labor by reason of war, National Defense, or any other national emergency; any acts of the City; causes not reasonably foreseeable by the parties to this Contract at the time of the execution of the Contract which are beyond the control and without the fault or negligence of the Contractor, including, but not restricted to, acts of God or of the public enemy, acts of another Contractor in their performance of some other contract with the City, fires, floods, epidemics, quarantine restrictions, strikes, freight embargoes, and weather of unusual severity such as hurricanes, tornadoes, cyclones, and other extreme weather conditions; nor to any delay of any Subcontractor occasioned by any of the causes specified above. The Contractor shall promptly notify the City in writing within ten (10) days of the delay. Upon receipt of such notification, the City shall ascertain the facts and the cause of the delay. If, upon the basis of facts and the terms of the Contract, the delay is properly excusable, the City shall extend the time for completing the Work for a period of time commensurate with the period of excusable delay.

#### 119. TECHNICAL SPECIFICATIONS AND DRAWINGS

Anything mentioned in the Technical Specifications and not shown on the Drawings or shown on the Drawings and not mentioned in the Technical Specifications, shall be of like effect as if shown on or mentioned in both. In case of difference between Drawings and Technical Specifications, the Technical Specifications shall govern. In case of any discrepancy on Drawings or Technical Specifications, the matter shall be immediately submitted to the City, without whose decision said discrepancy shall not be adjusted by the Contractor, save only at its own risk and expense.

#### **120.** ONE-CALL EXCAVATION NOTICE SYSTEM

The contractor and all of its Subcontractors shall comply with Minnesota Statutes Chapter 216D., the

Minnesota One-Call Excavation Notice System, if "excavation" as defined in Minnesota Statutes 216D.01., Subdivision 1., is to be performed as a part of this contract. (One-Call contact number: 1-800-252-1166).

#### **121.** SHOP DRAWINGS

- a. All required shop drawings, machinery details, layout drawings, etc. shall be submitted to the Architect or the City, as directed by the City, for approval sufficiently in advance of requirements to afford ample time for checking, including time for correcting, resubmitting and rechecking if necessary. The Contractor may proceed, only at its own risk, with manufacture or installation of any equipment or Work covered by said shop drawings, etc. until they are approved, and no claim by the Contractor for extension of the Contract time will be granted by reason of its failure in this respect.
- b. Any drawing submitted without the Contractor's stamp of approval will not be considered and will be returned for proper resubmission. If any drawings show variations from the requirements of the Contract because of standard shop practice or other reason, the Contractor shall make specific mention of such variation in the letter of transmittal in order that, if acceptable, suitable action may be taken for proper adjustment of contract price and/or time, otherwise the Contractor will not be relieved of the responsibility for executing the Work in accordance with the Contract even though the drawings have been approved.
- c. If a shop drawing with the Contractor involves only a minor adjustment in the interest of the City not involving a change in Contract price or time, the Architect may approve the drawing. The approval shall be general, shall not relieve the Contractor from its responsibility for adherence to the Contract or for any error in the drawing, and shall contain in substance the following: "The modification shown of the attached drawing is approved in the interest of the City to effect an improvement for the Project and is ordered with the understanding that it does not involve any change in the Contract price or time; that it is subject generally to all Contract stipulations and covenants; and that it is without prejudice to any and all rights of the City under the Contract and surety bond or bonds."

#### **122.** REQUEST FOR SUPPLEMENTARY INFORMATION

It shall be the responsibility of the Contractor to make timely requests of the City for any additional information not already in its possession which should be furnished by the City under the terms of this Contract, and which will be required in the planning and execution of the Work. Such requests may be submitted in writing from time to time as the need is approached, but each shall be filed in ample time to permit appropriate action to be taken by all parties involved so as to avoid delay. Each request shall be in writing, and list the various items and the latest date by which each will be required by the Contractor. The first list shall be submitted within two (2) weeks after Contract award and shall be as complete as possible at that time. The Contractor shall, if requested, furnish promptly any assistance and information the City may require in responding to these requests of the Contractor. The Contractor shall be fully responsible for any delay in its Work or to others arising from its failure to comply fully with the provisions of this Section.

#### 123. MATERIALS AND WORKMANSHIP

- a. Unless otherwise specifically provided for in the Technical Specifications, all workmanship, equipment, materials and articles incorporated in the Work shall be new and the best grade of the respective kinds for the purpose. Where equipment, materials, articles or workmanship are referred to in the Technical Specifications as an equal to any particular standard, the City shall decide the question of equality.
- b. The Contractor shall furnish to the City for approval the manufacturer's detailed specifications for all machinery, mechanical and other special equipment, which it contemplates installing together with full information as to type, performance characteristics, and all other pertinent information as required, and shall likewise submit for approval as required full information concerning all other

materials or articles which it proposes to incorporate in the Work. (See Section 124, Samples, Certificates and Tests)

- c. Machinery, mechanical and other equipment, materials or articles installed or used without such prior approval shall be at the risk of subsequent rejection.
- d. Materials specified by reference to the number or symbol of a specific standard, such as A.S.T.M. Standard, a Federal Specification or other similar standard, shall comply with requirements in the latest revision thereof and any amendment or supplement thereto in effect on the date of the Invitation for Bids, except as limited to type, class or grade, or modified in such reference. The Standards referred to, except as modified in the Technical Specifications shall have full force and effect as though printed therein.
- e. Any materials and equipment for which Underwriters Laboratories, Inc. (U.L.) standards have been established shall bear the appropriate U. L. label.
- f. The City may require the Contractor to dismiss from the Work such employee or employees as the City may deem incompetent, or careless, or insubordinate.

#### **124.** SAMPLES, CERTIFICATES AND TESTS

- a. The Contractor shall submit all material or equipment samples, certificates, affidavits, etc. as called for in the Contract Documents or required by the Architect, promptly after award of the Contract. No such material or equipment shall be manufactured or delivered to the site, except at the Contractor's own risk, until the required samples or certificates have been approved in writing by the City or the Architect. Any delay in the Work caused by late or improper submission of samples or certificates for approval shall not be considered just cause for an extension of the contract time. Each sample submitted by the Contractor shall carry a label giving the name of the Contractor, the project for which it is intended, and the name of the producer. The accompanying certificate or letter from the Contractor shall state that the sample complies with Contract requirements, shall give the name and brand of the product, its place of origin, the name and address of the producer and all specifications or other detailed information which will assist the Architect or the City in formally approving the acceptability of the sample promptly. It shall also include the statement that all materials or equipment furnished for use in the project will comply with the samples and/or certified statements.
- b. Approval of any materials shall be general only and shall not constitute a waiver of the City's right to demand full compliance with Contract requirements. After actual deliveries, the City or the Architect will have such check tests made as they deem necessary in each instance and may reject materials and equipment and accessories for cause, even though such materials and equipment have been given general approval. If materials, equipment or accessories which fail to meet check tests have been incorporated in the Work, the City or the Architect will have the right to cause their removal and replacement by proper materials or to demand and secure such reparation by the Contractor as is equitable.
- c. Except as otherwise specifically stated in the Contract, the costs of sampling and testing will be divided as follows:
  - The Contractor shall furnish without extra cost, including packing and delivery charges, all samples required for testing purposes, except those samples taken on the project by the City or the Architect;
  - 2) The Contractor shall assume all costs of retesting materials which fail to meet Contract requirements;
  - 3) The Contractor shall assume all costs of testing materials offered in substitution for those found deficient; and
  - 4) The City will pay for all other testing expenses.

#### **125. RESTRICTED ACCESS**

- a. Contractor shall use and maintain in clean condition the site and building access route(s) as approved by the City. No other access points shall be used.
- b. Contractor and all other persons connected to this project shall use parking areas designated by the City.
- c. Contractor and workers shall not trespass into areas beyond those required to accomplish the Work.
- d. Contractor shall insure that operations do not compromise building safety.

#### **126.** CARE OF WORK

- a. The Contractor shall be responsible for all damages to persons or property that occur as a result of its fault or negligence in connection with the prosecution of the Work and shall be responsible for the proper care and protection of all Work performed until completion and final acceptance, whether or not the same has been covered in whole or in part by payments made by the City.
- b. In an emergency affecting the safety of life, limb or property, including adjoining property, the Contractor, without special instructions or authorization from the City, is authorized to act at its own discretion to prevent such threatened loss or injury, and shall so act. The Contractor shall likewise act if instructed to do so by the City. Any compensation claimed by the Contractor on account of such emergency Work will be determined by the City as provided in Section 112, Changes in the Work.
- c. The Contractor shall avoid allowing damage to existing sidewalks, streets, curbs, pavements, utilities (except those which are to be replaced or removed), adjoining property, etc., during the time the Project Location is within the control of the Contractor, and shall at its own expense completely repair any damage thereto caused by its operations.
- d. The Contractor shall shore up, brace, underpin, secure and protect as may be necessary all foundations and other parts of existing structures adjacent to, adjoining, and in the vicinity of the site, which may be in any way affected by the excavations or other operations connected with the construction of the Improvements embraced in this Contract. The Contractor shall be responsible for the giving of any and all required notices to any adjacent or adjoining property owner or other party before the commencement of any Work. The Contractor shall indemnify and save harmless the City from any damages on account of settlements or the loss of lateral support of adjoining property and from all loss or expense and all damages for which the City may become liable in consequence of such injury or damage to adjoining structures and their premises.

#### **127.** ACCIDENT PREVENTION AND SAFETY

The following SAFETY provisions shall apply:

- a. The Contractor shall conform to all work safety requirements of pertinent regulatory agencies, and shall secure the project site for the working conditions in compliance with the same. The Contractor shall erect such signs and other devices as are necessary for the safety of the project site.
- b. The Contractor shall also perform all of the Work in accordance with applicable Occupational Safety and Health Administration (OSHA) standards.
- c. The Contractor shall provide traffic control meeting Manual on Uniform Traffic Control Devices (MUTCD) standards.
- d. The Contractor shall, at the pre-construction conference, designate a Safety Coordinator who shall be responsible for safety and traffic control management for the Project. The Safety Coordinator

shall be either an employee of the Contractor such as a superintendent or a foreman, or an employee of a firm which has a subcontract for overall project safety and traffic control management for the Project.

- e. The safety of pedestrians and the traveling public is the Contractor's responsibility.
- f. The Contractor shall provide and maintain sanitary and safety accommodations for the use and protection, health and welfare of the Contractor's employees and suppliers in accordance with the following applicable safety and health codes and regulations:
  - 1) Federal,
  - 2) State,
  - 3) Local, and
  - 4) Other bodies and tribunals having jurisdiction.
- g. The Contractor shall refer to the employee safety and sanitation regulations as specified in 29 CFR 1926, Occupational Safety & Health Administration (OSHA), Construction Industry Standards, MN Statutes Chapter 182; and Minnesota Department of Labor & Industry, OSHA Division, Minnesota Rules Chapters 5205 to 2515 inclusive.
- h. The Contractor shall provide, install, maintain, and remove required safety and health related Equipment and provisions, at no additional extra cost to the City. The safety and health related Equipment and provisions shall comply with the applicable codes and regulations, be in operable condition, and allow City personnel to perform required duties at the appropriate time.
- i. The Contractor shall allow the entry of Federal, State, and local safety and health inspectors to perform inspections or investigations.
- j. The Contractor shall perform construction operations in accordance with applicable laws, regulations and industry standards as specified in this section. The Contractor is responsible for the development, implementation, and enforcement of safety requirements of the Project, regardless of any actions the City may take to help ensure compliance with these requirements.
- k. The Contractor shall conduct operations and perform the Work in a manner that causes the least possible obstruction to traffic. The Contractor shall provide for the safety of the general public and for the residents living beside the Highway.
- I. The Contractor shall provide temporary facilities to allow pedestrian travel over or through obstructions at public walkways and at other locations designated by the Architect. The Contractor shall adequately fence and post conspicuous warning signs around hazardous open excavations or open excavations that contain water.
- m. The Contractor shall notify the local fire and police chiefs to allow time to rearrange routes of emergency vehicles before blockading a street. The Contractor shall keep the local fire and police chiefs informed about the status and removal of street blockades affecting emergency vehicle travel. The Contractor shall not obstruct access to fire hydrants without the approval of the local fire chief.

#### **128. USE OF PREMISES**

- a. The Contractor shall be in control of the Project Location, except as provided for in Section 131, Partial Use of Improvements, until such time as the City conducts its final inspection as set forth in Section 132, Final Inspection.
- b. The Contractor shall, periodically or as directed during the progress of the Work, remove and legally dispose of all surplus excavated material and debris, and keep the Project Location and public rights of way reasonable clear.

- c. Contractor shall at all times keep the premises free from accumulation of waste materials caused by its operations. At the completion of the Work, all temporary construction facilities, debris and waste materials shall be removed from the project as well as all tools, construction equipment and surplus materials. The Project Site shall be left in a neat and clean condition. Trash burning on the site of the Work will be subject to prior approval of the City and existing State and local regulations.
- d. Contractor shall restore and replace in a suitable manner all property both public and private which has been damaged or removed in the performance of this contract. The site of the Work is meant to include portions of any and all buildings or structures and adjacent portions of any street, alleys, lawns, sidewalks, driveways, or property used in executing the Work.
- e. If the Contractor fails to clean up, the City may do so and the cost thereof charged to the Contractor.

#### 129. INSPECTION

- a. All materials and workmanship shall be subject to inspection, examination or test by the City or the Architect at any and all times during manufacture or construction and at any and all places where such manufacture or construction is carried on. The City shall have the right to reject defective or substandard material and workmanship or require its correction. Unacceptable workmanship shall be satisfactorily corrected. Rejected material shall be promptly segregated and removed from the Project Location and replaced with material of specified quality without charge. If the Contractor fails to proceed at once with the correction of rejected workmanship or defective material, the City may contract or otherwise have the defects remedied or rejected materials removed from the Project Location and charge the cost of the same against any moneys which may be due the Contractor, without prejudice to any other rights or remedies of the City.
- b. The Contractor shall furnish promptly all materials reasonably necessary for any tests which may be required. (See Section 124, Samples, Certificates and Tests). All tests by the City will be performed in such a manner as not to delay the Work unnecessarily and will be made in accordance with the provisions of the Technical Specifications.
- c The Contractor shall notify the City sufficiently in advance of back-filling or concealing any facilities to permit proper inspection. If any facilities are concealed without approval or consent by the City, the Contractor shall uncover for inspection and recover such facilities all at its own expense, when so requested by the City. Should it be considered necessary or advisable by the City at any time before final acceptance of the entire Work to make an examination of Work already completed by uncovering the same, the Contractor shall on request promptly furnish all necessary facilities, labor, and material. If such Work is found to be defective in any important or essential respect, due to fault of the Contractor or its Subcontractors, the Contractor shall defray all the expenses of such examination and of satisfactory reconstruction. If, however, such Work is found to meet the requirements of the Contract, the actual cost of labor and material necessarily involved in the examination and replacement, plus 10% to cover superintendence, general expenses and profit, shall be allowed the Contractor and it shall, in addition, if completion of the Work of the entire Contract has been delayed thereby, be granted a suitable extension of time on account of the additional Work involved.
- d. Inspection of materials and appurtenances to be incorporated in the Improvements embraced in this Contract may be made at the place of production, manufacture or shipment, whenever the quantity justifies it, and such inspection and acceptance, unless otherwise stated in the Technical Specifications, shall be final, except as regards (1) latent defects, (2) departures from specific requirements of the Contract, (3) damage or loss in transit, or (4) fraud or such gross mistakes as amount to fraud. Subject to the requirements contained in the preceding sentence, the inspection of materials as a whole or in part will be made at the Project Site.
- e. Neither inspection, testing, approval nor acceptance of the Work in whole or in part, by the City or its agents shall relieve the Contractor or its sureties of full responsibility for materials furnished or

Work performed not in strict accordance with the Contract.

#### **130.** REVIEW BY THE CITY

The City, its authorized representatives and agents, and the Architect, shall, at all times have access to and be permitted to observe and review all Work, materials, equipment, payrolls, personnel records, employment conditions, and other relevant data and records pertaining to this Contract; provided, however, that all instructions and approvals with respect to Work will be given to the Contractor only by the City through its authorized representative or agents.

#### **131.** PARTIAL USE OF IMPROVEMENTS

The City, at its election, may give notice to the contractor(s) and place in use those sections of the improvements which have been completed, inspected, and can be accepted as complying with the technical specifications, and if in its opinion each such section is reasonably safe, fit, and convenient, for the use and accommodation for which it was intended, provided:

- a. The use of such sections of the improvements shall in no way impede the completion of the remainder of the Work by the contractor.
- b. The contractor shall not be responsible for any damages or maintenance costs due directly to the use of such sections.
- **c** The use of such sections shall in no way relieve the contractor of its liability due to having used defective materials or to poor workmanship.
- d. The guarantee stipulated in the Section 113, General Guaranty, shall not begin to run until the date of the final acceptance of all Work which the contractor is required to construct under this contract.

#### **132.** FINAL INSPECTION

When the Work embraced in this Contract is substantially completed, the Contractor shall notify the City in writing that the Work will be ready for final inspection on a definite date which shall be stated in such notice. The notice shall bear the signed concurrence of the representative of the City having charge of inspection. If the City determines that the status of the Improvements is as represented, it will make the arrangements necessary to have final inspection commenced on the date stated in such notice, or as soon thereafter as is practicable.

#### **133.** CORRECTION FOR NON-CONFORMING WORK

If at any time the Contractor's Work does not comply with the plans and specifications, the Contractor shall remove the non-complying Work and replace it with complying Work and shall bear all costs related thereto.

#### 134. INDEMNITY FOR CONTRACTS OVER \$1 MILLION ESTIMATED CONSTRUCTION COSTS

For contracts with an estimated construction cost exceeding one million (\$1,000,000) dollars, in addition to any indemnification required under Section 6 of the Contract, the Contractor shall purchase insurance as provided in Minnesota Statutes Section 337.05, as most recently amended, for the benefit of the Owner and their agents and employees, which shall compensate them from any loss due to all claims, damages, losses, and expenses, including a minimum coverage of not less than \$2.5 million per claim and \$5 million per occurrence plus an appropriate amount of property damage for the size of the project. Copies of the contract of insurance shall be provided to the Owner and shall name these parties as an Additional Insured.

#### **135. WARRANTY OF TITLE**

No material, supplies, or equipment to be installed or furnished under this Contract shall be purchased subject to any chattel mortgage or under a conditional sale, lease-purchase or other agreement by which an interest therein or in any part thereof is retained by the seller or supplier. The Contractor shall warrant good title to all materials, supplies, and equipment installed or incorporated in the Work and upon

completion of all Work, shall deliver the same together with all improvements and appurtenances constructed or placed thereon by him to the City free from any claims, liens, or charges. Neither the Contractor nor any person, firm or corporation furnishing any material or labor for any Work covered by this Contract shall have any right to a lien upon any improvement or appurtenance thereon.

#### **136.** ENVIRONMENTAL CONDITIONS

It shall be the Contractor's obligation to ensure that in performance of the Work it complies with all applicable Minnesota Pollution Control Agency (MPCA) and Environmental Protection Agency (EPA) requirements that would impact directly or indirectly the Work to be performed subject to the specific directions contained in the Project plans and specifications and the Contractor shall call to the attention of the City any discrepancies that the Contractor becomes aware of between the requirements of those agencies and the plans and specifications.

#### **137.** EQUAL EMPLOYMENT OPPORTUNITY

During the performance of this contract, the contractor agrees as follows:

- a. The contractor will not discriminate against any employee or applicant for employment because of race, color, religion, sex, sexual orientation, gender identity, or national origin. The contractor will take affirmative action to ensure that applicants are employed, and that employees are treated during employment, without regard to their race, color, religion, sex, sexual orientation, gender identity, or national origin. Such action shall include, but not be limited to the following: Employment, upgrading, demotion, or transfer; recruitment or recruitment advertising; layoff or termination; rates of pay or other forms of compensation; and selection for training, including apprenticeship. The contractor agrees to post in conspicuous places, available to employees and applicants for employment, notices to be provided setting forth the provisions of this nondiscrimination clause.
- b. The contractor will, in all solicitations or advertisements for employees placed by or on behalf of the contractor, state that all qualified applicants will receive consideration for employment without regard to race, color, religion, sex, sexual orientation, gender identity, or national origin.
- c. The contractor will send to each labor union or representative of workers with which he has a collective bargaining agreement or other contract or understanding, a notice to be provided advising the said labor union or workers' representatives of the contractor's commitments under this section, and shall post copies of the notice in conspicuous places available to employees and applicants for employment.
- d. The contractor will comply with all provisions of Executive Order 11246 of September 24, 1965, and of the rules, regulations, and relevant orders of the Secretary of Labor.
- e. The contractor will furnish all information and reports required by Executive Order 11246 of September 24, 1965, and by rules, regulations, and orders of the Secretary of Labor, or pursuant thereto, and will permit access to his books, records, and accounts by the administering agency and the Secretary of Labor for purposes of investigation to ascertain compliance with such rules, regulations, and orders.
- f. In the event of the contractor's noncompliance with the nondiscrimination clauses of this contract or with any of the said rules, regulations, or orders, this contract may be canceled, terminated, or suspended in whole or in part and the contractor may be declared ineligible for further Government contracts or federally assisted construction contracts in accordance with procedures authorized in Executive Order 11246 of September 24, 1965, and such other sanctions may be imposed and remedies invoked as provided in Executive Order 11246 of September 24, 1965, or by rule, regulation, or order of the Secretary of Labor, or as otherwise provided by law.
- g. The contractor will include the portion of the sentence immediately preceding paragraph (a) and the provisions of paragraphs (a) through (g) in every subcontract or purchase order unless exempted by rules, regulations, or orders of the Secretary of Labor issued pursuant to section 204 of Executive Order 11246 of September 24, 1965, so that such provisions will be binding upon each

subcontractor or vendor. The contractor will take such action with respect to any subcontract or purchase order as the administering agency may direct as a means of enforcing such provisions, including sanctions for noncompliance: Provided, however, that in the event a contractor becomes involved in, or is threatened with, litigation with a subcontractor or vendor as a result of such direction by the administering agency the contractor may request the United States to enter into such litigation to protect the interests of the United States.