

Executive Summary

AP-05 Executive Summary - 24 CFR 91.200(c), 91.220(b)

1. Introduction

The 2016 Action Plan explains and evaluates the City's second year of progress towards the goals in the current Consolidated Plan. It also provides recommendations for funding community development and housing projects. The Consolidated Plan is a five year planning document. It lays out the goals for the City of Duluth's Community Development program. Based on a collaborative process involving community members and local organizations, the City establishes a unified vision for effective, coordinated neighborhood and community development strategies. The current Consolidated Plan outlines the community's goals and objectives for 2015 through 2019. Each year the City's Action Plan shows how it will pursue these goals for all community development and housing programs. The City submits the annual Action Plan to Housing and Urban Development (HUD) as part of the monitoring process for HUD funds.

Major community needs addressed by the goals and objectives in the Action Plan include decent, affordable housing, a suitable living environment, and expanded economic opportunities for all community members. The projects funded through the Community Development Program address these community needs by creating and maintaining affordable housing, providing economic opportunities, offering public services to community members in need, and providing access to quality public facilities.

The City of Duluth expects to receive almost \$3,000,000 in funds from HUD. The City disperses these funds to meet the goals and objectives in the Consolidated Plan. HUD provides three types of funds: \$2,190,293 Community Development Block Grant (CDBG), \$471,958 HOME Investment Partnerships (HOME), and \$198,963 Emergency Solutions Grant (ESG). CDBG funds support community development projects including affordable housing, public services, public facilities, and economic development. HOME funds support programs that create affordable housing for low-income households. ESG funds support projects that offer basic needs and housing stabilization services to community members experiencing or at risk of experiencing homelessness. Local organizations apply to the Community Development Program requesting these HUD funds for their projects.

The Community Development Committee (CDC) has reviewed the applications and recommended funding amounts for the 2016 Community Development Program applications. The CDC heard public input on the 2016 recommendations at the Public Hearing on December 1, 2015 and then made their final funding recommendations to the City Council. The Action Plan, detailed funding

recommendations, and information about the Public Hearing are available on the City's website at <http://www.duluthmn.gov/community-development/>

2. Summarize the objectives and outcomes identified in the Plan

This could be a restatement of items or a table listed elsewhere in the plan or a reference to another location. It may also contain any essential items from the housing and homeless needs assessment, the housing market analysis or the strategic plan.

DECENT HOUSING - - which includes:

- assisting people experiencing homelessness to obtain affordable housing;
- assisting persons at risk of experiencing homeless;
- retaining the affordable housing stock;
- increasing the availability of affordable permanent housing in standard condition to low income and moderate-income families, particularly members of disadvantaged minorities, without discrimination on the basis of race, color, religion, sex, national origin, familial status, or disability;
- increasing the supply of supportive housing which includes structural features and services to enable persons with special needs (including persons with HIV/AIDS) to live in dignity and independence; and
- providing affordable housing that makes job opportunities accessible to residents.

A SUITABLE LIVING ENVIRONMENT - - which includes:

- improving the safety and livability of neighborhoods;
- eliminating blighting influences and the deterioration of property and facilities;
- increasing access to quality public and private facilities and services;
- reducing the isolation of income groups within areas through spatial deconcentration of housing opportunities for lower income persons and the revitalization of deteriorating neighborhoods;

- conserving energy resources and use of renewable energy sources.

EXPANDED ECONOMIC OPPORTUNITIES - - which includes:

- job creation and retention;

- establishment, stabilization and expansion of small businesses (including micro-businesses);
 - the provision of public services concerned with employment;
 - the provision of jobs to low-income persons living in areas affected by those programs and activities, or jobs resulting from carrying out activities under programs covered by the plan;
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- access to capital and credit for development activities that promote the long term economic and social viability of the community; and
 - empowerment and self-sufficiency for low-income persons to reduce generational poverty in federally assisted housing and public housing.

3. Evaluation of past performance

This is an evaluation of past performance that helped lead the grantee to choose its goals or projects.

Each program that is funded with CDBG, HOME and ESG funding is monitored annually to make sure that the number of people who were going to be served for a specific need were met. If not, then that program is evaluated to determine if the need for that the program is still a priority or if that need is being met elsewhere due to other new funding sources or if the community dynamics have changed and the demand for a specific need has decreased. Every year, there are public input sessions to gather input on community needs to evaluate if a need should be a goal for this plan.

4. Summary of Citizen Participation Process and consultation process

Summary from citizen participation section of plan.

The Citizen Participation plan was followed. There was a public forum on May 25, 2015 that discussed the need for greater transportation routes, concerned about mental illness and affordable housing. A summary is attached.

In addition, in April 2015 the Community Development office conducted an internet survey. There were 47 responses. Attached.

5. Summary of public comments

This could be a brief narrative summary or reference an attached document from the Citizen Participation section of the Con Plan.

The citizen participation process is outlined in the 2014 Citizen Participation Plan and was integral in goal-setting and developing strategies in the plan. The City used a combination of public hearing, newspaper outreach, and surveys (both online and in person) to identify needs in Duluth.

The Citizen Participation Plan lists out the public process that the Community Development Division utilizes throughout the year and uses in developing the Action Plan. The plan includes the process for the development of the Consolidated Plan and the Action Plan. The Citizen Participation Plan can be found online at: <http://www.duluthmn.gov/community-development/>

The process starts with an analysis of the housing market to determine the affordable housing gaps and a community needs assessment. Then the Community Development Division holds a community development and housing needs public hearing, which states the process to develop the Plan and address the general needs of the community that could be addressed with HUD program funds. The purpose of this hearing is to review housing market data to determine housing needs and to analyze other survey data to define community development needs. The CD Division meets with various concerned groups and agencies that deal with community needs, after which a draft Plan priorities and strategies is developed. This is then followed by a summary advertisement in the local newspaper and news release that copies are available for inspection at the local library, as well as the offices of the CD Division in City Hall and on-line on the City's website. All who are interested may obtain copies, at no cost, of the draft Plan during the 30-day public comment period. A summary of any comments, written or oral, received during the 30-day comment period, along with the City response to the same, will be attached to and become part of the "final" Consolidated Plan. An additional Public Hearing is held by the CD Committee or the City Council after the 30-day comment period and prior to the City Council formally approving the Plan and forwarding it to HUD for review and approval.

In addition to this process the Community Development Division conducted a survey for the Consolidated Plan, which is used for the Action Plan. The survey was available both online and in-person to reach more citizens in Duluth. The online survey was advertised on local television news stations, social media, and through word-of-mouth and could be filled out at home, at area branch libraries, program provided public computers, or on smartphones. The survey was also made available at several local food shelves and soup kitchens and at neighborhood events to gain further comments, which assisted in getting to neighborhoods and programs that serve a proportionally high percentage of low income individuals and persons of color.

6. Summary of comments or views not accepted and the reasons for not accepting them

All comments were accepted.

7. Summary

The 30-day public comment period will began on Friday, October 30, 2015 and ended on Monday, December 1, 2015. The comments received during this time and the minutes from the Public Hearing on December 1 are attached.

PR-05 Lead & Responsible Agencies – 91.200(b)

1. Agency/entity responsible for preparing/administering the Consolidated Plan

Describe the agency/entity responsible for preparing the Consolidated Plan and those responsible for administration of each grant program and funding source.

Agency Role	Name	Department/Agency
CDBG Administrator	DULUTH	Community Development Division
HOME Administrator	DULUTH	Community Development Division
ESG Administrator	DULUTH	Community Development Division

Table 1 – Responsible Agencies

Narrative (optional)

Consolidated Plan Public Contact Information

AP-10 Consultation – 91.100, 91.200(b), 91.215(I)

1. Introduction

The City of Duluth is dedicated to developing a Action Plan that is effective in meeting the needs of low income & moderate income people within the city.

An advisory group of citizens assists with reviewing community development strategies by providing direct citizen input into the planning and prioritization process. That group is the Community Development Committee (CD Committee). The CD Committee is made up of nine communitywide representatives. The CD Committee is advisory to the City Administration and the City Council.

The participation process for the Community Development Program is detailed in the City of Duluth Citizen Participation Plan, January 2014 publication. In general terms, the process consists of two tiers. The CD Committee helps to provide community perspectives on the Community Development Program and oversee the funding process. Secondly, the city uses a public hearing, community needs survey, and online forums to hear from the public and agencies that provide services in Duluth's CDBG Target Areas and represent neighborhood perspectives.

The Community Development Division, as the lead entity, strives to involve many partners in addressing community development needs and issues. There are many other participants involved with housing and community development issues and programs in Duluth. As the lead agency, the Division strives to achieve close cooperation among these other entities.

Provide a concise summary of the jurisdiction's activities to enhance coordination between public and assisted housing providers and private and governmental health, mental health and service agencies (91.215(I))

The Community Development Division works closely with the Housing and Redevelopment Authority of Duluth (Duluth HRA) which is the local public housing administrator for Duluth. The city, along with the Duluth HRA, One Roof Community Housing, Ecolibrium3, and the Arrowhead Economic Opportunity Agency all collaborated together to form the Housing Resource Connection, which is a place for Duluth residents to locate and apply for a variety of housing resources and assistance. Since forming the Housing Resource Connection, the agencies have worked to coordinate a single application that allows potential participants to make one application, and then find what programs best suit their needs. The City participates in a group called the Affordable Housing Coalition, which is made up of numerous agencies in Duluth that provide housing and homelessness services and programs to those in need. This group meets regularly to discuss issues and gaps in service, as well as, works to develop strategies to assist those in need of housing assistance.

Describe coordination with the Continuum of Care and efforts to address the needs of homeless persons (particularly chronically homeless individuals and families, families with children, veterans, and unaccompanied youth) and persons at risk of homelessness.

The City of Duluth, in collaboration with St. Louis County, has adopted a ten-year plan to address homelessness. The ten-year plan contains new strategies for ending homelessness, particularly for people who are chronically homeless. The City is a partner in the implementation process for the plan and has representation on the implementation committee. This committee includes members from the public, nonprofit and private sectors. Further definition is needed for better coordination with resources to meet the needs of persons who are homeless or at risk of becoming homeless to address shortages in the operation of units within the Continuum of Care inventory. City has been leading discussions with service providers and the Continuum of Care to define what stabilization after homelessness means, and to create a common goal for all providers.

Describe consultation with the Continuum(s) of Care that serves the jurisdiction's area in determining how to allocate ESG funds, develop performance standards for and evaluate outcomes of projects and activities assisted by ESG funds, and develop funding, policies and procedures for the operation and administration of HMIS

determining how to allocate ESG funds, develop performance standards and evaluate outcomes, and develop funding, policies and procedures for the administration of HMIS

The City of Duluth has worked closely with the St. Louis Continuum of Care (CoC) in developing an ESG manual and guidebook with the City's policies. Specific protocols have been determined for prioritizing services to eligible households. As part of the CoC several committees have been developed that have representation from the City, County, service providers, and clients. These committees and their duties are as follows.

Heading Home St. Louis County Leadership Council- Establishes annual targets through strategic planning, decides final funding and reallocation, review and ranks projects, and monitors program performances.

Data/HMIS Committee- Regularly review system level data and identify additional internal and external data sources. Oversees and monitors HMIS data collection and data quality and resolve any issues between provider (s) and Data Collection.

Performance Evaluation Committee – Reviews the annual Point in Time Count and makes recommendations to the leadership council.

10 year plan committee – Identify strategies not yet in place, revisit and update the objective achievements and plan of action.

Other ad-hoc workgroups have been created to address Coordinated Access, communication of priorities, and prevention targeting.

2. Describe Agencies, groups, organizations and others who participated in the process and describe the jurisdiction’s consultations with housing, social service agencies and other entities

Table 2 – Agencies, groups, organizations who participated

1	Agency/Group/Organization	HRA
	Agency/Group/Organization Type	Housing PHA Services - Housing
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Public Housing Needs Lead-based Paint Strategy
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	The City of Duluth and the Housing and Redevelopment Authority of Duluth (HRA) have an ongoing working relationship, and HRA was consulted throughout the Consolidated Plan process to take into account housing needs and strategies.
2	Agency/Group/Organization	Duluth Affordable Housing Coalition
	Agency/Group/Organization Type	Housing
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Homelessness Strategy
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Duluth's Affordable Housing Coalition is made up of over twenty non-profit organizations and governmental agencies working to develop and preserve affordable housing throughout Duluth. The Coalition addresses the need for affordable housing along the entire spectrum from the "hardest to house" through workforce housing. The consultation with this group was extremely helpful in identifying gaps in service and developing priorities and goals. The city will continue to work with this group to prioritize and direct funding.

3	Agency/Group/Organization	COMMUNITY ACTION DULUTH
	Agency/Group/Organization Type	Services-Children Services-Education Services-Employment Community Action Agency
	What section of the Plan was addressed by Consultation?	Economic Development
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Community Action Duluth is a community action agency and provides a number of services including a Financial Opportunities Center, is a career and personal financial service centers that focus on the financial bottom line for low-to-moderate income individuals. Community Action has a unique perspective and their input on low income needs throughout the community is very useful. They will continue to be consulted for the identification of barriers to employment and increasing income.
4	Agency/Group/Organization	Duluth Workforce Center
	Agency/Group/Organization Type	Services-Education Services-Employment Local and and State Collaboration
	What section of the Plan was addressed by Consultation?	Economic Development Anti-poverty Strategy Employment Services

	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	The Duluth Workforce Center is a collaboration of the several agencies including the Duluth Workforce Division and the state Department of Employment and Economic Development. The city relies upon this agency to coordinate city job training, education, and employment connections. The Duluth Workforce Center will continue to be used in identifying growing industry sectors, employment training needs, and other efforts needed to grow jobs and employment in Duluth. As identified by the center, Duluth is now working to encourage economic development efforts to include training for construction and aircraft assembly sectors, which are in great need.
5	Agency/Group/Organization	Community Development Committee
	Agency/Group/Organization Type	Citizen Committee
	What section of the Plan was addressed by Consultation?	Oversees City CDBG HOME ESG Process
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	The Community Development Committee is a group of appointed citizens who have different incomes and backgrounds that have interest and experience with housing and community development issues. The Committee oversees the entire CDBG Program; including the development of the Consolidated Plan, Annual Action Plan, CAPER Report, and all funding recommendations. The committee makes recommendations to the City Council and Mayor.
6	Agency/Group/Organization	United Way of Greater Duluth
	Agency/Group/Organization Type	Services-Children Services-Education Services-Employment Foundation
	What section of the Plan was addressed by Consultation?	Funding Partner

	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	The United Way of Greater Duluth helps the community through strategic investments in a network of local programs and initiatives, United Way helps meet the health and human care needs of people in the Greater Duluth area by investing in the building blocks of a good life: Education, Income Health and Basic Needs. As a funding partner with the City, the two agencies will continue to work together to identify gaps and work to avoid duplication of services/funding.
7	Agency/Group/Organization	Ordean Foundation
	Agency/Group/Organization Type	Private Foundation Foundation
	What section of the Plan was addressed by Consultation?	Funding Partner
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	The Ordean Foundation works to fund projects and efforts in the Duluth area, often times with much overlap of CDBG related activities. The City of Duluth will continue to collaborate with the Ordean Foundation to continue to ensure that gaps in services are met and avoid duplication of services/funding.
8	Agency/Group/Organization	Northland Foundation
	Agency/Group/Organization Type	Private Foundation Foundation
	What section of the Plan was addressed by Consultation?	Funding Partner
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	The Northland Foundation is a regional foundation serving the communities of northeastern Minnesota, emphasizing funding projects to help children, youth, families, small/medium businesses, and the elderly. The City will continue to work with the Northland Foundation to identify funding gaps and eliminate the duplication of services/funding.

9	Agency/Group/Organization	Duluth Superior Area Community Foundation
	Agency/Group/Organization Type	Private Foundation Foundation
	What section of the Plan was addressed by Consultation?	Funding Partner
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	The Duluth Superior Area Community Foundation works to improve the region through funding projects that include the arts, community and economic development, education, environment, and human services. The City will continue to collaborate with the foundation to identify the gaps in services and avoid the duplication of services.
10	Agency/Group/Organization	Generations Health Care Initiatives
	Agency/Group/Organization Type	Private Foundation Foundation
	What section of the Plan was addressed by Consultation?	Funding Partner
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Generations Health Care Initiatives is a private foundation that supports innovative, local programs that expands access to health care and improves the health of underserved people in the Duluth/Superior area and northeastern Minnesota. Generations place in Duluth is important and the city relies upon Generations to provide health related information, like the report titled "Bridge to Health" which provides information that helps to identify gaps and health needs in the region.
11	Agency/Group/Organization	Duluth Local Initiatives Support Corporation
	Agency/Group/Organization Type	Local Initiative Support Corporation

	What section of the Plan was addressed by Consultation?	Economic Development Funding Partner
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Duluth Local Initiative Support Coalition (Duluth LISC) is an agency in Duluth that works to help nonprofit community development organizations transform distressed neighborhoods into healthy and sustainable communities. Duluth LISC also helps to coordinate the neighborhood revitalization plans that Duluth has completed for each of the four core neighborhoods in Duluth. The city works with LISC to coordinate the Duluth At Work program which is a job training program for low income people. Duluth works with LISC to identify needs, coordinate revitalization efforts, and collaborate to reach the low income neighborhoods and CDBG Target areas in Duluth.
12	Agency/Group/Organization	Saint Louis County Health and Human Services Dept
	Agency/Group/Organization Type	Services-Children Services-Health Other government - County Other government - Local
	What section of the Plan was addressed by Consultation?	County Government
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	The St. Louis County Health and Human Services Department manages the county Continuum of Care process and works to coordinate homeless and emergency services in within the County. The County is a partner with the city in a providing coordination in homeless services and working to identify gaps and unmet needs.
13	Agency/Group/Organization	Saint Louis County Community Development Division
	Agency/Group/Organization Type	Other government - County

	What section of the Plan was addressed by Consultation?	County Government
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	The St. Louis County Community Development Division manages the CDBG funds that come to the county. Although these funds are directed outside of the City of Duluth, the city continues to consult with the county's Community Development staff in order to look for opportunities for collaboration and to identify gaps.
14	Agency/Group/Organization	ARDC- Arrowhead Area Agency on Aging
	Agency/Group/Organization Type	Services-Elderly Persons Other government - Local
	What section of the Plan was addressed by Consultation?	Senior Services
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	The Arrowhead Regional Development Commission- Arrowhead Area Agency on Aging the designated area agency on aging for the seven county arrowhead region and works to provide three critical functions: OAA funding administration, community planning and service development, and information and assistance. Further coordination and consultation could be helpful in identifying needs and service gaps for elderly people in the community.

Identify any Agency Types not consulted and provide rationale for not consulting

None

Other local/regional/state/federal planning efforts considered when preparing the Plan

Name of Plan	Lead Organization	How do the goals of your Strategic Plan overlap with the goals of each plan?
Continuum of Care	St. Louis County	The Continuum of Care efforts of the county relate closely with the goals and strategies of the development of the Strategic Plan. The city works closely with the county to ensure that the needs associated in the Continuum of Care are also addressed within the Strategic Plan. Both plans have a goal to provide homeless services and ensure that there is adequate coverage of homeless programs.
10 Year Plan to End Homelessness	St. Louis County	In 2005, St. Louis County developed the 10-year Plan to End Homelessness to create a new strategy, based on national and local best practices, to reduce the suffering of our most vulnerable citizens. The city's strategic plan closely follows this plan and strives to meet the goals that were set out in the plan.
Duluth Comprehensive Land Use Plan	City of Duluth	In 2005, the city completed the Comprehensive Land Use Plan in order to lay out goals and priorities for neighborhoods and areas in Duluth. The plan put forth strategies to help Duluth's parks, housing, transportation, and service needs. The Strategic Plan is guided by this plan in terms of developing strong neighborhoods in Duluth.
At Home in Duluth Revitalization Plans	Duluth Local Initiative Support Corporation	In 2012, Duluth LISC updated four neighborhood plans for the Hillside, Lincoln Park, West Duluth, and Morgan Park neighborhoods. The plans developed through input from neighborhood residents, business owners, and service agencies led to identifying needs and setting priorities for each neighborhood. Duluth includes the priorities in these plans within the strategic plan goals.

Table 3 – Other local / regional / federal planning efforts

Narrative (optional)

AP-12 Participation – 91.105, 91.200(c)

1. Summary of citizen participation process/Efforts made to broaden citizen participation Summarize citizen participation process and how it impacted goal-setting

The citizen participation process is outlined in the 2014 Citizen Participation Plan and was integral in goal-setting and developing strategies in the plan. The City used a combination of public hearing, newspaper outreach, and surveys (both online and in person) to identify needs in Duluth.

The Citizen Participation Plan describes the public process that the Community Development Division utilizes throughout the year. The plan includes the process for the development of the Consolidated Plan and Action Plan. The Citizen Participation Plan can be found online at: <http://www.duluthmn.gov/community-development/>

The process starts with an analysis of the housing market to determine the affordable housing gaps and a community needs assessment. Then the Community Development Division holds a community development and housing needs public hearing, which states the process to develop the Plan and address the general needs of the community that could be addressed with HUD program funds. The purpose of this hearing is to review housing market data to determine housing needs and to analyze other survey data to define community development needs. The CD Division meets with various concerned groups and agencies that deal with community needs, after which a draft Plan priorities and strategies will be developed. This is then followed by a summary advertisement in the local newspaper and news release that copies are available for inspection at the local library, as well as the offices of the CD Division in City Hall. All who are interested may obtain copies, at no cost, of the draft Plan during the 30-day public comment period. A summary of any comments, written or oral, received during the 30-day comment period, along with the City response to the same, will be attached to and become part of the “final” Plan. An additional Public Hearing is held by the CD Committee or the City Council after the 30-day comment period and prior to the City Council formally approving the Plan and forwarding it to HUD for review and approval.

In addition to this process the Community Development Division conducted a survey, both online and in-person to reach more citizens in Duluth. This survey was for the Consolidated Plan, which provides the basis for the Action Plans. The online survey was advertised on local television news stations, social media, and through "word-of-mouth" and the surveys could be filled out at home, at area branch libraries, program provided public computers, or on smartphones. The survey was also made available at several local food shelves and soup kitchens and

at a neighborhood event to gain further comments, which assisted in getting to neighborhoods and programs that serve a proportionally high percentage of low income individuals and persons of color.

Citizen Participation Outreach

Sort Order	Mode of Outreach	Target of Outreach	Summary of response/attendance	Summary of comments received	Summary of comments not accepted and reasons	URL (if applicable)
1	Public Hearing	Non-targeted/broad community	Attached.		none	
2	Newspaper Ad	Non-targeted/broad community	A Community and Housing Needs Public Hearing advertisement was placed in the Duluth News Tribune which has a broad circulation throughout the region.	Attached.	none	

Sort Order	Mode of Outreach	Target of Outreach	Summary of response/attendance	Summary of comments received	Summary of comments not accepted and reasons	URL (if applicable)
3	Internet Outreach	Non-targeted/broad community	The city conducted an online survey and asked sub-recipients to help to distribute and encourage their participants and the community to identify their needs.	Various comments about housing, public service, and employment needs. The survey responses are in the Executive Summary.	All accepted.	
4	Public Meeting	Non-targeted/broad community	On May 26, 2015, approximately 30 people attended a Public Forum to discuss community needs.	Varied from connecting people to jobs and services, to assisting people with mental health, and the lack of affordable housing.	none	

Table 4 – Citizen Participation Outreach

Expected Resources

AP-15 Expected Resources – 91.220(c)(1,2)

Introduction

The City of Duluth expects to receive nearly \$3,000,000 in funds from the Department of Housing and Urban Development (including CDBG, HOME, and ESG). The city has prioritized projects and activities in this plan and will work to disperse the funds to meet the goals and objectives identified.

Anticipated Resources

Program	Source of Funds	Uses of Funds	Expected Amount Available Year 1				Expected Amount Available Remainder of ConPlan \$	Narrative Description
			Annual Allocation: \$	Program Income: \$	Prior Year Resources: \$	Total: \$		
CDBG	public - federal	Acquisition Admin and Planning Economic Development Housing Public Improvements Public Services	2,190,293	279,947	0	2,470,240	0	Program Income from Revolving Loan Funds amount received in FY 2015, estimated 2016 on 2015 results. PI is utilized for housing rehabilitation loans.

Program	Source of Funds	Uses of Funds	Expected Amount Available Year 1				Expected Amount Available Remainder of ConPlan \$	Narrative Description
			Annual Allocation: \$	Program Income: \$	Prior Year Resources: \$	Total: \$		
HOME	public - federal	Acquisition Homebuyer assistance Homeowner rehab Multifamily rental new construction Multifamily rental rehab New construction for ownership TBRA	471,958	0	0	471,958	0	No anticipated lien repayments.
ESG	public - federal	Conversion and rehab for transitional housing Financial Assistance Overnight shelter Rapid re-housing (rental assistance) Rental Assistance Services Transitional housing	198,963	0	0	198,963	0	No Program Income.

Table 5 - Expected Resources – Priority Table

Explain how federal funds will leverage those additional resources (private, state and local funds), including a description of how matching requirements will be satisfied

CDBG, HOME, and ESG funds will help to leverage over \$16,319,000 annually (\$80,000,000 over the 5-year plan) of other federal, state, and local funds. Matching requirements have been regularly met and the city doesn't anticipate having difficulty in satisfying these requirements.

If appropriate, describe publically owned land or property located within the jurisdiction that may be used to address the needs identified in the plan

Although not already identified, publically owned land is always considered as a way to reduce costs for new housing developments. The city of Duluth regularly considers using tax forfeited properties that Saint Louis County manages. These lands range from homes that are in need of rehab, to severely damaged/condemned buildings, to open lots. Often the city attempts to work with the county to remove the blighted structures and redevelop the land.

Discussion

The City continues to work with area funders, federal, state, and local funders to be able to leverage the federal funds that are received. While local private foundations experienced a period of reduced funding availability, projections show those amounts to increase. Individual organizations and collaborations have improved at seeking out new and alternative funding sources, in order to maintain service levels within the city. The city also continues to encourage cost-saving-collaborations within the housing, public service, and economic development areas.

Annual Goals and Objectives

AP-20 Annual Goals and Objectives

Goals Summary Information

Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
1	Economic Development	2015	2019	Non-Housing Community Development		Economic Development	CDBG: \$336,000	Jobs created/retained: 45 Jobs Businesses assisted: 7 Businesses Assisted
2	Tenant Based Rental Assistance	2015	2019	Homeless		Homelessness	HOME: \$110,000	Tenant-based rental assistance / Rapid Rehousing: 18 Households Assisted
3	Affordable Rental Housing	2015	2019	Affordable Housing Public Housing Non-Homeless Special Needs		Affordable Rental Housing	CDBG: \$612,566 HOME: \$200,000	Rental units rehabilitated: 250 Household Housing Unit
4	Affordable Home Owner Housing	2015	2019	Affordable Housing		Affordable Owner Occupied Housing	CDBG: \$28,000 HOME: \$115,018	Homeowner Housing Added: 3 Household Housing Unit Homeowner Housing Rehabilitated: 40 Household Housing Unit

Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
5	Community Public Facilities	2015	2019	Homeless Non-Homeless Special Needs Non-Housing Community Development			CDBG: \$299,500	Public Facility or Infrastructure Activities other than Low/Moderate Income Housing Benefit: 15600 Persons Assisted
6	Neighborhood Revitalization	2015	2019	Non-Housing Community Development		Affordable Rental Housing Affordable Owner Occupied Housing Special Needs Housing Communtiy Structural Public Facilities Public Services Economic Development Homelessness	CDBG: \$20,000	Other: 1 Other
7	Homelessness	2015	2019	Homeless		Homelessness	ESG: \$184,090	Tenant-based rental assistance / Rapid Rehousing: 201 Households Assisted Homeless Person Overnight Shelter: 1400 Persons Assisted Homelessness Prevention: 134 Persons Assisted

Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
8	Public Services	2015	2019	Homeless Non-Housing Community Development		Public Services	CDBG: \$175,368	Public service activities other than Low/Moderate Income Housing Benefit: 21215 Persons Assisted

Table 6 – Goals Summary

Goal Descriptions

1	Goal Name	Economic Development
	Goal Description	Duluth at Work is an innovative way to provide assistance to for-profit businesses by training participants with soft skills, practical training, and job specific training. SOAR Career Solutions is a Community Business Development Organization that has strong relationships with area employers and works to train prospective employees. In 2016, the city plans to work with SOAR Career Solutions to accomplish employment goals. Also part of the Duluth at Work program is the Entrepreneur Fund who works with low income small business owners to grow/expand their business in a program called Growing Neighborhood Businesses.
2	Goal Name	Tenant Based Rental Assistance
	Goal Description	The Duluth HRA will oversee the administration of housing vouchers for homeless families under the HOME funded Tenant-Based Rental Assistance Program. The HRA provides in-kind services in the administration of the housing vouchers. In 2016, the Duluth HRA will be awarded HOME program funding for tenant based rental assistance for homeless individuals and families to secure permanent rental housing. Case management services will be provided to ensure housing stability.

3	Goal Name	Affordable Rental Housing
	Goal Description	The Housing and Resource Connection (HRC) will provide low interest and deferred loans for the rehab of rental properties within the City of Duluth. Owners can apply for low interest loans to renovate properties, which then must serve low to moderate income tenants, less than 80% of AMI based upon household size. Funding includes an existing revolving loan fund and State loans. The HRC expects to rehab 60 multifamily units and 30 single family units used for rental. The Gateway project will maintain 150 affordable rental units in Duluth. The Center for Changeing Lives will be constructing 10 HOME family units.
4	Goal Name	Affordable Home Owner Housing
	Goal Description	The Housing Resource Connection (HRC) will provide low interest and deferred loans for the rehabilitation of single-family owner-occupied homes in Duluth. Funds will be used for emergency rehabs; homeowner rehab to address code deficiencies, lead paint hazards, energy efficiency; and for the rehab of acquired properties for sale to eligible homebuyers. Healthy home protocols will be used. This goal includes One Roof Community Housing using their CHDO funds to acquire and rehab 3 units. Ecolibrium 3 to rehab 20 units, and the HRC to rehab 20 single family units.
5	Goal Name	Community Public Facilities
	Goal Description	In 2016, two neighborhood facilities will be rehabilitated to provide services in low income areas. American Indian Community Housing Organization will rehabilitate Trepanier Hall and Gimaajii Mino Bimaadizimin building to improve the facility that provides neighborhood services and event space. Life House will rehabilitate the Life Line building to provide emergency shelter to homeless youth.
6	Goal Name	Neighborhood Revitalization
	Goal Description	Implementation of the City's Citizen Participation Plan and strategic planning with the Community Development Target Areas to identify key implementation strategies. This activity will also work with the Community's At-Home in Duluth neighborhood program
7	Goal Name	Homelessness
	Goal Description	Services for homeless, transitional housing and supportive housing will be completed by agencies eligible for the Housing & Stabilization benchmarks. Rapid Rehousing and Prevention Funds are in this category. HMIS collection is also supported in this category.

8	Goal Name	Public Services
	Goal Description	<p>Public Services Activities will include:</p> <ul style="list-style-type: none"> • Hunger Project is a coalition of three organizations offering food pantries and hot meals to prevent hunger and malnutrition among the lowest income of Duluth's residents. • Access to Primary Health Care Services will provide affordable dental care for low income and moderate income uninsured and under-insured community members. • JET Food Project will provide access to daily meals and snacks for 500+ youth ages 6-18 while also providing nationally-recognized job training skills that prepare teens for employment in the food service industry. • Basic Needs Center for Homeless Youth, through the Youth Drop-In Center will provide basic needs, referral services, independent living skills classes, and street outreach. Tenant Landlord Connection, which assist people and landlords with disputes to reduce the number of evictions.

Projects

AP-35 Projects – 91.220(d)

Introduction

The City relies mostly upon non-profit and neighborhood organizations to implements the goals of the Annual Action Plan. Through and open application process the City of Duluth reviews and evaluates applications to see how they meet the Consolidated Plan Goals. Projects are then funded and the City oversee progress to make sure the goals of the plan are met.

Projects

#	Project Name
1	JET Food Project MAY 16 TEST
4	Center for Changing Lives- Lutheran Social Services
5	Tenant Based Rental Assistance
6	Program Administration
7	HOME Tenant Based Rental Assistance Administration
8	Duluth Housing Project
9	Duluth at Work Collaborative SOAR Career Solutions- CDBO
10	Growing Neighborhood Business
11	Duluth Hunger Project
12	Access to Dental Care Services
13	Basic Needs Center for Homeless Youth
14	CHUM Shelter Project
15	AICHO Housing Services
16	MACV Homeless Services
17	Salvation Army Family Housing Services
18	Center City Housing Programs
19	Safe Haven -Domestic Violence Shelter
20	ESG16 DULUTH
21	Gateway Tower Redevelopment
22	CHDO CLT Acquisition/Rehabilitation
23	Energy Services
24	Neighborhood Planning
25	Duluth Parks
26	Trepanier Hall Improvements - AICHO
27	Tenant Landlord Connection
28	Coordinated Entry and Assessment

Table 7 - Project Information

Describe the reasons for allocation priorities and any obstacles to addressing underserved needs

Each year the Community Development Committee develops funding targets based on needs assessments, data analysis, and input from public hearings. These funding targets then guide the review of applications and funding recommendations. For 2015, the funding targets were set as the following:
Community Development Block Grant

Affordable Housing, Target: 30%, Recommended: 38.2%
Economic Development, Target: 15%, Recommended: 15.2%
Public Facilities, Target: 20%, Recommended: 13.7%
Public Services, Target: 15%, Recommended: 12.9%
Planning/Administration: 20%, Recommended: 20%

AP-38 Project Summary
Project Summary Information

1	Project Name	JET Food Project MAY 16 TEST
	Target Area	
	Goals Supported	Public Services
	Needs Addressed	Public Services
	Funding	CDBG: \$20,000
	Description	The JET Food Project at Neighborhood Youth Services will provide daily meals and snacks for over 500 youth ages 6-18 while also developing nationally-recognized job training skills that prepare teens for employment in the food service industry.
	Target Date	3/31/2017
	Estimate the number and type of families that will benefit from the proposed activities	500 low income youth will receive meals/snacks at the Neighborhood Youth Services center.
	Location Description	310 N First Avenue West, Duluth, MN 55806
	Planned Activities	The JET Food Project supports a primary population of 500+ youth at NYS between the ages of 6-18 years old who are in need of daily food access because of the following risk factors: family poverty, homelessness, transient living situations, violence, and neglect. The JET Food Project also cross-supports a secondary segment for the general youth population at NYS, through access to nationally-recognized food services related job training skills on-site in the NYS kitchen. The project will utilize daily Chef's Club lessons to prepare food for all NYS participants.
2	Project Name	Center for Changing Lives- Lutheran Social Services
	Target Area	
	Goals Supported	Affordable Rental Housing
	Needs Addressed	Special Needs Housing
	Funding	HOME: \$200,000
	Description	Construction of ten one-bedroom permanent supportive housing for homeless youth in need of affordable housing with access to support services. Rental units will be a component of the Center for Changing Lives - Duluth.
	Target Date	6/30/2017

	Estimate the number and type of families that will benefit from the proposed activities	The project includes the production of 10 permanent supportive units.
	Location Description	Intersection of East Superior Street and 14th Avenue, Duluth, MN 55805.
	Planned Activities	Lutheran Social Services (LSS) will construct the Center for Changing Lives, which will include ten units of transitional, supportive housing, ten permanent affordable rental units, and provide expanded services. The HOME funds will be used to construct the ten permanent supportive housing units. Vacant and underutilized land has been identified and will be acquired for the development.
3	Project Name	Tenant Based Rental Assistance
	Target Area	
	Goals Supported	Tenant Based Rental Assistance
	Needs Addressed	Homelessness
	Funding	HOME: \$110,000
	Description	The program will provide rental assistance for households who would otherwise be homeless. The City and the Duluth HRA have established this program to provide rental assistance as a significant component in the continuum of services to prevent homelessness.
	Target Date	3/31/2017
	Estimate the number and type of families that will benefit from the proposed activities	The Duluth HRA will work with 18 households in this program to prevent homelessness.
	Location Description	222 E 2nd St, Duluth, MN 55805.
	Planned Activities	This HRA program provides rental assistance to homeless families. The program is similar to the "Section 8" Housing Voucher Program. However, applicants can have immediate access to a voucher, and persons with some types of criminal histories can be housed who would be rejected under the regular "Section 8" guidelines.
4	Project Name	Program Administration
	Target Area	

	Goals Supported	
	Needs Addressed	
	Funding	CDBG: \$418,059 HOME: \$39,440
	Description	Operation, reporting and monitoring of federally funded activities. Also, these funds assist with the implementation of the City's Citizen Participation process that leads to the development of the Consolidated Plan and Annual Action Plans. Programs include CDBG and HOME.
	Target Date	3/31/2017
	Estimate the number and type of families that will benefit from the proposed activities	
	Location Description	
	Planned Activities	Operation, reporting and monitoring of federally funded activities. Also, these funds assist with the implementation of the City's Citizen Participation process that leads to the development of the consolidated Plan and the Annual Action Plan.
5	Project Name	HOME Tenant Based Rental Assistance Administration
	Target Area	
	Goals Supported	
	Needs Addressed	
	Funding	HOME: \$7,500
	Description	The HRA will provide a TBRA program for rental assistance to households to prevent homelessness. THIS IS A DUPLICATION BY IDIS-PROJECT 2016 - 10 HAS THE ACTIVITY (2126) and ACCOMPLISHMENTS
	Target Date	3/31/0017
	Estimate the number and type of families that will benefit from the proposed activities	
	Location Description	

	Planned Activities	
6	Project Name	Duluth Housing Project
	Target Area	
	Goals Supported	Affordable Rental Housing Affordable Home Owner Housing
	Needs Addressed	Affordable Rental Housing Affordable Owner Occupied Housing
	Funding	CDBG: \$612,566 HOME: \$42,518
	Description	Collaboration between Duluth HRA and One Roof Community Housing, to provide housing opportunities for low and moderate income individuals, services include: rehab, construction, and ownership opportunities
	Target Date	3/31/2017
	Estimate the number and type of families that will benefit from the proposed activities	60 families in multifamily units and 50 families in single family units.
	Location Description	Locations are not yet determined. An application process for assistance is used, so locations will be dependent on applicants and program qualifications
	Planned Activities	<ul style="list-style-type: none"> • 55 Single Family Rehab • 75 Multi Family Rehab2 acquisition Rehab
7	Project Name	Duluth at Work Collaborative SOAR Career Solutions- CDBO
	Target Area	
	Goals Supported	Economic Development
	Needs Addressed	Economic Development
	Funding	CDBG: \$272,000

Description	SOAR Career Solutions, a Community Based Development Organization (CBDO), will provide the Duluth at Work Program and create jobs by readying candidates for for-profit business employment. Participants will be at or below 50% AMI to obtain employment and maintain their jobs to increase their income.
Target Date	10/31/2018
Estimate the number and type of families that will benefit from the proposed activities	5SOAR Career Solutions Duluth at Work will create jobs by readying 45 candidates for employment.
Location Description	Participants will be Duluth residents and a high percentage will be from CDBG Target Areas where the services are located.

	Planned Activities	<p>SOAR Career Solutions (SOAR), a CBDO will work with the Duluth At Work Collaborative including CHUM, Life House, and Community Action Duluth to provide training, support, case management, job placement, and job retention assistance for Duluth residents at/below 50% of Area Median Income to identify, obtain and keep jobs that increase their income over two years. Each D@W client will meet with staff to complete a validated screening tool, the Employability Measure (EM). A case plan will be developed based on the EM's 11 domains of functioning. Intensive support will be provided to help clients overcome employment barriers and become self-sufficient. Clients will be enrolled in workshops as needed. These include: STEPS, a cognitive program to assist people in changing behaviors and attitudes, building motivation, and gaining a desire to succeed. Job Basics, a short course on workplace culture and expectations; Fast Track, a concentrated course on job searching, marketing oneself, job applications, and successful interviewing skills; The Works, a comprehensive course where individuals discover their career dreams and build skills to reach their goals; Computer Basic Training, a course for clients who need to overcome computer anxiety and become confident with basic computer skills in order to secure employment. Additionally, clients will be referred as needed to other community services including Community Action's Circles of Support and FAIM programs, Adult Learning Center, Flexwork, Lake Superior College, the Duluth Workforce Center, American Indian Community Housing Organization's culturally specific supportive services, Minnesota Assistance Council for Veterans, and other community SOAR, as a Community Based Development Organization (CBDO) has developed a network of employer partnerships to support the placement of program participants in full-time employment and to customize training to meet the specific needs of employers. SOAR has relationships with area employers Holiday Inn, Essentia Health, Express Personnel Services, Northstar Aerospace, and the small businesses in the Northeast Entrepreneur Fund's Growing Neighborhood Businesses program. Post job placement retention services will include ongoing case management as needed; individual sessions at SOAR, in the workplace, or in the community; phone calls; letters; e-mail contact; and newsletters for three years. Monthly peer support/ongoing education sessions will be planned and offered.</p>
8	Project Name	Growing Neighborhood Business
	Target Area	

	Goals Supported	Economic Development
	Needs Addressed	Economic Development
	Funding	CDBG: \$56,000
	Description	The Entrepreneur Fund will provide technical assistance and peer support for small business owners within the Duluth Target Neighborhoods to help them grow their business by 25% and create more job positions.
	Target Date	3/31/2019
	Estimate the number and type of families that will benefit from the proposed activities	Owners of businesses located in CDBG Target Areas will gain assistance in growing/expanding their businesses.
	Location Description	Participants will be business owners located within CDBG Target Areas.
	Planned Activities	Entrepreneur Fund will assemble a cohort of low income business owners that are interested in expanding their business. The EF Business Advisor conducts classes, assists in development of business plans, and provides other assistance in growing business revenue over a three year period.
9	Project Name	Duluth Hunger Project
	Target Area	
	Goals Supported	Public Services
	Needs Addressed	Public Services
	Funding	CDBG: \$70,000
	Description	The Duluth Hunger Project is a coalition of agencies working together to prevent and alleviate chronic hunger and malnutrition among the lowest income of Duluth's residents, through congregate meal sites and emergency food shelf programs.
	Target Date	3/31/2017
	Estimate the number and type of families that will benefit from the proposed activities	12,000 participants will benefit from this project.
	Location Description	Programs providing food are located in the Hillside, Lincoln Park, and West Duluth.

	Planned Activities	Collectively, the Duluth Hunger Project provides on-site meals and food shelf services to the lowest income members of the Duluth community. On-site meals are essential for the survival of people who are homeless people and support low-income residents who are in danger of losing their permanent housing. The downtown area is served by CHUM and the Damiano Center. The Damiano Center serves breakfast and lunch on Monday, Wednesday, and Friday and three meals on Saturday and Sunday. The Damiano Center also serves many children from the Central Hillside through its Kids' Cafe program. CHUM's on-site meal program serves a primarily homeless population at noon on Tuesdays and Thursdays when the Damiano Center is closed, breakfast on Wednesdays, and community meals sponsored by member congregations several times a month. The Salvation Army serves lunch Monday through Friday, primarily for those from Lincoln Park and West Duluth neighborhoods. CHUM and the Salvation Army operate food shelves.
10	Project Name	Access to Dental Care Services
	Target Area	
	Goals Supported	Public Services
	Needs Addressed	Public Services
	Funding	CDBG: \$30,000
	Description	Supports the provision of affordable dental care for uninsured and underinsured low and moderate income individuals and families in accessible locations within the City.
	Target Date	3/31/2017
	Estimate the number and type of families that will benefit from the proposed activities	7815 low income people will receive dental services through this project.
	Location Description	4325 Grand Avenue, Duluth, MN 55807
	Planned Activities	The Dental Health Care Services program provides access to affordable dental care for low income and moderate income uninsured and under-insured community members. Dental services include preventative, restorative and urgent dental care. The funding for this project contributes to the salaries of three Restorative Functional Dental Assistants.

11	Project Name	Basic Needs Center for Homeless Youth
	Target Area	
	Goals Supported	Public Services
	Needs Addressed	Public Services
	Funding	CDBG: \$28,000
	Description	This project will provide operational and program support for the Life House youth drop-in center to provide basic needs, referral services, independent living skills classes, and street outreach.
	Target Date	
	Estimate the number and type of families that will benefit from the proposed activities	600 homeless or at-risk youth will be served at the Life House Drop-in Center.
	Location Description	102 W 1st Street, Duluth, MN 55802.
Planned Activities	<p>The drop-in center is a safe place for homeless and at-risk youth to receive the following:</p> <ul style="list-style-type: none"> • Basic Needs including hot meals, showers, laundry, lockers, clothing, hygiene and baby supplies, and a food shelf. • Referrals to Life House's other program areas (housing, employment, education, and wellness) or to external necessary services. • Independent Living Skills via informal discussions, daily activities, support groups, and classes. • Street Outreach to locate and build relationships with street youth, provide information and make referrals to Life House and other community services. 	
12	Project Name	CHUM Shelter Project
	Target Area	
	Goals Supported	Homelessness
	Needs Addressed	Homelessness
	Funding	CDBG: \$36,800
	Description	CHUM will provide emergency shelter to 1000 homeless individuals.

	Target Date	3/31/2017
	Estimate the number and type of families that will benefit from the proposed activities	900 homeless individuals (including over 50 families with children) will be served at the emergency shelter.
	Location Description	102 W 2nd St, Duluth, MN 55802
	Planned Activities	CHUM will provide emergency shelter and housing stabilization services to people experiencing homelessness.
13	Project Name	AICHO Housing Services
	Target Area	
	Goals Supported	Homelessness
	Needs Addressed	Homelessness
	Funding	CDBG: \$18,250
	Description	AICHO provides supportive housing to individuals and families who are chronically homeless, with mental health issues, disabilities and are very low income.
	Target Date	3/31/2017
	Estimate the number and type of families that will benefit from the proposed activities	75 households will be served.
	Location Description	202 W 2nd St, Duluth, MN 55802
	Planned Activities	AICHO will provide housing and services at Gimaajii Mino Bimaadizimin which is a 29-unit supportive housing facility. AICHO also offers case management to the individuals.
14	Project Name	MACV Homeless Services
	Target Area	
	Goals Supported	Homelessness
	Needs Addressed	Homelessness
	Funding	CDBG: \$9,150
	Description	MACV provides streamlined stabilization and rapid re-housing services to homeless veterans.

	Target Date	3/31/2017
	Estimate the number and type of families that will benefit from the proposed activities	55 homeless veterans will be served.
	Location Description	5209 Ramsey St, Duluth, MN 55807
	Planned Activities	MACV provides streamlined stabilization and rapid re-housing services to veterans by coordinating outreach, intake, assessment, and direct services and are the only veteran-specific program addressing the needs for veterans experiencing homelessness.
15	Project Name	Salvation Army Family Housing Services
	Target Area	
	Goals Supported	Homelessness
	Needs Addressed	Homelessness
	Funding	CDBG: \$5,250
	Description	Salvation Army will provide housing to families with children to prevent homelessness.
	Target Date	3/31/2017
	Estimate the number and type of families that will benefit from the proposed activities	Salvation Army will assist 100 individuals.
	Location Description	215 S 27th Ave W, Duluth, MN 55806
Planned Activities	Salvation Army will provide services to families with children (100 individuals) including support services and programming designed to assist families to increase economic stability.	
16	Project Name	Center City Housing Programs
	Target Area	
	Goals Supported	Homelessness
	Needs Addressed	Homelessness
	Funding	CDBG: \$19,550
	Description	Center City Housing Corp will provide housing services.

	Target Date	3/31/2017
	Estimate the number and type of families that will benefit from the proposed activities	40 individuals will be served by Center City.
	Location Description	105 ½ West First Street Duluth, MN 55802
	Planned Activities	Center City Housing will provide supportive housing services to 40 individuals.
17	Project Name	Safe Haven -Domestic Violence Shelter
	Target Area	
	Goals Supported	Homelessness
	Needs Addressed	Homelessness
	Funding	CDBG: \$7,800
	Description	Safe Haven will provide shelter and services to women and children fleeing domestic violence.
	Target Date	3/31/2017
	Estimate the number and type of families that will benefit from the proposed activities	500 individuals will be served.
	Location Description	414 W 1st St, Duluth, MN 55802
	Planned Activities	Safe Haven Shelter for Battered Women will provide emergency shelter, food, clothing, advocacy and support for 500 homeless women and children who are victims of domestic violence.
18	Project Name	ESG16 DULUTH
	Target Area	
	Goals Supported	Homelessness
	Needs Addressed	Homelessness
	Funding	ESG: \$198,963

	Description	The 2016 Federal Fiscal Funds Year allocation of ESG funds for the City of Duluth are to provide shelter operations, rapid rehousing, prevention, data collection and administration.
	Target Date	3/31/2017
	Estimate the number and type of families that will benefit from the proposed activities	1,736 people will be assisted with these programs.
	Location Description	ESG activities will occur at the CHUM Homeless shelter, American Indian Center facilities (scattered sites), Safe Haven Women's Shelter, Center City facilities (scattered sites), MAC-V, and Salvation Army (scattered).
	Planned Activities	<p>Agencies will provide services to provide shelter for homeless people; work to rapidly rehouse and prevent homelessness people and provide funds for data collection and administration.</p> <ul style="list-style-type: none"> • Shelter-\$109,432 (less than the \$120,000 hold harmless requirement) • Rapid Rehousing-\$70,658 • HMIS-\$4000 • Administration- \$14,873
19	Project Name	Gateway Tower Redevelopment
	Target Area	
	Goals Supported	Affordable Rental Housing
	Needs Addressed	Affordable Rental Housing
	Funding	CDBG: \$200,000
	Description	The Gateway Tower will be acquired, renovated, and affordability will be preserved.
	Target Date	6/30/2017
	Estimate the number and type of families that will benefit from the proposed activities	150 units of housing will be preserved and the affordability maintained.

	Location Description	600 W Superior St, Duluth, MN 55802
	Planned Activities	The project will result in the rehabilitation of 150 units at Gateway Tower. The building is in need of significant rehabilitation and the building will continue to be occupied low income tenants many of who are disabled and/or elderly.
20	Project Name	CHDO CLT Acquisition/Rehabilitation
	Target Area	
	Goals Supported	Affordable Home Owner Housing
	Needs Addressed	Affordable Owner Occupied Housing
	Funding	HOME: \$72,500
	Description	One Roof will acquire and rehabilitate 10 homes providing affordable homeownership opportunities for low-moderate income households with 292,000 in HOME Funds
	Target Date	6/30/2017
	Estimate the number and type of families that will benefit from the proposed activities	Three homes will be acquired and rehabbed.
	Location Description	Location is dependent upon available housing for purchase.
	Planned Activities	One Roof will acquire and rehabilitate three homes that will become homeownership opportunities for low-moderate income households. The affordability of the home will be preserved through the Community Land Trust (CLT) Program.
21	Project Name	Energy Services
	Target Area	
	Goals Supported	Affordable Home Owner Housing
	Needs Addressed	Affordable Owner Occupied Housing
	Funding	CDBG: \$28,000
	Description	Ecolibrium3 will provide energy case management to assist 20 LMI households with completion of energy efficiency retrofits to their homes.
	Target Date	3/31/2017

	Estimate the number and type of families that will benefit from the proposed activities	20 households will be assisted.
	Location Description	All over the city.
	Planned Activities	Ecolibrium3 will assist 20 low-moderate income households in making energy improvement retrofits to their home to increase energy efficiency and lower monthly utility costs.
22	Project Name	Neighborhood Planning
	Target Area	
	Goals Supported	
	Needs Addressed	
	Funding	CDBG: \$20,000
	Description	Implementation of the City's Citizen Participation Plan and strategic planning with the Community Development Target Areas to identify key implementation strategies. This activity will also work with the Duluth neighborhood programs, this activity is neighborhood planning, therefore it is not part of the administrative fee.
	Target Date	3/31/2017
	Estimate the number and type of families that will benefit from the proposed activities	
	Location Description	
	Planned Activities	Implementation of the City's Citizen Participation Plan and strategic planning with the Community Development Target Areas to identify key implementation strategies. This activity will also work with the Community's At-Home in Duluth neighborhood program.
23	Project Name	Duluth Parks
	Target Area	
	Goals Supported	Community Public Facilities
	Needs Addressed	Communtly Structural Public Facilities

	Funding	CDBG: \$151,000
	Description	Park Improvements in LMI neighborhoods. Project listing is in 2017, # 33 and 39.
	Target Date	3/31/2017
	Estimate the number and type of families that will benefit from the proposed activities	600 youth experiencing homelessness utilize this shelter each year.
	Location Description	102 W 1st St, Duluth, MN 55802
	Planned Activities	
24	Project Name	Trepanier Hall Improvements - AICHO
	Target Area	
	Goals Supported	Community Public Facilities
	Needs Addressed	Communty Structural Public Facilities
	Funding	CDBG: \$148,500
	Description	Improvements to Trepanier Hall.
	Target Date	3/31/2017
	Estimate the number and type of families that will benefit from the proposed activities	Approximately 15,000 community members utilize this building and services each year.
	Location Description	202 W 2nd St, Duluth, MN 55802
	Planned Activities	Project includes improvements to AICHO's American Indian Center. The AIC provides events open to the public, housing and service to low income people including day care center and office space for tribal partners and non-profits providing services to low income people. Improvements will make the building more accessible.
25	Project Name	Tenant Landlord Connection
	Target Area	
	Goals Supported	Public Services
	Needs Addressed	Public Services

	Funding	CDBG: \$27,368
	Description	Provide mediation and advisory services between renters and landlords to reduce the number of evictions.
	Target Date	3/31/2017
	Estimate the number and type of families that will benefit from the proposed activities	300 people
	Location Description	The service will operated at the Central Hillside Community Center located at 12 East 4th Street, Duluth.
	Planned Activities	
26	Project Name	Coordinated Entry and Assessment
	Target Area	
	Goals Supported	
	Needs Addressed	Public Services
	Funding	CDBG: \$12,000
	Description	Coordinating the list of people who have been assessed as being homeless and insuring the people are housed as quickly as possible. The Activities and Funding associated with the 2016 Coordinated Entry and Assessment are in the 2016 Project #11 and Activity #2159. This project setup is a DUPLICATION.
	Target Date	3/31/2016
	Estimate the number and type of families that will benefit from the proposed activities	1,736 people, the goal is to have everyone who receives funding from the homeless programs go through the centralized assessment process.
	Location Description	
	Planned Activities	

AP-50 Geographic Distribution – 91.220(f)

Description of the geographic areas of the entitlement (including areas of low-income and minority concentration) where assistance will be directed

We do not have HUD approved neighborhood revitalization areas but we do have locally designated CDBG eligible neighborhoods that are over 51% LMI where efforts are often focused.

Geographic Distribution

Target Area	Percentage of Funds

Table 8 - Geographic Distribution

Rationale for the priorities for allocating investments geographically

Discussion

Affordable Housing

AP-55 Affordable Housing – 91.220(g)

Introduction

The city of Duluth works to provide affordable housing on many levels. The city will utilize sub-recipients to provide services for homeless people, as well as, assist with affordable housing through rental assistance, building new units, and rehabbing existing units.

One Year Goals for the Number of Households to be Supported	
Homeless	1,736
Non-Homeless	16,100
Special-Needs	131
Total	17,967

Table 9 - One Year Goals for Affordable Housing by Support Requirement

One Year Goals for the Number of Households Supported Through	
Rental Assistance	18
The Production of New Units	10
Rehab of Existing Units	280
Acquisition of Existing Units	3
Total	311

Table 10 - One Year Goals for Affordable Housing by Support Type

Discussion

A collaboration of homeless service providers will assist with 1,736 people in 2015. These homeless service providers are working to develop a coordinated access plan which will help to provide housing stabilization services. The agencies working together include; American Indian Community Housing Organization, Churches United in Ministry, Safe Haven, Salvation Army, and MACV-Duluth. Center City Housing Corporation provides housing and supportive needs for people with special needs.

Another collaboration that focuses on affordable housing as part of the Housing Resource Connection will assist with the rehabilitation of 280 units. This includes 60 multifamily rehab units, 70 single family rehab units, and three acquisition units. The Housing Resource Connection is made up of the Duluth

HRA, One Roof Community Housing, Ecolibrium3, and Arrowhead Economic Opportunity Agency.

The Duluth HRA also will manage the Tenant Based Rental Assistance program to assist 18 households.

Lutheran Social Services will begin the development of 10 affordable permanent units through the acquisition of land to develop the Center for Changing Lives. One Roof will rehab a 150 unit building known as the Gateway to maintain affordability.

AP-60 Public Housing – 91.220(h)

Introduction

The Duluth Housing and Redevelopment Authority manages the public housing units within Duluth, which includes over 1,100 units.

Actions planned during the next year to address the needs to public housing

The City of Duluth does not expect to spend any CDBG, HOME or ESG funds to address the needs of Public Housing. Most of the HRA's public housing units are older, but the HRA is utilizing various resources to keep the units in good repair through its wise use of its annual HUD Capital Fund grant, occasional use of energy performance contracting, and use of general obligation bond funded loans provided by the Minnesota Housing Finance Agency, when appropriated by the state legislature. The HRA has also used its local levy resources to augment such funds when needed. The HRA also has submitted an application for the Rental Housing Assistance Demonstration (RAC) program for some selected public housing units, which would provide additional funding for public housing capital improvements if the agency decides to proceed with this HUD initiative. Currently there are 565 households on the waiting list for public housing units, and 1,592 households on the list for the Section 8 Voucher Choice program.

Actions to encourage public housing residents to become more involved in management and participate in homeownership

The HRA will continue to communicate with public housing residents and keep them involved through tenant meetings and newsletters. The HRA regularly recommends programs including homeownership programs to public housing residents.

If the PHA is designated as troubled, describe the manner in which financial assistance will be

provided or other assistance

NA

Discussion

AP-65 Homeless and Other Special Needs Activities – 91.220(i)

Introduction

St. Louis County (SLC) is one of many Continuum of Care (CoC) regions in Minnesota. Duluth is geographically located at the southern end of St. Louis County. There are two entitlement communities in SLC, the City of Duluth and St. Louis County.

City of Duluth has long history of working closing with SLC and homeless housing and service providers to address issues that impact persons who are homeless or at-risk of becoming homeless. In 2007, joint efforts between the City and County, along with homeless housing and service providers produced a business plan to end homelessness , Heading Home St. Louis County(HHSLC), A Ten Year Plan to Prevent and End Homelessness in St. Louis County.

The goal of the Ten-Year Plan to End Homelessness and the Continuum of Care, through a countywide perspective and distribution of resources, is to help homeless individuals, families and youth to be rapidly re-housed in the most permanent housing possible, increase their housing stability and the housing security that goes along with stability, and to prevent new occurrences of homelessness. With the understanding that homelessness is not caused merely by lack of shelter, but rather involves underlying, unmet physical, economic and/or social needs, the supportive services provided for the homeless are designed to meet those needs.

In 2014, City and County collaboration is as strong as ever with continued focus on the HEARTH regulations and related activities to develop a coordinated homeless response system that incorporates new prevention, rapid housing and coordinated assessment activities outlined in the new Emergency Solutions Grant regulations and the new Continuum of Care regulations along with former shelter (including transitional housing) outreach and supportive service activities. The HHSLC Leadership Council is the governing and advisory board that oversees the activities of the 10 Year Plan and other homeless initiatives in Duluth and SLC, including the annual CoC funding competition, the Family Homeless Prevention and Assistance Program (FHPAP) state funding competition in SLC and the Emergency Solutions Grant Program funding process for both Duluth and SLC.

Describe the jurisdictions one-year goals and actions for reducing and ending homelessness including

Reaching out to homeless persons (especially unsheltered persons) and assessing their individual needs

The City of Duluth is part of the St. Louis County Continuum of Care (CoC). The CoC coordinated with homeless providers and has developed a collaborative system to reach out to homeless. An integral part

of the system is the Coordinate Access. The service providers have agreed under Coordinated Access to use the Service Prioritization Decision Assistance Tool (SPDAT) as a common assessment tool for all homeless persons. As part of the assessment all individuals and families looking to receiving housing assistance will first undergo a pre-screen administered by 2-1-1 United Way. This first step will help with prevention and diversion and refer those in need to emergency shelter. The client will then be administered the Vulnerability Index (VI) SPDAT at determined entry points. This is a quick, condensed version of the SPDAT and will give the recipient a acuity score which will determine the appropriate housing solution. The score will assess what program the individual best fits and puts the individual on a wait list if not available units are available. The most vulnerable individuals receive priority for housing. Once matched with the appropriate housing, the client's case manager will administer the SPDAT. The SPDAT measures a variety of issues that can effects a persons stability. The categories can be tracked over time as the SPDAT is administered every 3 months or so. The SPDAT can be inputted into HMIS. This will allow service providers to track stability much more effectively and show with data the progress an individual or family is making toward housing stability.

Addressing the emergency shelter and transitional housing needs of homeless persons

The City of Duluth is part of the St. Louis County Continuum of Care (CoC). The CoC coordinated with homeless providers and has developed a collaborative system to reach out to homeless. An integral part of the system is the Coordinate Access. The service providers have agreed under Coordinated Access to use the Service Prioritization Decision Assistance Tool (SPDAT) as a common assessment tool for all homeless persons. As part of the assessment all individuals and families looking to receiving housing assistance will first undergo a pre-screen administered by 2-1-1 United Way. This first step will help with prevention and diversion and refer those in need to emergency shelter. The client will then be administered the Vulnerability Index (VI) SPDAT at determined entry points. This is a quick, condensed version of the SPDAT and will give the recipient a acuity score which will determine the appropriate housing solution. The score will assess what program the individual best fits and puts the individual on a wait list if not available units are available. The most vulnerable individuals receive priority for housing. Once matched with the appropriate housing, the client's case manager will administer the SPDAT. The SPDAT measures a variety of issues that can effects a persons stability. The categories can be tracked over time as the SPDAT is administered every 3 months or so. The SPDAT can be inputted into HMIS. This will allow service providers to track stability much more effectively and show with data the progress an individual or family is making toward housing stability.

Helping homeless persons (especially chronically homeless individuals and families, families with children, veterans and their families, and unaccompanied youth) make the transition to permanent housing and independent living, including shortening the period of time that

individuals and families experience homelessness, facilitating access for homeless individuals and families to affordable housing units, and preventing individuals and families who were recently homeless from becoming homeless again

In 2015, the City of Duluth will fund Housing, Stabilization, and Coordinated Access for homeless people. This will include the work of six agencies that include; Churches United in Ministry, American Indian Community Housing Organization, Salvation Army, Center City Housing Corporation, Safe Haven, and MACV-Duluth.

Helping low-income individuals and families avoid becoming homeless, especially extremely low-income individuals and families and those who are: being discharged from publicly funded institutions and systems of care (such as health care facilities, mental health facilities, foster care and other youth facilities, and corrections programs and institutions); or, receiving assistance from public or private agencies that address housing, health, social services, employment, education, or youth needs.

One of the goals outlined in the SLC Ten-Year Plan to End Homelessness was to establish uniform discharge protocols and procedures that prevent people who are being released from county institutions from being discharged into homelessness. An Adult Protocol Business Plan was completed in 2008. The discharge plan outlines transition process steps, based on an evidence-based model called Critical Time Intervention, a standardized assessment tool that is used by all discharging entities upon client admission to identify those at risk of homelessness, specific services to be

offered to all clients and additional services offered to at-risk clients. The Discharge Plan also outlines the roles and responsibilities of the collaborative partner agencies, including coordination, information sharing, staffing patterns for institutional-based and community-based staff, and training. In 2010, St. Louis County received funding to conduct a pilot project with County correctional facilities to implement the discharge plan. Implementation of the project went well, but no new funds were allocated toward discharge planning activities once the pilot project ended. However, many of the established protocols implemented under the pilot continue to be used. No additional work has been done regarding discharge planning, however, discharge activities will be reviewed as the city and county work toward transitioning Duluth's homeless response

system under the SLC Continuum of Care to align with the HEARTH Act goals.

Discussion

AP-75 Barriers to affordable housing – 91.220(j)

Introduction:

The city has identified the following barriers to affordable housing:

- Lack of annual budgeted funding to demolish or repair buildings condemned for demolition or human habitation, allowing blight to persist in LMI neighborhoods.
- The City requires assessments placed on vacant lots where structures have been demolished be paid by any new owner, rendering otherwise buildable infill sites financially infeasible for redevelopment.
- “One-for-one” replacement requirements when structures are demolished on 25 front foot unbuildable lots.
- Sewer utility fees and other utility hook-up fees increase the cost of construction of affordable single-family homes.
- Limited Minnesota Housing funding for rehab of aging single-family structures occupied by LMI households, which leads to higher maintenance costs.
- Lack of a policy to require improvements to foreclosed properties in LMI neighborhoods that are on the vacant property register.
- Inflexible policies related to Comfort System’s energy improvement programs that limit access to loans by LMI households, including refusal to subordinate when first mortgages are refinanced.
- Minnesota State Historic Preservation Office policies that create additional rehab costs, such as wooden windows and doors repaired rather than replaced by new historically designed materials.
- Outdated historic property references that trigger SHPO review of affordable housing rehab projects on buildings not eligible for listing, which increases costs and delays.
- Lack of direction from City Administration to enforce existing rental license program.
- No education requirement for owners and/or managers to secure a rental license.
- Lack of direction from City Administration to enforce housing maintenance code.
- Lack of energy standards for existing housing stock that result in higher costs for LMI households living in older homes that are energy inefficient.
- Housing & Redevelopment Authority policies that prevent LMI households with poor rental histories

or criminal backgrounds from securing assisted housing.

- HUD HOME Program after-rehab value limit based on 95% of median sales price from the extensive Duluth/Superior metropolitan statistical area, and the value limit not adjusted for homes with three or more bedrooms occupied by larger families.

- HUD HOME Program ruling that disallows use of local exception rents in favor of fair market rents in HOME assisted affordable rental housing.

Actions it planned to remove or ameliorate the negative effects of public policies that serve as barriers to affordable housing such as land use controls, tax policies affecting land, zoning ordinances, building codes, fees and charges, growth limitations, and policies affecting the return on residential investment

- Support selected demolition of blighted properties in LMI neighborhoods. If funded by Community Development, requirement will be to leave a “clean” site for redevelopment.

 - Community Development supported rehab projects will address all needed repairs and updates, including housing code, energy efficiency, and healthy home deficiencies.

 - Implement acquisition/rehab/resale activities to address vacant foreclosed properties in LMI neighborhoods.

 - Review annual Housing Report sales data to determine if a local study of median sales price would increase after-rehab value limit.

 - Require rehab programs receiving Community Development funding to increase energy efficiency in existing homes by 20%, and require funded new construction to meet Energy Star standards.

 - Provide funding for tenant-based rental assistance to help the “hard-to-house” and homeless population to secure adequate housing.

 - Modify policies to eliminate the “re-instatement” of special assessments on tax forfeit lots that

have the potential for redevelopment.

- Support additional historic surveys in the central and western areas of Duluth.
- Support the establishment of inclusionary affordable housing in zoning policies.
- Encourage Comfort Systems to revise their loan programs to be more “user friendly” and to coordinate with the Housing Resource Connection.
- Integrate rental license building inspectors into the “One Stop Shop”.
- Work with St. Louis County and the Minnesota Department of Revenue to revise polices to encourage redevelopment of tax forfeit land.
- Strengthen rental license program to include such requirements as common applications and leases, affordable application and damage fees, and timely and consistent enforcement of building standards.
- Reduce property tax valuations to reflect affordable housing restrictions that would prohibit owners from selling at full market rate.

Discussion:

AP-85 Other Actions – 91.220(k)

Introduction:

The city of Duluth has identified a number of actions that will address obstacles to meeting underserved needs, foster and maintain affordable housing, reduce lead-based paint hazards, reduce the number of poverty-level families, develop institutional structure, and enhance coordination between public and private housing and social service agencies.

Actions planned to address obstacles to meeting underserved needs

Lutheran Social Services will be developing the Center for Changing Lives, which will provide comprehensive homeless and housing services for youth that are in need of housing. CDBG funds will be used for the land acquisition in the project. Providing food access in Duluth is a growing effort with substantial need, especially in some of the low income neighborhoods that lack access to healthy foods. The West Duluth and Lincoln Park Food Access and Education will help to provide land and a greenhouse for growing food. Tenant Based Rental Assistance is provide rental assistance to people who have difficulties in renting places, such as people with criminal records.

Actions planned to foster and maintain affordable housing

The Housing Resource Connection(HRC) will be funded to provide information and services from five housing-related agencies on various housing programs in Duluth: One Roof Community Housing, the Housing and Redevelopment Authority of Duluth, the City of Duluth Community Development Department, the Arrowhead Economic Opportunity Agency and Ecolibrium3. The HRC is a one-stop-shop for information on purchasing a home or making your home or rental property a healthy, energy efficient and safe place to live. Assistance and programs on renovations, code requirements, weatherization, energy assessment, financial assistance, fuel assistance and healthy homes is offered.

Actions planned to reduce lead-based paint hazards

City of Duluth policy requires all rental and homeowner rehabilitation programs and projects receiving federally funded assistance to coordinate with the Duluth HRA for lead assessment, testing and clearance services. Given the age of Duluth's housing stock that predicts 68% contains lead-based paint, the concentration of low- and moderate-income households in less expensive older units, and the past experience of agencies implementing rehab programs, it is highly probable that all, or nearly all,

rehabbed units will contain potential lead hazards.

Actions planned to reduce the number of poverty-level families

The Tenant Landlord Connection will help ensure stable rental housing exists by educating existing and potential landlords and tenants regarding rights and responsibilities, mediating disputes between landlords and tenants, and connecting reputable tenants and landlords to facilitate healthy, long-term rental tenancy. Education will include landlord training/rental licensing class, provision of resources on tenant/landlord law and legal forms, Fair Housing training, Ready to Rent classes for hopeful tenants without a positive rental history, classes for high school students. An important part of the center will be mediation initiated by either tenants or landlords who are experiencing challenges. A third activity of the center will provide is to build and maintain a list of reputable landlords to which renters can be referred, as well as a list of "Ready to Rent" tenants.

Actions planned to develop institutional structure

Duluth At Work is a collaboration between the City of Duluth and Local Initiatives Support Corporation to help low income people find jobs and increase their income. Duluth At Work has agencies that prepare individuals for employment at for-profit businesses and low income neighborhood business owners to increase their revenue. The overall goal of Duluth At Work is to give participants significant training, hired, and increase their income by 25% while developing a positive work history over three years.

Actions planned to enhance coordination between public and private housing and social service agencies

The City of Duluth has a long history of collaborating with St. Louis County (SLC) in the delivery of funding and programming for the homeless. Community Development staff have established a strong partnership with SLC staff and have worked closely with them in developing the Heading Home St. Louis County 10-Year Plan to End Homelessness, establishing a governing body to oversee the 10-Year Plan—the Heading Home SLC Leadership Council, the Continuum of Care (CoC) process, and distribution and oversight of federal and state homeless funding that comes to the City and County, through HUD's CoC and ESG programming and through the state of Minnesota's Family Homeless Prevention and Assistance Program (FHPAP). City and County staff work closely with emergency shelters, transitional housing and other housing and homeless

service provider agencies under the SLC CoC Process.

Discussion:

Program Specific Requirements

AP-90 Program Specific Requirements – 91.220(I)(1,2,4)

Introduction:

Community Development Block Grant Program (CDBG) Reference 24 CFR 91.220(I)(1)

Projects planned with all CDBG funds expected to be available during the year are identified in the Projects Table. The following identifies program income that is available for use that is included in projects to be carried out.

1. The total amount of program income that will have been received before the start of the next program year and that has not yet been reprogrammed	241,525
2. The amount of proceeds from section 108 loan guarantees that will be used during the year to address the priority needs and specific objectives identified in the grantee's strategic plan.	0
3. The amount of surplus funds from urban renewal settlements	0
4. The amount of any grant funds returned to the line of credit for which the planned use has not been included in a prior statement or plan	0
5. The amount of income from float-funded activities	0
Total Program Income:	241,525

Other CDBG Requirements

1. The amount of urgent need activities	0
2. The estimated percentage of CDBG funds that will be used for activities that benefit persons of low and moderate income. Overall Benefit - A consecutive period of one, two or three years may be used to determine that a minimum overall benefit of 70% of CDBG funds is used to benefit persons of low and moderate income. Specify the years covered that include this Annual Action Plan.	100.00%

HOME Investment Partnership Program (HOME) Reference 24 CFR 91.220(I)(2)

1. A description of other forms of investment being used beyond those identified in Section 92.205 is

as follows:

NA

2. A description of the guidelines that will be used for resale or recapture of HOME funds when used for homebuyer activities as required in 92.254, is as follows:

3. A description of the guidelines for resale or recapture that ensures the affordability of units acquired with HOME funds? See 24 CFR 92.254(a)(4) are as follows:

The City utilizes restrictive covenants to assure affordability during the required period.

4. Plans for using HOME funds to refinance existing debt secured by multifamily housing that is rehabilitated with HOME funds along with a description of the refinancing guidelines required that will be used under 24 CFR 92.206(b), are as follows:

NA

**Emergency Solutions Grant (ESG)
Reference 91.220(l)(4)**

1. Include written standards for providing ESG assistance (may include as attachment)

See ESG Written Standards Attachment.

2. If the Continuum of Care has established centralized or coordinated assessment system that meets HUD requirements, describe that centralized or coordinated assessment system.

The CoC coordinated with homeless providers and has developed a Coordinate Access System. The service providers have agreed under Coordinated Access to use the Service Prioritization Decision Assistance Tool (SPDAT) as a common assessment tool for all homeless persons. As part of the assessment all individuals and families looking to receiving housing assistance will first undergo a pre-screen administered by 2-1-1 United Way. This first step will help with prevention and diversion and refer those in need to emergency shelter. The client will then be administered the Vulnerability Index (VI) SPDAT at determined entry points. This is a quick, condensed version of the SPDAT and will give the recipient a acuity score which will determine the appropriate housing solution. The

score will assess what program the individual best fits and puts the individual on a wait list if not available units are available. The most vulnerable individuals receive priority for housing. Once matched with the appropriate housing, the client's case manager will administer the SPDAT. The SPDAT measures a variety of issues that can effects a persons stability. The categories can be tracked over time as the SPDAT is administered every 3 months or so. The SPDAT can be inputted into HMIS. This will allow service providers to track stability much more effectively and show with data the progress an individual or family is making toward housing stability.

3. Identify the process for making sub-awards and describe how the ESG allocation available to private nonprofit organizations (including community and faith-based organizations).

The ESG process follows the CDBG/Home process.

4. If the jurisdiction is unable to meet the homeless participation requirement in 24 CFR 576.405(a), the jurisdiction must specify its plan for reaching out to and consulting with homeless or formerly homeless individuals in considering policies and funding decisions regarding facilities and services funded under ESG.

The Community Development Committee seeks out homeless or formerly homeless individuals to serve on the committee. The Committee has regularly had at least one homeless person appointed, and participates in the policy and funding decisions. Also the Heading Home Saint Louis County Leadership Council has homeless appointees and this groups helps to advise the funding of the ESG funds.

5. Describe performance standards for evaluating ESG.

See ESG Written Standards Attachment.

Attachments

Citizen Participation Comments

Grantee SF-424's and Certification(s)