CITY OF DULUTH
INVITATION TO BID

PROJECT NAME/DESCRIPTION: Demolition and Install of Generators

BID NUMBER: 16-0307                  BID OPENING: April 6th, 2016 AT 2:00 PM

PROJECT DESCRIPTION: Duluth Energy Systems intends on removing all three existing generators and replacing with one refurbished 1 MW unit that would be capable of providing Emergency Power to serve the entire plant.

PRE-BID MEETING: A mandatory pre-bid meeting will be conducted on March 31st, 2016 at 10 am at Duluth Energy Systems, 1 Lake Place Drive, Duluth, MN 55802. All interested bidders must attend. Contact Dan Cebelinski at 218-723-3601 with any pre-bid meeting questions.

QUESTIONS: Please submit any questions regarding this project via e-mail to purchasing@duluthmn.gov. Responses will be provided to all interested bidders as an addendum to this solicitation.

The selected contractor will be issued a construction contract (draft attached). Notice to Proceed will be issued once the agreement is fully executed.

Please note that the City of Duluth Supplemental Conditions apply to this project and will be included in the contract. This document can be found online at http://www.duluthgov.info/engineering/documents/SupplementalGenConditions4-15-11.pdf. Hard copies may be made available upon request.

Proposal forms, contract documents, plans and specifications are on file at the following offices: Duluth Builder's Exchange, Minnesota Builder's Exchange, BXWI-Fox Valley Plan Room, Blue Book Building and Construction Network, and Meda Construction Connection.
INSTRUCTIONS TO BIDDERS

All bids must be complete, signed, and transmitted in a sealed envelope plainly marked with the bid number, subject matter, and opening date. The City of Duluth reserves the right to split the award where there is a substantial savings to the City, to waive informalities and to reject any and all bids. Bidder must state in their proposal if bid price is based on acceptance of the total order. Do not include sales tax in the unit price. Price may not be the only consideration for bid award. Bids must be firm for a minimum of 60 days.

Bids must be received in Purchasing before 2:00 PM local time on the bid opening date specified on the Invitation for Bids. The City Purchasing Agent or her designee will conduct a public bid opening in Room 100 immediately following receipt of the bids.

No alternatives to the specification will be considered unless specifically requested. Erasures or other changes to the bid must be initialed and dated.

The following documents must be submitted with your bid:

1. **Bid Bond** - A certified check or bank draft, payable to the order of the City of Duluth, negotiable U.S. Government Bonds (at par value), or a satisfactory bid bond executed by the bidder and acceptable surety, in an amount equal to five per cent (5%) of the total bid. Bids may be withdrawn without forfeiture of surety if the request is submitted by the Bidder and received at the Purchasing Office in writing or by telephone prior to the scheduled bid opening.

2. **Acknowledgment of Addendum** (if applicable) – any changes to this solicitation will be announced via Addendum. A signature on the Bid Form acknowledging the Addendum(s) must be submitted with your bid.

3. **Responsible Contractor** - No construction contract in excess of $50,000 will be awarded unless the Bidder is a “responsible contractor” as defined in Minnesota Statute §16C.285, subdivision 3. All Bidders submitting a proposal for this project must verify that they meet the minimum criteria specified in the statute by submitting a Responsible Contractor Verification and Certification of Compliance form (attached). The owner or officer of the company must sign the form under oath verifying compliance with each of the minimum criteria. Making a false statement under oath will render the Bidder or subcontractor that makes the false statement ineligible to be awarded a construction project and may result in termination of a contract awarded to a Bidder or subcontractor that submits a false statement. Bidders must obtain verification of compliance from all subcontractors. Bidders must submit signed copies of verifications and certifications of compliance from subcontractors at the City’s request.

Please note that the following requirements also apply to this project, and any additional required documents must be submitted prior to award/contract execution. Submitting these documents with your bid will assist in expediting the process.

1. **Insurance** – Contractor must provide proof of Public Liability and Automobile Liability Insurance with limits not less than $1,500,000 Single Limit prior to the commencement of work. The City of Duluth must be named as an additional insured. Please refer to the draft Contract, Section 7.

2. **Affidavit of Non-Collusion** – The successful bidder shall be required to execute the attached affidavit stating that he/she has not entered into a collusive agreement with any other person, firm, or corporation in regard to any bid submitted.

3. **Performance & Payment Bonds** – The awarded contractor will be required to submit performance and payments bonds in the full amount of the project cost prior to award.

4. **Affirmative Action/EEO** - The contractor must take affirmative action to ensure that the employees and applicants for employment are not discriminated against because of their race, color, creed, sex or national origin, and must meet the affirmative action goals. Contractors are encouraged to subcontract with Disadvantaged Business Enterprises (DBEs) when possible. A current list of certified DBEs is available on the Minnesota Unified Certification website at [http://mnucp.metc.state.mn.us](http://mnucp.metc.state.mn.us). Contractor will comply with all applicable Equal Employment Opportunity laws and regulations. Awarded contractor will

5. **Out of State Contractor** - Unless a State of Minnesota Certificate of Exemption is provided, any out-of-state bidder receiving a bid award will have 8% retained from invoice payments on any contracts over $50,000. Submit a signed copy of the signed exemption form when submitting Payment and Performance Bonds. This form may be found at the following web address: [http://www.revenue.state.mn.us/Forms_and_Instructions/sde.pdf](http://www.revenue.state.mn.us/Forms_and_Instructions/sde.pdf)

6. **Prevailing Wage** - Not less than the minimum salaries and prevailing wages as set forth in the contract documents must be paid on this project.

The City of Duluth is an Equal Opportunity Employer. Contractor shall comply with all applicable Equal Employment Opportunity laws and regulations.

CITY OF DULUTH

Andrew Field          Amanda Ashbach
Financial Analyst     Purchasing Agent
Demolition and Install of Generators $  

Bid amount written out:  

Acknowledgment of Addendum  
Addendum 1:  
Addendum 2:  
Addendum 3:  
Addendum 4:  

Name and Title:  

Vendor Name:  

Address:  

Phone Number:  

Email:  

Signature:
Statement of Work

Duluth Energy Systems Project DUL-PLANT-16-04
Emergency Generator Installation
Duluth, MN 55802

1. Project Overview:
The Steam Plant has three existing emergency generators, one 900 kW unit that has been defunct for several years, one 400 kW and one 150 kW unit that are operational but in dire need of a complete overhaul. The two existing units that are operational do not have the capacity to power the baghouse ID fan. The Baghouse ID fan is critical to the pollution control equipment. Without the baghouse in operation the plant will not be within compliance of the MPCA air permit requirements.

Duluth Energy Systems intends on removing all three existing generators and replacing with one refurbished 1 MW unit that would be capable of providing Emergency Power to serve the entire plant.

2. Contract Documents
   a. This Statement of Work and attached drawings and specifications comprises the technical requirements for this project:
   b. Attached drawings and specifications E0.1, E1.1 and E1.2

3. Project Description:
   a. Contractor shall install one 1 MW unit and disconnect two other units per the design drawings. The existing 900 kW unit is already disconnected and ready for removal. The three existing units including batteries and transfer switch will be removed by Power House Systems. The contractor shall also install new switchboard, transfer switch and rewire system as described on the plans, specifications and here within.

   b. Specific tasks to be accomplished in this project are:
      1. Construct new service entrance:
         i. Directional bore both primary and secondary systems
         ii. Pour housekeeping pads for transformer and transfer switch
         iii. Install new switchboard and transfer switch
         iv. Connect new 1,000 KVA transformer provided by MN Power
         v. Coordinate final connections with MN Power

      2. Installation of new 1MW unit
         i. Rig unit onto existing housekeeping pad
         ii. Install remote annunciator panel, coordinate location with owner.
            Utilize existing cable and panel location in Control Room if applicable.
         iii. Wire unit per design drawings
         iv. Install muffler/silencer
3. Switch-over and power service shut downs
   i. Any required shut-downs to complete power shut-downs shall be coordinated with the owner a minimum of 7-days prior to work.
   ii. Any power outages that affect the boiler operation will require the use of a generator to back feed the boiler control system. The contractor shall be responsible for providing temporary power in order to maintain boiler operation. DES does have a small 7 kW portable generator that could be utilized if necessary.

4. Electrical Demolition
   i. Contractor shall be responsible for de-energizing and disconnecting existing 400 kW and 150 kW units and removing the associated ATS.
   ii. Contractor shall be responsible for removing existing 1000 KVA transformer and turning over to MN Power.
   iii. Abandonment of existing cabling is prohibited with the exception of the baghouse ID fan.

4. Material and Equipment Requirements:
   a. With the exception of the material and labor listed in Section 4.b., the Contractor shall supply and include in his bid, the cost of all equipment, material and labor required to complete the project.
   b. Material and labor to be provided by Duluth Energy Systems
      1. 1MW generator
      2. Exterior rated transfer switch
      3. Remote generator annunciator panel
      4. Demolition of water, exhaust and fuel piping systems to existing units
      5. Connection of fuel oil piping to new unit
   c. Material, equipment and labor to be provided by Contractor:
      1. 2000A Switchboard and metering panel.
      2. Temporary generator and connection into boiler burner management system, if required.
      3. Rigging to move new generator into final location.

5. Project Schedule
   Construction of new service entrance shall commence within 1-month of awarding contract. Final generator setting and connection to occur once proper permitting has been acquired by Duluth Energy Systems (TBD). Project to be completed within 120 days after award.

6. Bid Requirements
   The following submissions are required:

b. Description of any proposed alternative configuration.

c. List of subcontractors and their respective roles in project.

d. Any other documents required by the City of Duluth.
A. PROVIDE VAISUl DEVICE PLATES AS NOTED TO MEET CODE REQUIREMENTS. PVC CONDUIT SHALL BE SIZED TO CAPACITY AND REQUIREMENTS FOR EACH LOCATION TO PROVIDE CODE CONFORMING TO NATIONAL ELECTRICAL CODE (NEC). PROVIDE CONDUIT REINFORCEMENT AS REQUIRED PER CODE.

B. IF THE OBJECTS OF THEIR SURFACE MOUNTING DEVICES ARE DESIGNED TO PROVIDE THE PROJECT WITH CODE CONFORMING TO NATIONAL ELECTRICAL CODE (NEC) REQUIREMENTS, THEY WILL BE CODE CONFORMING TO NATIONAL ELECTRICAL CODE (NEC).

C. PROVIDE VACUUM-SEALING OR BONDING PLATES AS REQUIRED PER CODE.

D. PROVIDE WIREMARKERNUMBERS, ALphanumeric IDENTIFICATION MARKERS AND CHARTS AS REQUIRED PER CODE.

E. PROVIDE ELECTRICAL CONSTRUCTION FOR THE PROJECT CONFORMS TO NATIONAL ELECTRICAL CODE (NEC) REQUIREMENTS. PROVIDE CONDUIT ELECTRICAL CONSTRUCTION FOR THE PROJECT CONFORMS TO NATIONAL ELECTRICAL CODE (NEC) REQUIREMENTS.

F. PROVIDE ELECTRICAL CONSTRUCTION FOR THE PROJECT CONFORMS TO NATIONAL ELECTRICAL CODE (NEC) REQUIREMENTS. PROVIDE CONDUIT ELECTRICAL CONSTRUCTION FOR THE PROJECT CONFORMS TO NATIONAL ELECTRICAL CODE (NEC) REQUIREMENTS.

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L. PROVIDE ELECTRICAL CONSTRUCTION FOR THE PROJECT CONFORMS TO NATIONAL ELECTRICAL CODE (NEC) REQUIREMENTS. PROVIDE CONDUIT ELECTRICAL CONSTRUCTION FOR THE PROJECT CONFORMS TO NATIONAL ELECTRICAL CODE (NEC) REQUIREMENTS.

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N. PROVIDE ELECTRICAL CONSTRUCTION FOR THE PROJECT CONFORMS TO NATIONAL ELECTRICAL CODE (NEC) REQUIREMENTS. PROVIDE CONDUIT ELECTRICAL CONSTRUCTION FOR THE PROJECT CONFORMS TO NATIONAL ELECTRICAL CODE (NEC) REQUIREMENTS.
IT IS THE CONTRACTOR'S RESPONSIBILITY TO VERIFY EXISTING PHASE ROTATION AND COORDINATE CONNECTIONS ACCORDINGLY WITH MN POWER.

PROVIDE IDENTIFICATION PER NEC MATCHING EXISTING.

SPARE STARTER EX MCC-2

INSTALL METER SOCKET FURNISHED BY MN POWER WITH CHASE NIPPLE. RELATED WIRING BY MN POWER.

PROVIDE SIDE MOUNTED PT CABINET AS MANUFACTURED BY EMI OR APPROVED EQUIVALENT, #PTB-MNP. PROVIDE CHASE NIPPLE TO FACILITATE PT INSTALLATION BY MN POWER.

PROVIDE TRANSITION CABINET AS MANUFACTURED BY EMI OR APPROVED EQUIVALENT, #CTC-WP32000P-MNP ON CONCRETE HOUSEKEEPING PAD MATCHING ADJACENT XFRM PAD. CT INSTALLATION BY MN POWER.

GROUND PER MN POWER REQUIREMENTS

NEW UNDERGROUND DIRECTIONAL BORE PRIMARY, TWO (2) 4", TO EXISTING MN POWER MV SWITCH CABINET IN SE CORNER OF SITE. CABLE AND RELATED INSTALLATION BY MN POWER.

PROVIDE CONCRETE HOUSEKEEPING PAD AND SLEEVE PER MN POWER REQUIREMENTS. VERIFY FINAL LOCATION WITH OWNER PRIOR TO ROUGH-IN.

TYPICAL OF FOUR (4) PROVIDE PROTECTION BOLLARD PER MN POWER REQUIREMENTS.

IT IS THE CONTRACTOR'S RESPONSIBILITY TO PROVIDE COMPLETE NFPA TESTING WITH LOAD BANK (100% RATED) AND TRANSFER SWITCH OPERATION. PROVIDE WRITTEN RESULTS TO OWNER FOR APPROVAL AND SYSTEM ACCEPTANCE.

NEW GENERATOR LAY DOWN/STAGING AREA. THIS CONTRACTOR SHALL FURNISH CRANE AND RIGGING TO MOVE NEW GENSET FURNISHED BY OWNER TO FINAL LOCATION. PROVIDE COMPLETE INSTALLATION INCLUDING INSTALLATION OF LOOSE SHIPPED ROOF MOUNTED MUFFLER. COORDINATE WITH OWNER FOR FILLING OF FUEL TANK (BY OWNER).

NEW UNDERGROUND SECONDARY. AT CONTRACTOR'S OPTION DIRECTIONAL BORE OR TRENCH, BACKFILL AND PATCH TO MATCH EXISTING.

1. PROVIDE 3/4" C FROM GENERATOR CONTROL PANEL TO 2ND FLOOR CONTROL ROOM WITH INTERCONNECT CABLE FOR REMOTE GENERATOR CONTROL PANEL.

2. PROVIDE 120V, 20A/1P BRANCH CIRCUIT FROM MCC-2 PANEL FOR GENSET HOUSE LIGHTING AND SERVICE RECEPTACLES.

3. PROVIDE 120V, 20A CONNECTION TO BATTERY CHARGER SYSTEM FROM MCC-2 PANEL.

4. PROVIDE CONNECTION TO BLOCK HEATER FROM MCC-2 PANEL. COORDINATE EXACT SIZE AND VOLTAGE REQUIREMENTS WITH ACTUAL UNIT FURNISHED. FOR BIDDING PURPOSES CONTRACTOR SHALL ASSUME 6KW, 208V, 1 PHASE, 40A/2P WITH 2#8+G - 3/4" C.

5. PROVIDE 4' SURFACE MOUNTED LED STRIP LIGHT FIXTURE AS MANUFACTURED BY LITHONIA #ZL1N-L48-5000LM-MVOLT-40K-FST-WH OR APPROVED EQUIVALENT. SURFACE FIXTURE INSIDE GENSET HOUSING ON ROOF STRUCTURE AND LOCATION FOR OPTIMUM COVERAGE.

6. INSTALL SALVAGED PULL BOX TO FACILITATE NEW 1600A FEEDER INSTALLATION.
ATTACHMENT A
PRIME CONTRACTOR RESPONSE

RESPONSIBLE CONTRACTOR VERIFICATION AND CERTIFICATION OF COMPLIANCE

STATE PROJECT NUMBER: ____________________________________________________

This form includes changes by statutory references from the Laws of Minnesota 2015, chapter 64, sections 1-9. This form must be submitted with the response to this solicitation. A response received without this form, will be rejected.

Minn. Stat. § 16C.285, Subd. 7. IMPLEMENTATION. … any prime contractor or subcontractor or motor carrier that does not meet the minimum criteria in subdivision 3 or fails to verify that it meets those criteria is not a responsible contractor and is not eligible to be awarded a construction contract for the project or to perform work on the project…

Minn. Stat. § 16C.285, Subd. 3. RESPONSIBLE CONTRACTOR, MINIMUM CRITERIA. “Responsible contractor” means a contractor that conforms to the responsibility requirements in the solicitation document for its portion of the work on the project and verifies that it meets the following minimum criteria:

(1) The Contractor:
   (i) is in compliance with workers' compensation and unemployment insurance requirements;
   (ii) is in compliance with Department of Revenue and Department of Employment and Economic Development registration requirements if it has employees;
   (iii) has a valid federal tax identification number or a valid Social Security number if an individual; and
   (iv) has filed a certificate of authority to transact business in Minnesota with the Secretary of State if a foreign corporation or cooperative.

(2) The contractor or related entity is in compliance with and, during the three-year period before submitting the verification, has not violated section 177.24, 177.25, 177.41 to 177.44, 181.13, 181.14, or 181.722, and has not violated United States Code, title 29, sections 201 to 219, or United States Code, title 40, sections 3141 to 3148. For purposes of this clause, a violation occurs when a contractor or related entity:
   (i) repeatedly fails to pay statutorily required wages or penalties on one or more separate projects for a total underpayment of $25,000 or more within the three-year period, provided that a failure to pay is “repeated” only if it involves two or more separate and distinct occurrences of underpayment during the three-year period;
   (ii) has been issued an order to comply by the commissioner of Labor and Industry that has become final;
   (iii) has been issued at least two determination letters within the three-year period by the Department of Transportation finding an underpayment by the contractor or related entity to its own employees;
   (iv) has been found by the commissioner of Labor and Industry to have repeatedly or willfully violated any of the sections referenced in this clause pursuant to section 177.27;
   (v) has been issued a ruling or findings of underpayment by the administrator of the Wage and Hour Division of the United States Department of Labor that have become final or have been upheld by an administrative law judge or the Administrative Review Board; or
   (vi) has been found liable for underpayment of wages or penalties or misrepresenting a construction worker as an independent contractor in an action brought in a court having jurisdiction. Provided that, if the contractor or related entity contests a determination of underpayment by the Department of Transportation in a contested case proceeding, a violation does not occur until the contested case proceeding has concluded with a determination that the contractor or related entity underpaid wages or penalties;*
(3) The contractor or related entity is in compliance with and, during the three-year period before submitting the verification, has not violated section 181.723 or chapter 326B. For purposes of this clause, a violation occurs when a contractor or related entity has been issued a final administrative or licensing order;

(4) The contractor or related entity has not, more than twice during the three-year period before submitting the verification, had a certificate of compliance under section 363A.36 revoked or suspended based on the provisions of section 363A.36, with the revocation or suspension becoming final because it was upheld by the Office of Administrative Hearings or was not appealed to the office;

(5) The contractor or related entity has not received a final determination assessing a monetary sanction from the Department of Administration or Transportation for failure to meet targeted group business, disadvantaged business enterprise, or veteran-owned business goals, due to a lack of good faith effort, more than once during the three-year period before submitting the verification;

* Any violations, suspensions, revocations, or sanctions, as defined in clauses (2) to (5), occurring prior to July 1, 2014, shall not be considered in determining whether a contractor or related entity meets the minimum criteria.

(6) The contractor or related entity is not currently suspended or debarred by the federal government or the state of Minnesota or any of its departments, commissions, agencies, or political subdivisions that have authority to debar a contractor; and

(7) All subcontractors and motor carriers that the contractor intends to use to perform project work have verified to the contractor through a signed statement under oath by an owner or officer that they meet the minimum criteria listed in clauses (1) to (6).

Minn. Stat. § 16C.285, Subd. 5. SUBCONTRACTOR VERIFICATION.

A prime contractor or subcontractor shall include in its verification of compliance under subdivision 4 a list of all of its first-tier subcontractors that it intends to retain for work on the project. Prior to execution of a construction contract, and as a condition precedent to the execution of a construction contract, the apparent successful prime contractor shall submit to the contracting authority a supplemental verification under oath confirming compliance with subdivision 3, clause (7). Each contractor or subcontractor shall obtain from all subcontractors with which it will have a direct contractual relationship a signed statement under oath by an owner or officer verifying that they meet all of the minimum criteria in subdivision 3 prior to execution of a construction contract with each subcontractor.

If a prime contractor or any subcontractor retains additional subcontractors on the project after submitting its verification of compliance, the prime contractor or subcontractor shall obtain verifications of compliance from each additional subcontractor with which it has a direct contractual relationship and shall submit a supplemental verification confirming compliance with subdivision 3, clause (7), within 14 days of retaining the additional subcontractors.

A prime contractor shall submit to the contracting authority upon request copies of the signed verifications of compliance from all subcontractors of any tier pursuant to subdivision 3, clause (7). A prime contractor and subcontractors shall not be responsible for the false statements of any subcontractor with which they do not have a direct contractual relationship. A prime contractor and subcontractors shall be responsible for false statements by their first-tier subcontractors with which they have a direct contractual relationship only if they accept the verification of compliance with actual knowledge that it contains a false statement.

Subd. 5a. Motor carrier verification. A prime contractor or subcontractor shall obtain annually from all motor carriers with which it will have a direct contractual relationship a signed statement under oath by an owner or officer verifying that they meet all of the minimum criteria in subdivision 3 prior to execution of a construction contract with each motor carrier. A prime contractor or subcontractor shall require each such motor carrier to provide it with immediate written notification in the event that the motor carrier no longer meets one or more of the minimum criteria in subdivision 3 after submitting its annual verification. A motor carrier shall be ineligible to perform work on a project covered by this section if it does not meet all the minimum criteria in subdivision 3. Upon request, a prime contractor or subcontractor shall submit to the contracting authority the signed verifications of compliance from all motor carriers providing for-hire transportation of materials, equipment, or supplies for a project.
Minn. Stat. § 16C.285, Subd. 4. **VERIFICATION OF COMPLIANCE.**

A contractor responding to a solicitation document of a contracting authority shall submit to the contracting authority a signed statement under oath by an owner or officer verifying compliance with each of the minimum criteria in subdivision 3, with the exception of clause (7), at the time that it responds to the solicitation document.

A contracting authority may accept a signed statement under oath as sufficient to demonstrate that a contractor is a responsible contractor and shall not be held liable for awarding a contract in reasonable reliance on that statement. A prime contractor, subcontractor, or motor carrier that fails to verify compliance with any one of the required minimum criteria or makes a false statement under oath in a verification of compliance shall be ineligible to be awarded a construction contract on the project for which the verification was submitted.

A false statement under oath verifying compliance with any of the minimum criteria may result in termination of a construction contract that has already been awarded to a prime contractor or subcontractor or motor carrier that submits a false statement. A contracting authority shall not be liable for declining to award a contract or terminating a contract based on a reasonable determination that the contractor failed to verify compliance with the minimum criteria or falsely stated that it meets the minimum criteria. A verification of compliance need not be notarized. An electronic verification of compliance made and submitted as part of an electronic bid shall be an acceptable verification of compliance under this section provided that it contains an electronic signature as defined in section 325L.02, paragraph (h).

**CERTIFICATION**

By signing this document I certify that I am an owner or officer of the company, and I swear under oath that:

1) My company meets each of the Minimum Criteria to be a responsible contractor as defined herein and is in compliance with Minn. Stat. § 16C.285, and

2) if my company is awarded a contract, I will submit Attachment A-1 prior to contract execution, and

3) if my company is awarded a contract, I will also submit Attachment A-2 as required.

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<td>Company Name:</td>
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**NOTE:** Minn. Stat. § 16C.285, Subd. 2, (c) If only one prime contractor responds to a solicitation document, a contracting authority may award a construction contract to the responding prime contractor even if the minimum criteria in subdivision 3 are not met.
ATTACHMENT A-1
FIRST-TIER SUBCONTRACTORS LIST
SUBMIT PRIOR TO EXECUTION OF A CONSTRUCTION CONTRACT

STATE PROJECT NUMBER: ____________________________________________________

Minn. Stat. § 16C.285, Subd. 5. A prime contractor or subcontractor shall include in its verification of compliance under subdivision 4 a list of all of its first-tier subcontractors that it intends to retain for work on the project. Prior to execution of a construction contract, and as a condition precedent to the execution of a construction contract, the apparent successful prime contractor shall submit to the contracting authority a supplemental verification under oath confirming compliance with subdivision 3, clause (7). Each contractor or subcontractor shall obtain from all subcontractors with which it will have a direct contractual relationship a signed statement under oath by an owner or officer verifying that they meet all of the minimum criteria in subdivision 3 prior to execution of a construction contract with each subcontractor.

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<th>FIRST TIER SUBCONTRACTOR NAMES*</th>
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*Attach additional sheets as needed for submission of all first-tier subcontractors.

SUPPLEMENTAL CERTIFICATION FOR ATTACHMENT A-1

By signing this document I certify that I am an owner or officer of the company, and I swear under oath that:
All first-tier subcontractors listed on attachment A-1 have verified through a signed statement under oath by an owner or officer that they meet the minimum criteria to be a responsible contractor as defined in Minn. Stat. § 16C.285.

Authorized Signature of Owner or Officer:  
Printed Name:  
Title:  
Date:  
Company Name:
ATTACHMENT A-2
ADDITIONAL SUBCONTRACTORS LIST
PRIME CONTRACTOR TO SUBMIT AS SUBCONTRACTORS ARE ADDED TO THE PROJECT
STATE PROJECT NUMBER: ______________________________________________________

This form must be submitted to the Project Manager or individual as identified in the solicitation document.

Minn. Stat. § 16C.285, Subd. 5. … If a prime contractor or any subcontractor retains additional subcontractors on the project after submitting its verification of compliance, the prime contractor or subcontractor shall obtain verifications of compliance from each additional subcontractor with which it has a direct contractual relationship and shall submit a supplemental verification confirming compliance with subdivision 3, clause (7), within 14 days of retaining the additional subcontractors. …

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*Attach additional sheets as needed for submission of all additional subcontractors.

SUPPLEMENTAL CERTIFICATION FOR ATTACHMENT A-2

By signing this document I certify that I am an owner or officer of the company, and I swear under oath that:

All additional subcontractors listed on Attachment A-2 have verified through a signed statement under oath by an owner or officer that they meet the minimum criteria to be a responsible contractor as defined in Minn. Stat. § 16C.285.

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AFFIDAVIT AND INFORMATION REQUIRED OF BIDDERS

Affidavit of Non-Collusion:

I hereby swear (or affirm) under penalty of perjury:

1) That I am the bidder (if the bidder is an individual), a partner in the bidder (if the bidder is a partnership), or an officer or employee of the bidding corporation having authority to sign on its behalf (if the bidder is a corporation);

2) That the attached bid or bids have been arrived at by the bidder independently and have been submitted without collusion with and without agreement, understanding, or planned common course of action with any other vendor of materials, supplies, equipment or services described in the invitation to bid, designed to limit independent bidding or competition;

3) That the contents of the bid or bids have not been communicated by the bidder or its employees or agents to any person not an employee or agent of the bidder or its surety on any bond furnished with the bid or bids and will not be communicated to any such person prior to the official opening of the bid or bids;

4) That a family relationship between a City of Duluth employee and bidder/proposer are in non-collusion; and

5) That I have fully informed myself regarding the accuracy of the statements made in this affidavit.

Signed: ____________________________________________

Firm Name: ______________________________________________

Subscribed and sworn to me before this _____ day of _____________________, _________

NOTARY PUBLIC ____________________________________________

My commission expires: _______________________________________

Bidder’s Federal Identification Number ___________________________
EQUAL EMPLOYMENT OPPORTUNITY EEO AFFIRMATIVE ACTION
POLICY STATEMENT & COMPLIANCE CERTIFICATE

TO: City of Duluth, MN     PROJECT NUMBER & DESCRIPTION ______________________________

FROM: ____________________________________________________________________________

(Vendor’s name, address, telephone number)

A) Employment: It is the policy of the above named FIRM to afford equal opportunity for employment to all individuals regardless of race, color, creed, religion, national origin, ancestry, age, sex, marital status, status with respect to public assistance and/or disability. The FIRM will take affirmative action to ensure that we will: (1) recruit, hire, and promote all job classifications without regard to race, color, creed, religion, national origin, ancestry, age, sex, marital status, status with respect to public assistance, and/or disability, except where sex is a bona fide occupational qualification; (2) base decisions on employment so as to further the principle of equal employment opportunity; (3) ensure that promotion decisions are in accord with the principles of equal employment opportunity by imposing only valid requirements for promotional opportunities; (4) ensure that all personnel actions such as compensation, benefits, transfers, layoffs, return from layoff, FIRM sponsored training, education tuition assistance, social and recreational programs will be administered without regard to race, color, creed, religion, national origin, ancestry, age, sex, marital status, status with respect to public assistance, and/or disability. The FIRM also intends full compliance with Veteran affirmative action requirements. Additionally, minority and female employees shall be encouraged to participate in all FIRM activities and refer applicants.

I have designated (name) _______________________________________________ to direct the establishment of and to monitor the implementation of personnel procedures to guide the FIRM’s affirmative action program. Where PROJECTS exceed $500,000, this official shall also serve as the liaison officer that administers the FIRM’s “Minority Business Enterprise Program.” This official is charged with designing and implementing audit and reporting systems that will keep management informed on a monthly basis of the status of the equal opportunity area.

Supervisors have been made to understand that their work performance is being evaluated on the basis of their equal opportunity efforts and results, as well as other criteria. It shall be the responsibility of the FIRM and its supervisors to take actions to prevent harassment of employees placed through affirmative action efforts.

B) Reports: Unless exempted by law and regulation, the FIRM shall make available and file those reports related to equal opportunity as may be required by the City of Duluth and State and Federal compliance agencies. Requirements and Reports are defined in 41 CFR60 “Compliance Responsibility for Equal Opportunity” published by the U. S. Department of Labor which is incorporated herein by reference. Additional requirements are defined in various State and Federal Civil Rights Legislation and Rules promulgated thereunder.

C) Nonsegregated Facilities: The FIRM certifies that it does not maintain or provide for its employees any segregated facilities at any of its establishments and that it does not permit its employees to perform their services at any location, under its control, where segregated facilities are maintained. The FIRM certifies that it will not maintain or provide for its employees any segregated facilities at any of its establishments and that it will not permit its employees to perform their services at any location, under its control, where segregated facilities are maintained. The FIRM agrees that a breach of this certification is a violation of the Equal Opportunity Clause in this certificate. As used in this Certification, the term “segregated
facilities" means any waiting rooms, work area, rest rooms and wash rooms, restaurants and other eating areas, time clocks, locker rooms and other storage or dressing areas, parking lots, drinking fountains, recreation for entertainment area, transportation, and housing facilities provided for employees which are segregated by explicit directive or are, in fact, segregated on the basis of race, color, religion, or national origin, because of habit, local custom, or otherwise.

D) **Affirmative Action Compliance Program:** Unless exempted by regulation and law, the FIRM—if the FIRM has 50 or more employees and if the value of current contracts with the City of Duluth exceeds $50,000—shall prepare and maintain a written affirmative action compliance program that meets the requirement as set forth in 41CFR60.

E) **Non-Compliance:** The FIRM certifies that it is not currently in receipt of any outstanding letters of deficiencies, show cause, probable cause, or other such notification of non-compliance with EEO Laws and Regulations.

F) **Employment Goals - “Construction” Projects:** It shall be the goal of the FIRM if the PROJECT is of a construction nature that in all on-site employment generated that no less than 3% of the on-site workforce will be minority employees and that no less than 7% of the on-site workforce will be female employees. Further, it is the goal of the FIRM if the PROJECT is of a construction nature that in all on-site employment generated that no less than 3% of the work hours generated shall be worked by minority employees and that no less than 7% of the work hours generated shall be worked by female employees.

G) **Subcontractors:** The FIRM will for all its PROJECT subcontractors regardless of tier (unless exempted by law and regulation) that received in excess of $2,500 require that: (1) the subcontractor shall execute an “EEO Statement and Certification” similar in nature to this “Statement and Certification”, (2) said documentation to be maintained on file with the FIRM or subcontractor as may be appropriate.

Executed this ________ day of ______________, 20__ by:

________________________________________________________________________
Printed name and title

________________________________________________________________________
Signature

NOTE: In addition to the various remedies prescribed for violation of Equal Opportunity Laws, the penalty for false statements is prescribed in 18 U.S.C. 1001.
General Decision Number: MN160041 03/04/2016  MN41
Superseded General Decision Number: MN20150041
State: Minnesota
Construction Type: Building
County: St Louis County in Minnesota.

BUILDING CONSTRUCTION PROJECTS (does not include single family homes or apartments up to and including 4 stories).

Note: Under Executive Order (EO) 13658, an hourly minimum wage of $10.15 for calendar year 2016 applies to all contracts subject to the Davis-Bacon Act for which the solicitation was issued on or after January 1, 2015. If this contract is covered by the EO, the contractor must pay all workers in any classification listed on this wage determination at least $10.15 (or the applicable wage rate listed on this wage determination, if it is higher) for all hours spent performing on the contract in calendar year 2016. The EO minimum wage rate will be adjusted annually. Additional information on contractor requirements and worker protections under the EO is available at www.dol.gov/whd/govcontracts.

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Rates Fringes
BRICKLAYER...........................$ 31.75 20.55
CARP0068-005 07/01/2012

Rates Fringes
SOFT FLOOR LAYER...................$ 30.94 11.75
CARP0361-012 05/01/2015

DULUTH AREA including Alborn, Arnold, Bartlett, Birch, Brookstone, Canyon, Clinton, Culver, Floodwood, Gowan, Island, Kelsey, Lakewood, Meadowlands, Munger, Palmers, Payne, Prasit, Shaw, Taft)

Rates Fringes
CARPENTER (Including Acoustical Installation, Drywall Hanging, Form Work & Overhead Door Installation).......$ 29.55 16.08
CARP0606-001 05/01/2015

EXCLUDING DULUTH AREA

Rates Fringes
CARPENTER (Including Acoustical Installation, Drywall Hanging, Form Work & Overhead Door Installation).......$ 29.45 14.37

* ELEC0242-012 05/31/2015

ST. LOUIS (South part bounded on the north by the north line of Kelsey Township extended east & west)

Rates Fringes
ELECTRICIAN............................$ 33.90 24.47
ELEC0294-006 05/31/2015

ST. LOUIS (North part bounded on the south by the south line of Ellsburg Township, extended east & west)

Rates Fringes
ELECTRICIAN............................$ 34.68 61.54%
ENGI0049-045 05/01/2015

Rates Fringes
OPERATOR: Power Equipment
GROUP 1...............................$ 37.74 17.15
GROUP 2...............................$ 37.40 17.15
GROUP 3...............................$ 35.99 17.15
GROUP 4...............................$ 35.65 17.15
GROUP 5...............................$ 35.48 17.15
GROUP 6...............................$ 33.97 17.15
GROUP 7...............................$ 32.85 17.15
GROUP 8...............................$ 30.84 17.15

POWER EQUIPMENT OPERATOR CLASSIFICATIONS

GROUP 1: Truck & Crawler Crane with 200' of Boom & Over, including Jib ($0.50 premium with 300' of Boom & Over, including jib); & Tower Crane 250' & Over.

GROUP 2: Truck & Crawler Crane with 150' of Boom, up to but not including 200' of Boom, including Jib; & Tower Crane 200' & Over.
GROUP 3: Traveling Tower Crane; Truck & Crawler Crane, up to but not including 150' of Boom, including Jib; Tower Crane (Stationary) up to 200'; All-Terrain Vehicle Crane, Boom Truck over 100 ft.

GROUP 4: Backhoe/Track/Trackhoe, Hoist (3 drums or more); Overhead Crane (inside building perimeter), Excavator.

GROUP 5: Asphalt Spreader, Bulldozer, Curb Machine, Drill, Forklift, Compressor 450 CFM or over (2 or more machines); Boom Truck up to 100 ft, Loader over 1 cu yd, Hoist (1 or 2 drums); Mechanic; Milling Machine, Roller, Scraper, Tractor over D2.

GROUP 6: Bobcat/Skid Loader, Loader up to 1 cu. yd., Tractor D2 or similar size.

GROUP 7: Compressor 600 CFM or over, Crane Oiler.

GROUP 8: Oiler.

IRON0512-018 05/01/2015

Rates Fringes
IRONWORKER, ORNAMENTAL, REINFORCING, AND STRUCTURAL......$ 31.04 23.45

LABO1091-011 01/01/2014

Rates Fringes
LABORER (ASBESTOS ABATEMENT)
Removal from Floors, Walls & Ceilings..................$ 27.89 16.31

LABO1091-013 05/01/2012

ST. LOUIS (South of T 55 N)

Rates Fringes
Laborers:
GROUP 1.....................$ 21.95 14.93
GROUP 2.....................$ 22.10 14.93
GROUP 3.....................$ 22.35 14.93
GROUP 4.....................$ 22.65 14.93

LABORER CLASSIFICATIONS
GROUP 1: Common or General, Asphalt Shoveler, Carpenter Tender, Form Stripping
GROUP 2: Vibrating Plate
GROUP 3: Pipelayer
GROUP 4: Mason Tender (Brick, Cement/Concrete)

LABO1097-008 05/01/2012

ST. LOUIS (North of T 55 N)

Rates Fringes
LABORER
GROUP 1.....................$ 20.62 16.25
GROUP 2.....................$ 21.02 16.25

LABORERS CLASSIFICATIONS
GROUP 1 - Common or General, Asphalt Shoveler, Carpenter Tender, Form Stripping, Mason Tender (Brick, Cement/Concrete)
GROUP 2 - Pipelayer, Vibrating Plate

PAIN0106-001 05/01/2013
### Rates vs Fringes

#### GLAZIER

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**FOOTNOTE:**

1 to 4 years service - 1 week paid vacation; 5 to 11 years - 2 weeks paid vacation; 11 years or more - 3 weeks paid vacation

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**PAIN0106-013 05/01/2014**

#### Painters:

- **New:**
  - Brush, Roller: $28.81 15.27
  - Spray, Drywall Finisher/Taper: $29.41 15.27

- **Repaint:**
  - Brush, Roller: $27.31 15.27
  - Spray, Drywall Finisher/Taper: $27.91 15.27

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**PLAS0633-024 05/01/2012**

#### ST. LOUIS (North of White Face River) COUNTIES

- **CEMENT MASON/CONCRETE FINISHER:** $26.71 14.64

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**PLAS0633-059 05/01/2012**

#### CARLTON & ST. LOUIS (South of T 55N) COUNTIES

- **CEMENT MASON/CONCRETE FINISHER:** $29.69 16.30

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* **PLUM0011-019 05/04/2015**

#### ST. LOUIS (South of an east-west line drawn through Cotton)

- **PLUMBER/PIPEFITTER:** $38.17 18.13

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* **PLUM0589-007 05/01/2015**

#### ST. LOUIS (North of an East-West line drawn through Cotton)

- **PLUMBER/PIPEFITTER**
  - Contracts $90,000.00 and under: $38.65 17.71
  - Contracts over $90,000.00: $38.65 17.71

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**ROOF0096-024 07/01/2015**

#### ST. LOUIS (South of Hwy 16, excluding City of Forbes)

- **ROOFER:** $32.15 14.67

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**ROOF0096-025 05/01/2015**

#### ST. LOUIS (Remaining Northern two-thirds)

- **ROOFER:** $28.89 11.13

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**SHEE0010-045 05/01/2009**

#### ST. LOUIS (Southern one-third)

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ST. LOUIS (Northern two-thirds)

SHEET METAL WORKER (Including HVAC Duct Installation)........... $ 31.61            16.52

----------------------------------------------------------------

SHEE0010-056 05/01/2008

Rates Fringes

SHEET METAL WORKER (Including HVAC Duct Installation)........... $ 29.99            16.08

----------------------------------------------------------------

SUMN2009-050 07/27/2009

Rates Fringes

LABORER: Landscape............... $ 12.88             4.61

TRUCK DRIVER: Dump Truck.......... $ 19.15             5.70

WELDERS - Receive rate prescribed for craft performing operation to which welding is incidental.

================================================================

Unlisted classifications needed for work not included within the scope of the classifications listed may be added after award only as provided in the labor standards contract clauses (29CFR 5.5 (a) (1) (ii)).

----------------------------------------------------------------

The body of each wage determination lists the classification and wage rates that have been found to be prevailing for the cited type(s) of construction in the area covered by the wage determination. The classifications are listed in alphabetical order of "identifiers" that indicate whether the particular rate is a union rate (current union negotiated rate for local), a survey rate (weighted average rate) or a union average rate (weighted union average rate).

Union Rate Identifiers

A four letter classification abbreviation identifier enclosed in dotted lines beginning with characters other than "SU" or "UAVG" denotes that the union classification and rate were prevailing for that classification in the survey. Example: PLUM0198-005 07/01/2014. PLUM is an abbreviation identifier of the union which prevailed in the survey for this classification, which in this example would be Plumbers. 0198 indicates the local union number or district council number where applicable, i.e., Plumbers Local 0198. The next number, 005 in the example, is an internal number used in processing the wage determination. 07/01/2014 is the effective date of the most current negotiated rate, which in this example is July 1, 2014.

Union prevailing wage rates are updated to reflect all rate changes in the collective bargaining agreement (CBA) governing this classification and rate.

Survey Rate Identifiers

Classifications listed under the "SU" identifier indicate that no one rate prevailed for this classification in the survey and the published rate is derived by computing a weighted average rate based on all the rates reported in the survey for that classification. As this weighted average rate includes all rates reported in the survey, it may include both union and non-union rates. Example: SULA2012-007 5/13/2014. SU indicates the rates are survey rates based on a weighted average calculation of rates and are not majority rates. LA indicates the State of Louisiana. 2012 is the year of survey on which these classifications and rates are based. The next number, 007 in the example, is an internal number used in producing the wage determination. 5/13/2014 indicates the survey completion date.
date for the classifications and rates under that identifier.

Survey wage rates are not updated and remain in effect until a new survey is conducted.

Union Average Rate Identifiers

Classification(s) listed under the UAVG identifier indicate that no single majority rate prevailed for those classifications; however, 100% of the data reported for the classifications was union data. EXAMPLE: UAVG-OH-0010 08/29/2014. UAVG indicates that the rate is a weighted union average rate. OH indicates the state. The next number, 0010 in the example, is an internal number used in producing the wage determination. 08/29/2014 indicates the survey completion date for the classifications and rates under that identifier.

A UAVG rate will be updated once a year, usually in January of each year, to reflect a weighted average of the current negotiated/CBA rate of the union locals from which the rate is based.

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WAGE DETERMINATION APPEALS PROCESS

1.) Has there been an initial decision in the matter? This can be:

* an existing published wage determination
* a survey underlying a wage determination
* a Wage and Hour Division letter setting forth a position on a wage determination matter
* a conformance (additional classification and rate) ruling

On survey related matters, initial contact, including requests for summaries of surveys, should be with the Wage and Hour Regional Office for the area in which the survey was conducted because those Regional Offices have responsibility for the Davis-Bacon survey program. If the response from this initial contact is not satisfactory, then the process described in 2.) and 3.) should be followed.

With regard to any other matter not yet ripe for the formal process described here, initial contact should be with the Branch of Construction Wage Determinations. Write to:

Branch of Construction Wage Determinations
Wage and Hour Division
U.S. Department of Labor
200 Constitution Avenue, N.W.
Washington, DC 20210

2.) If the answer to the question in 1.) is yes, then an interested party (those affected by the action) can request review and reconsideration from the Wage and Hour Administrator (See 29 CFR Part 1.8 and 29 CFR Part 7). Write to:

Wage and Hour Administrator
U.S. Department of Labor
200 Constitution Avenue, N.W.
Washington, DC 20210

The request should be accompanied by a full statement of the interested party's position and by any information (wage payment data, project description, area practice material, etc.) that the requestor considers relevant to the issue.

3.) If the decision of the Administrator is not favorable, an interested party may appeal directly to the Administrative Review Board (formerly the Wage Appeals Board). Write to:

Administrative Review Board
U.S. Department of Labor
200 Constitution Avenue, N.W.
Washington, DC 20210

4.) All decisions by the Administrative Review Board are final.
END OF GENERAL DECISION