CITY OF DULUTH
INVITATION TO BID (ENG)

PROJECT NAME/DESCRIPTION: AVONDALE STREET STORMWATER DRAINAGE IMPROVEMENTS

PROJECT NUMBER: 1346

BID NUMBER: 14-0561

Sealed bids will be received by the City Purchasing Agent in and for the Corporation of the City of Duluth, Minnesota, at his office, Room 100 City Hall, Duluth, Minnesota, 55802, (218) 730-5340 until 2:00 P.M. local time on September 4, 2014 for the above named project. Immediately thereafter, bids will be taken to room 106a City Hall, where they will be publicly opened and read aloud.

NOTICE TO BIDDERS:

1. A Project Labor Agreement (PLA) will be required for any bid that is over or could virtually go over $150,000.

2. Unless a Certificate of Exemption is provided, any out-of-state bidder receiving a bid award will have 8% retained from invoice payments on any contracts over $50,000. Submit a signed copy from the State of Minnesota when submitting Payment and Performance Bonds. This form may be found at the following web address: http://taxes.state.mn.us/Forms_and_Instructions/sde.pdf

This drainage improvement project includes ditching, driveway culverts, and turf restoration along 500 feet of Avondale Street located immediately west of the Crosley Avenue intersection.

Questions pertaining to this project should be directed to: Tom Pfeffer, City of Duluth, 218-730-5104

Each bidder must review the 2013 edition of the City of Duluth Public Works/Utilities Department – Engineering Division Standard Construction Specifications on the city website (www.duluthmn.gov) as these Specifications are incorporated by reference and deemed to be a part hereof this project as if fully incorporated and set forth herein.

Plans and specifications may be secured from the City Engineering office, Room 211 City Hall, 411 West 1st St., Duluth, MN 55802, or downloaded from the City of Duluth website free of charge.

Plans and specifications are on file for inspection at the City Engineering office, Duluth Builders Exchange, F.W. Dodge Plan Room, Minneapolis Builders Exchange and St. Paul Builders Exchange.

A certified check or bank draft, payable to the order of the City of Duluth, negotiable U.S. Government Bonds (at par value), or a satisfactory bid bond executed by the bidder and acceptable surety, in an amount equal to five per cent (5%) of the total bid, shall be submitted with each bid.

Attention is called to the fact that not less than the minimum salaries and prevailing wages as set forth in the contract documents must be paid on this project. The contractor must take affirmative action to ensure that the employees and applicants for employment are not discriminated against because of their race, color, creed, sex or national origin, and must meet the affirmative action goals. Contractors are encouraged to subcontract with Disadvantaged Business Enterprises when possible.

Contractor will comply with all applicable Equal Employment Opportunity laws and regulations.

The City of Duluth is an Equal Opportunity employer.

CITY OF DULUTH

Date posted to web: 8/19/14

Dennis Sears
Purchasing Agent
CITY of DULUTH
PROJECT SPECIFICATIONS

AVONDALE STREET STORMWATER DRAINAGE IMPROVEMENTS

City of Duluth, MN
411 West 1st St.
Duluth, MN 55802

City Project # 1346

Bid # 14-0561

Opening Date: September 4, 2014

Time: 2:00 P.M.

Place: Room 100
SPECIAL PROVISIONS
Job Number: 1346
AVONDALE STREET STORMWATER DRAINAGE IMPROVEMENTS
August 15, 2014

SPECIFICATIONS SIGNATURE PAGE

I HEREBY CERTIFY THAT THIS PLAN, specification or report
was prepared by me or under my direct supervision and that I am a duly
Licensed Professional Engineer under the laws of the State of Minnesota.

____________________  _______________________
Signature             Typed or Printed Name

 Thomas M. Pfeffer

____________________  _______________________
Date                License No.

8-19-14                22442
SPECIAL PROVISIONS
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Instructions to Bidders – Engineering 6/02/10
Data for Labor Cost Bidding
Prevailing Wage Rate(s) USDOL Heavy 07/04/14
USDOL Highway 07/18/14
Project Insurance Requirements 2/16/11
Request for Bids form
The following forms and regulations/rules/statutes and interpretations, which are incorporated by reference in this contract, are available on the World Wide Web at the sites listed below. The City of Duluth will use its best efforts to ensure that the most recent, applicable forms and regulations/rules/statutes and interpretations are included on the web sites provided; however, if you are the successful bidder, prior to signing the contract, you are responsible for comparing the versions of the forms and regulations/rules/statutes and interpretations attached to the contract which you are signing with the versions on the web to ensure conformity.

THE VERSIONS OF THE FORMS AND REGULATIONS/RULES/STATUTES AND INTERPRETATION ATTACHED TO THE CONTRACT WILL BE CONTROLLING. HARD COPIES OF ALL FORMS ARE AVAILABLE AT THE ENGINEERING DIVISION, EXCEPT THE NON-COLLUSION AND AFFIRMATIVE ACTION POLICY STATEMENT, WHICH ARE AVAILABLE AT THE CITY OF DULUTH PURCHASING DEPARTMENT.

<table>
<thead>
<tr>
<th>FORM</th>
<th>WEB SITE</th>
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<tbody>
<tr>
<td>Affidavit of Non-Collusion (required by awarded contractor only)</td>
<td><a href="http://www.duluthmn.gov/engineering/standard-construction-specifications/construction-documents/">http://www.duluthmn.gov/engineering/standard-construction-specifications/construction-documents/</a></td>
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<tr>
<td>(required by awarded contractor only)</td>
<td><a href="http://www.duluthmn.gov/engineering/standard-construction-specifications/construction-documents/">http://www.duluthmn.gov/engineering/standard-construction-specifications/construction-documents/</a></td>
</tr>
<tr>
<td>Certified Payroll Form WH347 (front side only)</td>
<td><a href="http://www.dol.gov/whd/forms/WH347.pdf">www.dol.gov/whd/forms/WH347.pdf</a></td>
</tr>
<tr>
<td>Contractor’s Haul Route</td>
<td><a href="http://www.duluthmn.gov/engineering/standard-construction-specifications/construction-documents/">http://www.duluthmn.gov/engineering/standard-construction-specifications/construction-documents/</a></td>
</tr>
<tr>
<td>Debarment/Suspension Notice (most current version)</td>
<td><a href="http://www.dot.state.mn.us/pre-letting/prov/order/suspension.pdf">www.dot.state.mn.us/pre-letting/prov/order/suspension.pdf</a></td>
</tr>
<tr>
<td>IC-134 form</td>
<td><a href="http://www.revenue.state.mn.us/Forms_and_instructions/ic134.pdf">http://www.revenue.state.mn.us/Forms_and_instructions/ic134.pdf</a></td>
</tr>
<tr>
<td>IC-134 on-line submittal</td>
<td><a href="https://www.mndor.state.mn.us/tp/contractoraffidavit/#/1">https://www.mndor.state.mn.us/tp/contractoraffidavit/#/1</a></td>
</tr>
<tr>
<td>MN Statutes 177.41 to 177.44</td>
<td><a href="http://www.revisor.mn.gov/statutes/?id=177">www.revisor.mn.gov/statutes/?id=177</a></td>
</tr>
<tr>
<td>One-Call Instructions</td>
<td><a href="http://www.duluthmn.gov/engineering/standard-construction-specifications/construction-documents/">http://www.duluthmn.gov/engineering/standard-construction-specifications/construction-documents/</a></td>
</tr>
<tr>
<td>Request to Sublet TP-21834 (5-12-09)</td>
<td><a href="http://www.duluthmn.gov/engineering/standard-construction-specifications/construction-documents/">http://www.duluthmn.gov/engineering/standard-construction-specifications/construction-documents/</a></td>
</tr>
<tr>
<td>Statement of Compliance Form (12-10)</td>
<td><a href="http://www.dot.state.mn.us/const/labor/forms.html">www.dot.state.mn.us/const/labor/forms.html</a></td>
</tr>
</tbody>
</table>
NOTICE TO ALL BIDDERS:


SP-1 **SCOPE OF WORK**
This drainage improvement project includes ditching, driveway culverts, and turf restoration along 500 feet of Avondale Street located immediately west of the Crosley Avenue intersection.

SP-2 **(1702) PERMITS**
The work under these projects is subject to the provisions and/or restrictions of the following permits:

A. City of Duluth, Erosion and Sediment Control Permit (no cost to Contractor)

SP-3 **(1806) DETERMINATION AND EXTENSION OF CONTRACT TIME**
The Contract Time will be determined in accordance with the provisions of Mn/DOT 1806 and the following:

SP-3.1 Construction operations shall be started on or before **September 15, 2014** or within ten (10) calendar days after the date of award by City Council resolution, whichever is later; however, the Notice to Proceed letter will be the official authorization to commence construction operations.

SP-3.2 All work required under this Contract shall be completed by **October 31, 2014**.

SP-3.3 The provisions of MN/DOT 1806.1.C(3) are modified to the extent that the phrase "during the inclusive period from November 15 to April 15," is deleted. A similar phrase set forth in the second paragraph of Mn/DOT 1807.2 is also deleted.

SP-3.4 No work which will restrict or interfere with traffic shall be performed between 12:00 noon on the day preceding and 6:30 a.m. on the day following any consecutive combination of a Saturday, Sunday, and legal holiday without written permission from the Engineer. If the Contractor chooses not to work at all on the day preceding the holiday period, no working day charges will be assessed. If the Contractor chooses to work prior to 12:00 noon on the day preceding the holiday period or if the Contractor obtains written permission to work after 12:00 noon on the day preceding the holiday period, working day charges will be assessed only for the actual hours worked.

SP-3.5 When all, or a portion, of the Contract Time is specified as a calendar completion date, as provided in Mn/DOT 1103, the time is presumed to have been determined by considering the Proposal quantities, normal weather for the locality and season of the year, and the necessity of having the work completed by the specified date. The time may be extended by the Engineer only in accordance with the following:

When the Contract Time is specified as a fixed calendar completion date, any time extensions granted must be justified on the basis of unavoidable delay in starting or completing the progress controlling operations, and then, only when and to the extent that it is shown that delay time could not be overcome and the work brought back on schedule through reasonable adjustments in the Progress Schedule. Provided the Contractor has made all reasonable efforts to maintain an adequate and acceptable Progress Schedule, the specified completion date may be extended as the Engineer determines to be justified, for any of the following reasons:

1) Delays caused by failure of the City Council to award the Contract at least 10 calendar days in advance of the latest date specified for beginning construction operations.
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2) Delays caused by an earthquake, flood, cloudburst, cyclone, tornado, or other cataclysmic phenomenon of a nature beyond the power of the Contractor to foresee and make preparations in defense against.

3) Delays caused by acts of the Government or a political subdivision, or by acts of the public enemy, including fires, epidemics, and strikes not caused by improper acts or omissions of the Contractor.

4) Delays caused by an action or non-action of the Department, such as suspension of work by order of the Engineer through no fault on the Contractor.

5) Delays caused in incompletion of work being done by other Contractors or utility owners, or due to other unforeseeable interferences not the fault of the Contractor.

6) Delays direction attributable to the performances of Extra Work or increased quantities or work.

7) Extraordinary delays in delivery of materials, resulting from strikes, lockouts, freight embargoes, governmental acts, or sudden disaster, or a nature beyond the power of the Contractor or his/her supplier to foresee and forestall.

Delays caused by plant and equipment failure, and delays due to unsuitable weather or conditions resulting therefrom, will not be allowed as justification for time extension except when and only to the extent the Engineer considers justified in view of unavoidable circumstances or events. Normal weather delays and the usual plant and equipment failures must be allowed for establishing work schedules. An extension of time may be granted for such delays as are considered to be in excess of the normal, but only when it is shown that the lost time would not reasonably be made up through acceleration of the remaining work. Failure to prosecute the work continuously and effectively for the full time allowed, with adequate work force and schedule, will be cause for denial of any such time extension that may otherwise be allowed.

SP-4 (2105) DITCH EXCAVATION AND GRADING
This work shall include ditch excavation and grading to improve surface drainage in accordance with MN/DOT 2105.

SP-4.2 Measurement and Payment
The Engineer will measure ditching completed at the direction of the Engineer by the linear feet along the flow line of the ditch bottom. Payment will be made under Bid Item 2105.603 Ditching at the contract unit price per linear foot, which shall be compensation in full for all costs to complete the work, including, but not be limited to, excavation, fine grading and disposal of materials.

SP-5 (2360) PLANT MIXED ASPHALT PAVEMENT
MN/DOT (2350) and (2360) Standard Specifications are hereby deleted and replaced with the (2360) Plant Mixed Asphalt Pavement specification dated January 23, 2013. This specification is available on MN/DOT’s website at www.dot.state.mn.us/materials/bituminous.html. Both the MN/DOT 2360 specification and the City of Duluth Construction Standards dated 2013 for 2360 are hereby modified with the following:

SP-5.1 Mix designation numbers for the bituminous mixtures on this project are as follows:

Type SP 9.5(or 12.5) Wearing Course Mixture (3,C) SPWEA340C

A. The provisions of MN/DOT 2360.2E.5.a(1) are modified with the following: Scrap shingles, as an asphalt binder source, shall not be included in any wear or non-wear layer.

B. The provisions of MN/DOT 2360.2G.4.b Sampling and Testing are modified with the following:
   1. The Contractor will take the first QC sample from the second, third or fourth truck on the construction job. Thereafter, the Contractor shall ensure that all QC samples are taken at random locations.
   2. The Contractor shall store and retain mixture bulk samples and companion samples for the city for a period of 30 working days.

C. The provisions of MN/DOT 2360.2G.5 are modified with the following:
   1. Divide the planned production by 500.
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2. During production, mixture volumetric property tests will not be required when mix production is less than 100 metric tons (110 tons). However, production tests will be required when the accumulative tonnage on successive days exceeds 100 metric tons (110 tons).

D. The provisions of MN/DOT 2360.2G. are hereby modified with the following:
   1. Where 500 tons is indicated, revise to 250 tons. Where 1000 tons is indicated, revise to 500 tons.

E. The provisions of MN/DOT 2360.2G/8 are hereby modified with the following: Delete “District Materials Laboratory” and replace with “City of Duluth, 218-730-5907.” The City will assess monetary deductions in the amount of $250.00 each day that the Contractor fails to comply.

F. The provisions of MN/DOT 2360.4 Method of Measurement are hereby modified: The following sentences are added:
   Asphalt mixtures paid by the square yard per inch with be for areas where it is impractical for a paving machine to pave driveways and other areas not on the mainline. If areas behind the curb and gutter can be paved with a paving machine, then that quantity will be paid for under the unit price per ton of asphalt placed.

G. The provisions of MN/DOT 2360.5 Basis of payment are hereby modified as follows: Payment for the accepted quantities if asphalt mixture used in each course at the Contract prices per unit of material shall be compensation in full for all costs of constructing the asphalt surfacing and providing or incorporating asphalt binder, tack coat, mineral filler, hydrated lime, and anti-stripping additives that may be permitted or required.

The provisions of MN/DOT 1903 are modified to the extent that the City will not make a price adjustment in the event of increased or decreased quantities of asphalt mixture items.

SP-6 (2461) STRUCTURAL CONCRETE
MN/DOT (2461) Standard Specifications is hereby deleted and replaced with the (2461) Structural Concrete(2013). This specification is available on the City of Duluth’s website at www.duluthmn.gov/engineering/spec_book/ as 2013 Supplement No. 1.

-END-
INSTRUCTIONS TO BIDDERS

1) **Use of Separate Bid Forms** These contract documents include a complete set of bidding and contract forms which are for the convenience of bidders and are not to be detached from the contract document, completed, or executed. Separate copies of bid forms are furnished for that purpose.

2) **Interpretations or Addenda** No oral interpretation will be made to any bidder as to the meaning of the contract documents or any part thereof. Every request for such an interpretation shall be made in writing to the city of Duluth. Any inquiry received seven or more days prior to the date fixed for opening of bids will be given consideration. Every interpretation made to a bidder will be in the form of an addendum to the contract documents, and when issued, will be on file in the office of the city engineer at least five days before bids are opened. In addition, all addenda will be mailed to each person holding contract documents, but it shall be the bidder's responsibility to make inquiry as to the addenda issued. All such addenda shall become part of the contract and all bidders shall be bound by such addenda, whether or not received by the bidders.

3) **Inspection of Site** Each bidder should visit the site of the proposed work and fully acquaint himself with the existing conditions there relating to construction and labor, and should fully inform himself as to the facilities involved, the difficulties, and the restrictions attending the performance of the contract. The bidder should thoroughly examine and familiarize himself with the drawings, technical specifications, and all other contract documents. The contractor, by the execution of the contract, shall in no way be relieved of any obligation under it due to his failure to receive or examine any form or legal instrument or to visit the site and acquaint himself with the conditions there existing; the city of Duluth will be justified in rejecting any claim based on facts regarding which he should have been on notice as a result thereof.

4) **Alternative Bids** No alternative bids or bid items will be considered unless alternative bids are specifically requested by the technical specifications.

5) **Bids**
   a) All bids must be submitted on forms supplied by the city engineer and shall be subject to all requirements of the contract documents, including the drawings, and these **Instructions to Bidders**. All bids must be regular in every respect; no interlineations, excisions, or special conditions shall be made or included in the bid form by the bidder.
   b) Bid documents, including the bid and the bid guaranty, shall be enclosed in an envelope which shall be sealed and clearly labeled with the project number, if any, name of bidder, and date and time of bid opening, in order to guard against premature opening of the bid. If the proposal is mailed, this envelope shall be placed in another envelope which shall be sealed and labeled with project number, if any, name of bidder, and date and time of bid opening -- and addressed to city of Duluth purchasing manager, 100 City Hall, Duluth, Minnesota 55802.
   c) The city of Duluth may consider as irregular any bid on which there is an alteration of or departure from the bid form hereto attached and, at its option, may reject the same.
   d) If the project is awarded, it will be awarded by the city of Duluth to the lowest responsible bidder assuming that the bids are within funds available based on the lowest base bid and or in combination with selected alternates (if any). The alternates will be accepted in descending order. By the award of the contract, it is assumed that the work will be completed within the time-frame as specified within the contract documents.
   e) Each bidder shall include in his bid the following information:
      - **Principals** – names, home addresses including city, state, and zip code
      - **Firm** – name, federal i.d. number, address, city, state, and zip code
      - **Mechanical & Electrical Subcontractors** – names of firms that will do the mechanical and electrical work and the amounts of the mechanical and electrical sub-bids, if applicable and when (where indicated on Bid Proposal form).

6) **Bid Guaranty**
   a) The bid must be accompanied by a bid guaranty which shall not be less than five percent (5%) of the amount of the bid. At the option of the bidder, the guaranty may be a certified check, bank draft, negotiable U.S. Government bond (at par value), or a bid bond. No bid will be considered unless it is accompanied by the required guaranty. Certified check or bank draft must be made payable to the order of the city of Duluth, Minnesota. Cash deposits will not be accepted. The bid guaranty shall insure the execution of the agreement and the furnishing of the surety bond or bonds by the successful bidder, all as required by the contract documents.
b) Revised bids submitted before the opening of bids, whether forwarded by mail, fax, or in person, if representing an increase in excess of two percent (2%) of the original bid, must have bid guaranty adjusted accordingly; otherwise, the bid will not be considered.

c) Certified checks or bank drafts, or the amount thereof, bid bonds, and negotiable U.S. Government bonds of unsuccessful bidders, will be returned as soon as practical after the opening of bids.

7) Collusive Agreements

a) The successful bidder on each city of Duluth construction project shall be required to execute a city of Duluth non-collusive affidavit to the effect that he has not entered into a collusive agreement with any other person, firm, or corporation in regard to any bid submitted.

b) Before executing any subcontract, the successful bidder shall submit the name of any proposed subcontractor for prior approval on the MnDOT Request to Sublet Form (Standard Specification 1801) TP-21834 (5/18/2007).

8) Unit Prices  The unit price, for each of the several items in the proposal of each bidder, shall include its prorata share of overhead so that the sum of the products obtained by multiplying the quantity shown for each item by the unit price bid represents the total bid. Any bid not conforming to this requirement may be rejected as informal. The special attention of all bidders is called to this provision; for should conditions make it necessary to revise the quantities, no limit will be fixed for such increased or decreased quantities nor extra compensation allowed, provided the net monetary value of all such additive and subtractive changes in quantities of such items of work (i.e., difference in cost) shall not increase or decrease the original contract price by more than twenty-five percent (25%), except for work not covered in the drawings and technical specifications.

9) Corrections  Erasures or other changes in the bids must be explained or noted over the signature of the bidder.

10) Time for Receiving Bids

a) Bids received prior to the advertised hour of opening will be securely kept, sealed. The officer, whose duty it is to open them, will decide when the specified time has arrived and no bid received thereafter will be considered; except that when a bid arrives by mail after the time fixed for opening, but before the reading of all other bids is completed, and it is shown to the satisfaction of the city purchasing office that the non-arrival on time was due solely to delay in the mails for which the bidder was not responsible, such bid will be received and considered.

b) Bidders are cautioned that, while fax modifications of bids may be received as provided above, such modifications, if not explicit and if in any sense subject to misinterpretation, shall make the bid so modified or amended, subject to rejection.

11) Opening of Bids  At the time and place fixed for the opening of bids, the city purchasing manager will cause to be opened and publicly read aloud every bid received within the time set for receiving bids, irrespective of any irregularities therein. Bidders and other persons properly interested may be present in person or by representative.

12) Withdrawal of Bids  Bids may be withdrawn on written or faxed request dispatched by the bidder in time for delivery in the normal course of business to the time fixed for opening; provided, that written confirmation of any faxed withdrawal over the signature of the bidder is placed in the mail and postmarked prior to the time set for bid opening. The bid guaranty of any bidder withdrawing his bid in accordance with the foregoing conditions will be returned promptly.

13) Award of Contract: Rejection of Bids

a) The contract will be awarded to the responsible bidder submitting the lowest bid complying with the conditions of the Invitation to Bid. The bidder, to whom the award is made, will be notified at the earliest possible date. The city of Duluth, however, reserves the right to reject any and all such bids and to waive any informalities in bids received whenever such rejection or waiver is in its interest.

b) The city of Duluth reserves the right to consider as unqualified to do the work of general construction, any bidder who does not habitually perform with his own forces the major portions of the work involved in construction of the improvements embraced in the contract documents. A project labor agreement will be included in all contracts exceeding $150,000.
14) **Execution of Agreement: Performance and Payment Bond.**

a) Subsequent to the award and within ten (10) days after the prescribed forms are presented for signature, the successful bidder shall execute and deliver to the city of Duluth an agreement in the form as furnished by the City, in such number of copies as the city of Duluth may require.

b) Having satisfied all conditions of award as set forth elsewhere in these documents, the successful bidder shall, within the period specified in paragraph "a" above, furnish:

1) A performance bond for the use and benefit of the city of Duluth to complete the contract according to its terms, and conditioned on saving the city of Duluth harmless from all costs and charges that may accrue on account of completing the specified work; and

2) A payment bond for the use and benefit of all persons furnishing labor and materials for the performance of the contract conditioned upon the payment, as they become due, of all just claims for labor and materials.

Both the performance bond and the payment bond shall be in a penal sum of not less than the amount of the contract awarded. Such bonds shall be in the same form as that included in the contract documents and shall bear the same date as, or a date subsequent to, that of the agreement. A current power of attorney for the person who signs for any surety company shall be attached to such bonds.

c) The failure of the successful bidder to execute such agreement to supply the required bond or bonds within ten (10) days after the prescribed forms are presented for signature, or within such extended period as the city of Duluth may grant, based on reasons determined sufficient by the city of Duluth, shall constitute a default, and the city of Duluth may either award the contract to the next lowest responsible bidder or re-advertise for bids, and may charge against the bidder the difference between the amount of the bid and the amount for which a contract for the work is subsequently executed, irrespective of whether the amount thus due exceeds the amount of the bid. If a more favorable bid is received by re-advertising, the defaulting bidder shall have no claim against the city of Duluth for a refund.

15) **Wages and Salaries**

a) Attention of bidders is particularly called to the requirements concerning the payment of not less than the prevailing wage and fringe benefit rates specified in the contract documents and the conditions of employment with respect to certain categories and classifications of employees.

b) The rates of pay set forth in prevailing wage schedule(s) are **potentially** the minimums to be paid during the life of the contract. Project funding sources, bid opening date, contract award date, and the contract start date may be factors resulting in a change of prevailing wage schedules. It is, therefore, the responsibility of bidders to inform themselves as to local labor conditions, such as the length of work day hours in conjunction with the project’s funding sources, overtime compensation, health and welfare contributions, labor supply, and prospective changes or adjustments of rates. A project labor agreement will be included in all contracts exceeding $150,000.

16) **Equal Employment Opportunity** Attention of bidders is particularly called to the requirement for ensuring that employees and applicants for employment are not discriminated against because of their race, color, religion, sex, or national origin. (See Supplementary General Conditions, Part II, Section 11).

17) **Employment and Business** Attention of bidders is particularly called to the requirement that, to the greatest extent feasible, opportunities for training and employment made possible by this project shall be offered to lower income residents of the city of Duluth. Additionally, if any work is subcontracted, efforts should be made to award subcontracts to concerns located in or owned in substantial part by persons residing in the city of Duluth.

18) **Sales and Use Taxes** It is assumed that, in the preparation of his proposal, the bidder has taken into consideration his/her liability from any sales, use, or excise tax that might be assessed in the purchase of, storage, use, or consumption of any materials, services, or supplies for performance of the contract work. Any such tax paid by the contractor will be considered as his/her expense, for which no direct compensation will be made by the city to the contractor over and above the accepted bid.

19) **Pre-Bid/Pre-Construction Meetings**

a) Should a pre-bid meeting be held, it will be conducted seven (7) days prior to the bid date (see Bid Form for time and place). All prime bidders are requested to attend. All bidders will be allowed to make inquiries regarding the contract documents. All formal decisions will be documented by addendum. Failure of any
prime bidders to attend this meeting could jeopardize the contract award.

b) Approximately seven (7) days after city council approval of contract award, the successful bidder is required to attend a pre-construction meeting. At this meeting, the successful bidder will present his/her construction schedule, cost breakdown, required submittals, etc.


a) The successful bidder on each city of Duluth construction project shall be required to execute a certificate substantially in the form herein provided.

b) Before executing any subcontract in excess of $2,500, the successful bidder shall require the subcontractor to execute a form similar in nature to the form herein provided.
DATA FOR LABOR COST BIDDING

NOTE:
Wage Decisions are subject to change due to lock-in rules and revisions near the bid opening.

Project No.: 1346
Name: AVONDALE STREET STORMWATER IMPROVEMENTS

This project is funded by:

City of Duluth

The base workweek may be:

Five 8-hour days
OR
Four 10-hour days
/ OT on all Hours after 40 per week
with OT on daily hours exceeding either

The project DOES NOT contain a project labor agreement (PLA).
Should a project contain a project labor agreement:
1) Union scale may not be reflected in the prevailing wage schedule(s)
2) Note Article II Section 10 for trucking labor costs

City of Duluth funding only:
Each certified payroll must indicate the base workweek on the accompanying MnDOT Statement of Compliance form and beside each employee’s name when his/her hours differ from the normal base workweek, if applicable.

OVERTIME REQUIREMENTS:
For projects funded by the City of Duluth: overtime must be paid on daily hours worked in excess of the base daily hours. Contractors (including sub-contractors) are not allowed to pay overtime solely on hours in excess of forty per week.

The overtime rate must be paid at NO LESS than the rate of pay as established in the project’s wage decision multiplied by one and one-half OR the base rate the employee is being paid if it is higher than the wage decision base rate.

Project Prevailing Wage Decision: U S DOL HEAVY 07/04/14
U S DOL HIGHWAY 07/18/14
General Decision Number: MN140105 07/04/2014 MN105
Supersedec General Decision Number: MN20130105
State: Minnesota
Construction Type: Heavy
County: St Louis County in Minnesota.

HEAVY CONSTRUCTION PROJECTS

<table>
<thead>
<tr>
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<th>Publication Date</th>
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<tbody>
<tr>
<td>0</td>
<td>01/03/2014</td>
</tr>
<tr>
<td>1</td>
<td>06/20/2014</td>
</tr>
<tr>
<td>2</td>
<td>06/27/2014</td>
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<td>3</td>
<td>07/04/2014</td>
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BOIL0647-004 01/01/2013

<table>
<thead>
<tr>
<th>Rates</th>
<th>Fringes</th>
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<tbody>
<tr>
<td>$ 32.40</td>
<td>25.37</td>
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</table>

 ST LOUIS COUNTY (Southern 1/3 including Cotton, Floodwood, Fond Du Lac, and Proctor)

<table>
<thead>
<tr>
<th>Rates</th>
<th>Fringes</th>
</tr>
</thead>
<tbody>
<tr>
<td>$ 31.07</td>
<td>15.80</td>
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ST LOUIS COUNTY (Juluuth)

<table>
<thead>
<tr>
<th>Rates</th>
<th>Fringes</th>
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</thead>
<tbody>
<tr>
<td>$ 31.47</td>
<td>15.80</td>
</tr>
</tbody>
</table>

ST LOUIS COUNTY (Northeast 2/3 including Cook, Cusson, Ely; and Western part including Chisholm, Greaney, and Orr)

<table>
<thead>
<tr>
<th>Rates</th>
<th>Fringes</th>
</tr>
</thead>
<tbody>
<tr>
<td>$ 31.07</td>
<td>15.80</td>
</tr>
</tbody>
</table>

ELEC0242-012 06/01/2014
ST. LOUIS (South part bounded on the north by the north line of Kelsey Township extended east & west)

Rates Fringes
ELECTRICIAN...............$ 32.54 24.07

* ELEC0294-006 06/01/2014

ST. LOUIS (North part bounded on the south by the south line of Ellsburg Township, extended east & west)

Rates Fringes
ELECTRICIAN...............$ 33.72 73.34%

ENGI0049-064 05/05/2014

OPERATOR: Power Equipment

<table>
<thead>
<tr>
<th>Group</th>
<th>Rates</th>
<th>Fringes</th>
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</thead>
<tbody>
<tr>
<td>2</td>
<td>$ 32.92</td>
<td>17.20</td>
</tr>
<tr>
<td>3</td>
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<tr>
<td>5</td>
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<td>17.20</td>
</tr>
<tr>
<td>6</td>
<td>$ 27.82</td>
<td>17.20</td>
</tr>
</tbody>
</table>

POWER EQUIPMENT OPERATOR CLASSIFICATIONS

GROUP 2: Crane with over 135' Boom, excluding jib; Dragline & Hydraulic Backhoe with shovel-type controls, 3 cubic yards and over; Grader/Blade finishing earthwork and bituminous.

GROUP 3: Dragline & Hydraulic Backhoe with shovel-type controls up to 3 cubic yards; Loader 5 cu yd and over; Mechanic; Tandem Scraper; Truck Crane; Crawler Crane

GROUP 4: Bituminous Roller 8 tons & over; Crusher/ Crushing Plant; Drill Rig; Elevating Grader; Loader over 1 cu yd; Grader; Pump; Scraper up to 32 cu yd; Farm Tractor with Backhoe attachment; Skid Steer Loader over 1 cu yd with Backhoe attachment; Bulldozer over 50 hp.

GROUP 5: Bituminous Roller under 8 tons; Bituminous Rubber Tire Roller; Loader up to 1 cu yd; Bulldozer 50 hp or less.

GROUP 6: Oiler; Self-Propelled Vibrating Packer 35 hp and over.

CRANE OVER 135' BOOM, EXCLUDING JIB - $ .25 PREMIUM;
CRANE OVER 200' BOOM, EXCLUDING JIB - $ .50 PREMIUM

UNDERGROUND WORK:
UNNELS, SHAFTS, ETC. - $ .25 PREMIUM
UNDER AIR PRESSURE - $ .50 PREMIUM

HAZARDOUS WASTE PROJECTS (PPE Required):
LEVEL A - $1.25 PREMIUM
LEVEL B - $ .90 PREMIUM
LEVEL C - $ .60 PREMIUM

IRON0512-028 06/09/2013

<table>
<thead>
<tr>
<th>Rates</th>
<th>Fringes</th>
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<tbody>
<tr>
<td>IRONWORKER, STRUCTURAL AND REINFORCING</td>
<td>$ 29.34</td>
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</table>

* LAB01091-006 05/01/2014

ST LOUIS (South of T. 55 N)

<table>
<thead>
<tr>
<th>Rates</th>
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</tr>
</thead>
<tbody>
<tr>
<td>LABORERS</td>
<td></td>
</tr>
<tr>
<td>(1) Common or General</td>
<td>$ 26.97</td>
</tr>
<tr>
<td>(2) Mason Tender Cement/Concrete</td>
<td>$ 27.17</td>
</tr>
<tr>
<td>(6) Pipe Layer</td>
<td>$ 29.47</td>
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</tbody>
</table>

* LAB01091-007 05/01/2014

SOUTHERN ST. LOUIS COUNTY

<table>
<thead>
<tr>
<th>Rates</th>
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<td>LABORER</td>
<td></td>
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<tr>
<td>Common or General (Natural Gas Pipeline only)</td>
<td>$ 26.97</td>
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* LAB01097-002 05/01/2014

NORTHERN ST. LOUIS COUNTY

<table>
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<tr>
<th>Rates</th>
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<td>LABORER</td>
<td></td>
</tr>
<tr>
<td>Common or General (Natural Gas Pipeline only)</td>
<td>$ 25.02</td>
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* LAB01097-005 05/31/2014

ST LOUIS (North of T. 55 N)

<table>
<thead>
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<th>Rates</th>
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<tbody>
<tr>
<td>LABORERS</td>
<td></td>
</tr>
<tr>
<td>(1) Common or General</td>
<td>$ 25.02</td>
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<tr>
<td>(2) Mason Tender Cement/Concrete</td>
<td>$ 25.22</td>
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</table>
(6) Pipe Layer $ 27.52 18.16

PLAS0633-036 05/01/2012

ST. LOUIS COUNTY (North of T 55N)

<table>
<thead>
<tr>
<th>Rates</th>
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<td>CEMENT MASON/CONCRETE FINISHER $ 26.71</td>
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PLAS0633-039 05/01/2012

ST. LOUIS COUNTY (South of T 55N)

<table>
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<th>Rates</th>
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</thead>
<tbody>
<tr>
<td>CEMENT MASON/CONCRETE FINISHER $ 32.78</td>
<td>16.80</td>
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TEAM0160-018 05/01/2014

<table>
<thead>
<tr>
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<td>TRUCK DRIVER (DUMP)</td>
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</tr>
<tr>
<td>(1) Articulated Dump Truck $ 27.70</td>
<td>14.80</td>
</tr>
<tr>
<td>(2) 3 Axles/4 Axles; 5 Axles receive $0.30 additional per hour $ 27.15</td>
<td>14.80</td>
</tr>
<tr>
<td>(3) Tandem Axles; &amp; Single Axles $ 27.05</td>
<td>14.80</td>
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SUMN2009-072 09/28/2009

<table>
<thead>
<tr>
<th>Rates</th>
<th>Fringes</th>
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<tbody>
<tr>
<td>LABORER: Landscape $ 12.88</td>
<td>4.61</td>
</tr>
</tbody>
</table>

WELDERS - Receive rate prescribed for craft performing operation to which welding is incidental.

Unlisted classifications needed for work not included within the scope of the classifications listed may be added after award only as provided in the labor standards contract clauses (29CFR 5.5 (a) (1) (ii)).

The body of each wage determination lists the classification and wage rates that have been found to be prevailing for the cited type(s) of construction in the area covered by the wage determination. The classifications are listed in alphabetical order of "identifiers" that indicate whether the particular
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---------------------------------

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1.) Has there been an initial decision in the matter? This can be:

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U.S. Department of Labor  
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U.S. Department of Labor  
200 Constitution Avenue, N.W.  
Washington, DC 20210

4.) All decisions by the Administrative Review Board are final.

=================================================================================================

END OF GENERAL DECISION
General Decision Number: MN140001 07/18/2014  MN1
Superseded General Decision Number: MN20130001
State: Minnesota
Construction Type: Highway
Counties: Carlton, Cook, Itasca, Koochiching, Lake, Pine and St Louis Counties in Minnesota.

HIGHWAY CONSTRUCTION PROJECTS

<table>
<thead>
<tr>
<th>Modification Number</th>
<th>Publication Date</th>
<th>Rates</th>
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<tbody>
<tr>
<td>0</td>
<td>01/03/2014</td>
<td>$ 29.78</td>
<td>21.78</td>
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<tr>
<td>1</td>
<td>07/18/2014</td>
<td>$ 30.79</td>
<td>17.90</td>
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* SUMN2010-001 05/01/2014

<table>
<thead>
<tr>
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<tbody>
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<td>$ 29.78</td>
<td>21.78</td>
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<tr>
<td>$ 30.79</td>
<td>17.90</td>
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<table>
<thead>
<tr>
<th>Rates</th>
<th>Fringes</th>
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<tbody>
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<td>$ 33.78</td>
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<td>$ 33.12</td>
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<td>$ 24.67</td>
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<td>$ 35.24</td>
<td>12.31</td>
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<table>
<thead>
<tr>
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<table>
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<tr>
<th>Rates</th>
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<tbody>
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<td>$ 33.12</td>
<td>23.79</td>
</tr>
</tbody>
</table>

<table>
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<tr>
<th>Rates</th>
<th>Fringes</th>
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<tbody>
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<td>$ 28.89</td>
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<tr>
<td>$ 26.61</td>
<td>16.09</td>
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<tr>
<td>$ 26.61</td>
<td>15.09</td>
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<tr>
<td>$ 18.00</td>
<td>12.43</td>
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<tr>
<td>$ 26.61</td>
<td>16.09</td>
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<table>
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<tr>
<th>Rates</th>
<th>Fringes</th>
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<tbody>
<tr>
<td>$ 27.31</td>
<td>16.09</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
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<table>
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<table>
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<tr>
<td>$ 32.07</td>
<td>17.20</td>
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<tr>
<td>$ 31.77</td>
<td>17.20</td>
</tr>
</tbody>
</table>
GROUP 5

$ 28.73

GROUP 6

$ 27.52

Special Equipment

Articulated Hauler........ $ 31.77

Boom Truck...................... $ 31.77

Off-Road Truck........ $ 31.77

Pavement Marking or
Marking Removal Equipment
Operator (one or two
person operators), Self-
Propelled Truck or
Trailer Mounted Units...... $ 27.91

17.20

17.20

17.20

17.20

17.20

16.56

OPERATING ENGINEER CLASSIFICATIONS

GROUP 2: Helicopter Pilot; Concrete Pump; Cranes over 135 ft
boom excluding jib; Dragline, Crawler, Hydraulic Backhoe and
other similar equipment with shovel-type controls including
attachments 3 cu yd & over; Grader or Motor Patrol; Pile
Driving

GROUP 3: Asphalt Bituminous Stabilizer Plant; Cableway;
Concrete Mixer, Stationary Plant; Derrick (guy or stiff
leg)(power)(skids or stationary); Dragline, Crawler, Hydraulic
Backhoe and other similar equipment with shovel-type controls
including attachments up to 3 cu yd; Dredge or Engineers
Dredge (Power); Front end loader 5 cu yd & over including
attachments; Locomotive Crane Operator; Mixer (paving)
concrete paving, Road Mole including Mucking operations,
Conway or similar type; Mechanic, Welder; Tractor, Boom type.
Tandem Scraper; Truck Crane, Crawler Crane; Tugboat 100 H.P. &
over.

GROUP 4: Air Track Rock Drill; Automatic Road Machine CMI or
similar; Backfiller; Concrete Batch Plant; Bituminous Roller
Rubber Tire or Steel Drum 8 tons & over; Bituminous Spreader &
Finishing Machine (power), including pavers, Macro Surfacing &
Micro Surfacing or similar types (Operator & Screed person);
Brokk or RTC remote control or similar type with attachments;
Cat Challenger Tractor or similar types pulling Rock Wagons;
Bulldozer & Scraper; Chip Harvester & Tree Cutter; Concrete
Distributor & Spreader Finishing Machine, Longitudinal Float,
Joint Machine, Spray Machine; Concrete Mixer on jobsite;
Concrete Mixer; Cruising Plant (gravel, stone) or Gravel
Washing, Crushing & Screening Plant; Curb Machine; Directional
Boring Machine; Drill Rigs, Heavy Rotary or Churn or Cable
Drill; Dual Tractor; Elevating Grader; Fork Lift; Front End,
Skid Steer 1 to 5 cu yd; GPS Remote Operating of equipment;
Hoist Engineer (power); Hydraulic Tree Planter; Locomotive;
Millling, Grinding, Planing, Fine Grade, or Trimmer Machine;
Multiple Machines such as Air Compressors, Welding Machines,
Generators, Pumps; Pavement Breaker or Tamping Machine, Mighty
Mite or similar type; Pickup Sweeper 1 cu yd & over hopper
capacity; Horizontal Boring Machine power actuated over 6
inches; Pugmill; Pumpcrete; Rubber Tired Farm Tractor with
Backhoe attachment; Scraper; Self-Propelled Soil Stabilizer;
Slip Form (power driven) paving; Tractor, Bulldozer; Wheel
type Tractor over 50 hp with PTO; Trenching Machine excludes
walk behind Trencher; Tub Grinder, Morbark or similar type; Well Point installation or Dismantling.

GROUP 5: Air Compressor 600 cfm or over; Bituminous Roller under 8 tons; Concrete Saw multiple blade; Form Trench Digger (power); Front End Skid Steer up to 1 cu yd; Gunite, Gunall; Hydraulic Log Splitter; Loader, Barber Greene or similar; Post Hole Driving Machine/Post Hole Auger; Power Actuated Auger & Boring Machine; Power Actuated Jack; Pump; Self-Propelled Chip Spreader (Flaherty or similar); Sheep Foot Compactor with blade 200 hp & over; Shouldering Machine (Power) APSCO or similar type including self-propelled Sand and Chip Spreader; Stump Chipper and Tree Chipper; Tree Farmer (Machine).

GROUP 6: Cat, Challenger or similar tractor when pulling Disk or Roller; Conveyor; Dredge Deck Hand; Fire Person or Tank Car Heater; Gravel Screening Plant (portable, not crushing or washing); Greaser (tractor); Lever Person; Oiler (Power Shovel, Truck Crane, Dragline, Crusher and Milling Machine; Power Sweeper; Sheep Foot Roller & Rollers on Gravel Compaction including vibrating rollers; Wheel type Tractor over 50 hp.

TRUCK DRIVER

GROUP 1................. $ 26.85 14.40
GROUP 2................. $ 26.30 14.40
GROUP 3................. $ 26.20 14.40
GROUP 4................. $ 25.95 14.40

TRUCK DRIVER CLASSIFICATIONS:

GROUP 1: Mechanic, Welder; Tractor Trailer; Truck hauling machinery including operation of hand and power operated winches.

GROUP 2: Four or more axle unit straight body truck.

GROUP 3: Bituminous Distributor driver; Bituminous Distributor (one person operation); Three Axle units.

GROUP 4: Bituminous Distributor Spray operator (rear and oiler); Dump Person; Greaser; Pilot Car; Rubber Tire self-propelled Packer under 8 tons; Two Axle unit; Slurry Operator; Tank Truck Tender (gas, road oil, water); Tractor under 50 hp.

Tunnel Miner................. $ 27.31 16.09

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WELDERS - Receive rate prescribed for craft performing operation to which welding is incidental.

=================================================================================

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END OF GENERAL DECISION
City of Duluth
Indemnification & Insurance Requirements
(Updated February 16, 2011)

(Please Be Sure These Requirements Can Be Met before Submitting Your Response)

INDEMNIFICATION CLAUSE

The Contractor will defend, indemnify and save the City harmless from all costs, charges, damages, and loss of any kind that may grow out of the matter covered by this contract. Said obligation does not include indemnification of the City for claims of liability arising out of the sole negligent or intentional acts or omissions of City but shall include but not be limited to the obligation to defend, indemnify and save harmless the City in all cases where claims of liability against the City arise out of acts or omissions of City which are derivative of the negligence or intentional acts or omissions of Contractor such as, and including but not limited to, the failure to supervise, the failure to warn, the failure to prevent such act or omission by Contractor and any other such source of liability. In addition, Contractor will comply with all local, state and federal laws, rules and regulations applicable to this contract and to the work to be done and things to be supplied hereunder.

INSURANCE

a. Contractor shall provide the following minimum amounts of insurance from insurance companies authorized to do business in the state of Minnesota, which insurance shall indemnify Contractor and City from all liability described in the paragraph above, subject to provisions of subparagraph below.

(1) Worker’s compensation in accordance with the laws of the state of Minnesota.

(2) Public Liability and Automobile Liability Insurance with limits not less than $1,500,000 Single Limit, and twice the limits provided when a claim arises out of the release or threatened release of a hazardous substance; shall be in a company approved by the city of Duluth; and shall provide for the following: Liability for Premises, Operations, Completed Operations, Independent Contractors, and Contractual Liability.

(3) City of Duluth shall be named as Additional Insured under the Public Liability, Excess/Umbrella Liability* and Automobile Liability, or as an alternate, Contractor may provide Owners-Contractors Protective policy, naming itself and the City of Duluth. Contractor shall also provide evidence of Statutory Minnesota Worker’s Compensation Insurance. Contractor to provide Certificate of Insurance evidencing such coverage with 30-days notice of cancellation, non-renewal or material change provisions included. The City of Duluth does not represent or guarantee that these types or limits of coverage are adequate to protect the Contractor's interests and liabilities.
*An umbrella policy with a “following form” provision is acceptable if written verification is provided that the underlying policy names the City of Duluth as an additional insured.

(4) If a certificate of insurance is provided, the form of the certificate shall contain an unconditional requirement that the insurer notify the City without fail not less than 30 days prior to any cancellation, non-renewal or modification of the policy or coverages evidenced by said certificate and shall further provide that failure to give such notice to City will render any such change or changes in said policy or coverages ineffective as against the City.

(5) The use of an “Acord” form as a certificate of insurance shall be accompanied by two forms – 1) ISO Additional Insured Endorsement (CG-2010 pre-2004) and 2) Notice of Cancellation Endorsement (IL 7002) or equivalent, as approved by the Duluth City Attorney’s Office.

b. The insurance required herein shall be maintained in full force and effect during the life of this Agreement and shall protect Contractor, its employees, agents and representatives from claims and damages including but not limited to personal injury and death and any act or failure to act by Contractor, its employees, agents and representatives in the negligent performance of work covered by this Agreement.

c. Certificates showing that Contractor is carrying the above described insurance in the specified amounts shall be furnished to the City prior to the execution of this Contract and a certificate showing continued maintenance of such insurance shall be on file with the City during the term of this Contract.

d. The City shall be named as an additional insured on each liability policy other than the workers’ compensation policies of the Contractor.

e. The certificates shall provide that the policies shall not be changed or canceled during the life of this Contract without at least 30 days advanced notice being given to the City.

f. Contractor shall be required to provide insurance meeting the requirements of this Paragraph unless Contractor successfully demonstrates to the satisfaction of the City Attorney, in the exercise of his or her discretion, that such insurance is not reasonably available in the market. If Contractor demonstrates to the satisfaction of the City Attorney that such insurance is not reasonably available, the City attorney may approve an alternative form of insurance which is reasonably available in the market which he or she deems to provide the highest level of insurance protection to the City which is reasonably available.

Procedure verified by:

[Signature]

Don Douglas, Claims Adjuster
Duluth City Attorney’s Office

Date 9/20/12
PRE-2004 CG 2010

A. **Section II - Who Is an Insured**

The person or organization shown in the Schedule, but only with respect to liability arising out of your ongoing operations performed for that insured.

**********************************************************************************************

**NOTICE OF CANCELLATIONS ENDORSEMENT**

**IL-7002 (10-90)**

All Coverage Parts included in this policy are subject to the following condition: If we cancel this policy for any reason other than non-payment of premium, we will mail advance notice to the person(s) or organization(s) as shown in the Schedule.

<table>
<thead>
<tr>
<th>Person or Organization (Name and Address)</th>
<th>Advance Notice (Days)</th>
</tr>
</thead>
<tbody>
<tr>
<td>City of Duluth, Purchasing Division, Room 100 City Hall, 411 West First Street, Duluth, MN 55802</td>
<td>30</td>
</tr>
</tbody>
</table>
REQUEST FOR BID

DATE 8/19/2014

BID # 14-0561

RETURN BY BID OPENING TIME TO:
PURCHASING DIVISION
100 CITY HALL
Duluth, MN 55802
Dennis Sears
PHONE: 218-730-5340
FAX: 218-730-5921

AVONDALE STREET STORMWATER DRAINAGE IMPROVEMENTS

BID OPENING DATE AT 2:00 P.M. ON THURSDAY SEPTEMBER 4, 2014

Note: all bids must be written, signed and transmitted in a sealed envelope, plainly marked with the bid number, subject matter, and opening date. The City of Duluth reserves the right to split award where there is a substantial savings to the City, waive informalities and to reject any and all bids. Bidder should state in proposal if bid price is based on acceptance of total order. Sales tax is not to be included in the unit price. Bidder to state freight chargers if the proposal F.O.B. is shipping point, freight not allowed. Low bid will not be the only consideration for award of bid. All pages shall be signed or initialed by authorized bidder's representative as indicated at the bottom of the page(s) of the request for bid form.

RETURN BID IN DUPLICATE WITH DUPLICATE DESCRIPTIVE LITERATURE FOR BID RESULTS, ENCLOSE A SELF-ADDRESSED, STAMPED ENVELOPE WITH BID

BID DEPOSIT REQUIREMENTS: 5% OF BID AMOUNT
Deposit shall mean cash, cashier's check or corporate surety bond payable to or in favor of the City of Duluth.

A PERFORMANCE BOND AND A PAYMENT BOND shall be required of the successful bidder, BOTH in the full amount of the bid.

INSURANCE CERTIFICATE required per attached requirements.
Designated F.O.B. Point:
Engineering Division
Tax:
Federal Excise Tax Exemption Account No. 41-74-0056 K

Vendor Email Address: ______________________________________________________________________

FREIGHT CHARGE $ _____________

NAME: ____________________________________________

TOTAL BID PRICE $ _____________

ADDR1: __________________________________________

TO INCLUDE ANY ADDITIONAL PAGES.

ADDR2: __________________________________________

PAYMENT TERMS $ _____________

ADDR3: __________________________________________

F.O.B. POINT $ _____________

BY: ______________________________________________________________________

DELIVERY DATE $ _____________

(Print) (Title)

(Signature) (Tele. #)

**NOTE: Please self-identify as an MBE _____ or WBE _____

The City of Duluth is an Equal Opportunity Employer.
CITY OF DULUTH

DATE: 8/19/2014
BID #: 14-0561

**********SCHEDULE OF PRICES**********

AVONDALE STREET STORMWATER DRAINAGE IMPROVEMENTS

City Project 1346
Bid No. 14-0561

Make all extensions and total the bid.

<table>
<thead>
<tr>
<th>Item No.</th>
<th>Spec. #</th>
<th>Qty</th>
<th>U/OM</th>
<th>Item Description</th>
<th>Unit Price</th>
<th>Total Price</th>
</tr>
</thead>
<tbody>
<tr>
<td>001</td>
<td></td>
<td>1</td>
<td>Each</td>
<td>Exhibit A (Must Be Returned With The Bid)</td>
<td>$</td>
<td>$</td>
</tr>
</tbody>
</table>

TOTAL $ __________

ADDENDUM RECEIPT ACKNOWLEDGMENTS:

ADDENDUM NO. ___ , DATED ___
ADDENDUM NO. ___ , DATED ___
ADDENDUM NO. ___ , DATED ___

TOTAL BID IN WORDS:

__________________________

CONTRACTOR NAME:

__________________________

THE CONTRACTOR AGREES TO ALL OF THE PROVISIONS CONTAINED IN THE CONTRACT DOCUMENTS. ENCLOSED HEREWTH FIND A CERTIFIED CHECK OR BID BOND IN THE AMOUNT OF AT LEAST 5% OF THE AMOUNT OF PROPOSAL MADE PAYABLE TO THE CITY OF DULUTH AS A PROPOSAL GUARANTEE WHICH IT (see additional page(s))

(Initial)
IS AGREED BY THE UNDERSIGNED WILL BE FORFEITED IN THE EVENT THE FORM OF CONTRACT AND BOND IS NOT EXECUTED, IF AWARDED TO THE UNDERSIGNED.

The bidder hereby certifies that he/she has received or viewed on-line the City of Duluth Public Works/Utilities Department - Engineering Division Standard Construction Specifications 2013 including supplements and has incorporated the terms hereof in its bid.

SIGNED: ______________________ FOR

A PARTNERSHIP (OR)

A CORPORATION INCORPORATED UNDER THE LAWS OF THE STATE OF:

______________________________
PRESIDENT

______________________________
VICE-PRES.

______________________________
SECRETARY

______________________________
TREASURER

______________________________
ADDRESS(ES)

BEING DULLY SWORN, DEPOSES AND SAYS THAT THERE ARE NO OTHER PERSONS COMPRISING ABOVE COMPANY OR FIRM THAN THE ABOVE NAMES, AND THAT THERE ARE NO PERSONS OR CORPORATIONS INTERESTED IN THE FORGOING PROPOSALS, EITHER AS PRINCIPAL OR SUBCONTRACTOR, OTHER THAN THE ABOVE NAMES; ALSO THAT THE PROPOSALS ARE MADE WITHOUT ANY CONNECTION WITH ANY PERSON OR PERSONS MAKING ANY PROPOSAL FOR THE ABOVE WORK; THAT THEY ARE IN ALL RESPECTS FAIR AND WITHOUT COLLUSION OR FRAUD; AND THAT NO PERSON ACTING IN ANY OFFICIAL CAPACITY FOR THE CITY OF DULUTH IS DIRECTLY OR INDIRECTLY INTERESTED THEREIN, OR IN ANY PORTION OF THE PROFIT THEREOF.

(see additional page(s))
SUBSCRIBED AND SWORN TO BEFORE ME THIS

DAY OF A.D.,

NOTARY PUBLIC

IMPORTANT NOTE BIDDERS:
PLEASE DISREGARD THE NOTE ON PAGE 1 REGARDING SALES TAX FOR THIS BID. ALL APPLICABLE SALES AND/OR USE TAXES ARE TO BE INCLUDED IN BID PRICING. ALSO, ALL BIDS ARE TO BE F.O.B. JOBSITE. THE BLANK ON PAGE ONE FOR FREIGHT IS TO BE LEFT BLANK.

Delivery Contact: Tom Pfaffer
Engineering
218-730-5104

(Initial)
<table>
<thead>
<tr>
<th>Line No.</th>
<th>Spec. No.</th>
<th>Description</th>
<th>Unit</th>
<th>Est. Qty</th>
<th>Unit Price</th>
<th>Total Price</th>
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</thead>
<tbody>
<tr>
<td>1</td>
<td>2021.501</td>
<td>MOBILIZATION</td>
<td>LUMP SUM</td>
<td>1</td>
<td></td>
<td></td>
</tr>
<tr>
<td>2</td>
<td>2101.511</td>
<td>CLEARING &amp; GRUBBING</td>
<td>LUMP SUM</td>
<td>1</td>
<td></td>
<td></td>
</tr>
<tr>
<td>3</td>
<td>2104.501</td>
<td>REMOVE METAL CULVERT</td>
<td>LN FT</td>
<td>67</td>
<td></td>
<td></td>
</tr>
<tr>
<td>4</td>
<td>2104.505</td>
<td>REMOVE BITUMINOUS PAVEMENT</td>
<td>SQ YD</td>
<td>141</td>
<td></td>
<td></td>
</tr>
<tr>
<td>5</td>
<td>2104.505</td>
<td>REMOVE CONCRETE PAVEMENT, 8&quot;</td>
<td>SQ YD</td>
<td>35</td>
<td></td>
<td></td>
</tr>
<tr>
<td>6</td>
<td>2104.511</td>
<td>SAWING CONCRETE PAVEMENT (FULL DEPTH)</td>
<td>LN FT</td>
<td>42</td>
<td></td>
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<td>7</td>
<td>2104.513</td>
<td>SAWING BITUMINOUS PAVEMENT (FULL DEPTH)</td>
<td>LN FT</td>
<td>289</td>
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<tr>
<td>8</td>
<td>2105.603</td>
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<td>LN FT</td>
<td>779</td>
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<td>9</td>
<td>2211.503</td>
<td>AGGREGATE BASE (CV) CLASS 5</td>
<td>CU YD</td>
<td>40</td>
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<tr>
<td>10</td>
<td>2301.501</td>
<td>CONCRETE PAVEMENT, 8&quot; (HIGH EARLY CONCRETE MIX)</td>
<td>SQ YD</td>
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<tr>
<td>11</td>
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<td>TYPE SP 9.5 WEARING COURSE MIXTURE (3,C)</td>
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<td>30</td>
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</tr>
<tr>
<td>12</td>
<td>2501.515</td>
<td>12&quot; CS PIPE APRON</td>
<td>EACH</td>
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<tr>
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<td>12&quot; CMP PIPE CULVERT</td>
<td>LN FT</td>
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<td></td>
<td></td>
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<td>14</td>
<td>2503.511</td>
<td>12&quot; CPP PIPE SEWER</td>
<td>LN FT</td>
<td>46</td>
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<td></td>
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<td>15</td>
<td>2504.604</td>
<td>3&quot; POLYSTYRENE INSULATION</td>
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<td>2563.601</td>
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<td>LUMP SUM</td>
<td>1</td>
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<td></td>
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<td>17</td>
<td>2564.515</td>
<td>SIGN SUPPORT</td>
<td>EACH</td>
<td>1</td>
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<tr>
<td>18</td>
<td>2564.602</td>
<td>SIGNS - REMOVE AND REINSTALL</td>
<td>EACH</td>
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<td></td>
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<td>SODDING</td>
<td>SQ YD</td>
<td>893</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**TOTAL:** __________
AFFIDAVIT AND INFORMATION REQUIRED OF BIDDERS

Affidavit of Non-Collusion:

I hereby swear (or affirm) under penalty of perjury:

1) That I am the bidder (if the bidder is an individual), a partner in the bidder (if the bidder is a partnership), or an officer or employee of the bidding corporation having authority to sign on its behalf (if the bidder is a corporation);

2) That the attached bid or bids have been arrived at by the bidder independently and have been submitted without collusion with and without agreement, understanding, or planned common course of action with any other vendor or materials, supplied, equipment or services described in the invitation to bid, designed to limit independent bidding or competition;

3) That the contents of the bid or bids have not been communicated by the bidder or its employees or agents to any person not an employee or agent of the bidder or its surety on any bond furnished with the bid or bids and will not be communicated to any such person prior to the official opening of the bid or bids; and

4) That I have fully informed myself regarding the accuracy of the statements made in this affidavit.

Signed:____________________________________________________

Firm Name:________________________________________________

Subscribed and sworn to me before this____ day of__________________, __________

NOTARY PUBLIC______________________________________________

My commission expires:________________________________________

Bidder’s E.I. Number__________________________________________

(Number used on employer’s quarterly Federal Tax return)
IMPORTANT - NOTICE TO BIDDER

On the envelope submitting your bid, it is imperative:

1. That your name and address appear in the UPPER left corner.

2. That the bottom portion of this label be filled in and pasted on the LOWER left corner.

<table>
<thead>
<tr>
<th>BID NO.</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>DATE OF OPENING</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
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</tbody>
</table>

<table>
<thead>
<tr>
<th>TIME OF OPENING</th>
</tr>
</thead>
<tbody>
<tr>
<td>A.M. P.M.</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>DESCRIPTION</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
</tr>
</tbody>
</table>
EQUAL EMPLOYMENT OPPORTUNITY (EEO) AFFIRMATIVE ACTION
POLICY STATEMENT & COMPLIANCE CERTIFICATE

TO: City of Duluth, Minnesota PROJECT NUMBER & DESCRIPTION __________________________

______________________________________________________________

FROM: ____________________________________________________________

______________________________________________________________

(FIRM’s name, address, telephone number)

A) Employment: It is the policy of the above named FIRM to afford equal opportunity for employment to all individuals regardless of race, color, creed, religion, national origin, ancestry, age, sex, marital status, status with respect to public assistance and/or disability. The FIRM will take affirmative action to ensure that we will: (1) recruit, hire, and promote all job classifications without regard to race, color, creed, religion, national origin, ancestry, age, sex, marital status, status with respect to public assistance, and/or disability, except where sex is a bona fide occupational qualification; (2) base decisions on employment so as to further the principle of equal employment opportunity; (3) ensure that promotion decisions are in accord with the principles of equal employment opportunity by imposing only valid requirements for promotional opportunities; (4) ensure that all personnel actions such as compensation, benefits, transfers, layoffs, return from layoff, FIRM sponsored training, education tuition assistance, social and recreational programs will be administered without regard to race, color, creed, religion, national origin, ancestry, age, sex, marital status, status with respect to public assistance, and/or disability. The FIRM also intends full compliance with Veteran affirmative action requirements. Additionally, minority and female employees shall be encouraged to participate in all FIRM activities and refer applicants.

I have designated (name) ________________________________ to direct the establishment of and to monitor the implementation of personnel procedures to guide the FIRM’s affirmative action program. Where PROJECTS exceed $500,000, this official shall also serve as the liaison officer that administers the FIRM’s “Minority Business Enterprise Program.” This official is charged with designing and implementing audit and reporting systems that will keep management informed on a monthly basis of the status of the equal opportunity area.

Supervisors have been made to understand that their work performance is being evaluated on the basis of their equal opportunity efforts and results, as well as other criteria. It shall be the responsibility of the FIRM and its supervisors to take actions to prevent harassment of employees placed through affirmative action efforts.

B) Reports: Unless exempted by law and regulation, the FIRM shall make available and file those reports related to equal opportunity as may be required by the City of Duluth and State and Federal compliance agencies. Requirements and Reports are defined in 41CFR60 “Compliance Responsibility for Equal Opportunity” published by the U. S. Department of Labor which is incorporated herein by reference. Additional requirements are defined in various State and Federal Civil Rights Legislation and Rules promulgated thereunder.

C) Nonsegregated Facilities: The FIRM certifies that it does not maintain or provide for its employees any segregated facilities at any of its establishments and that it does not permit its employees to perform their services at any location, under its control, where segregated facilities are maintained. The FIRM certifies that it will not maintain or provide for its employees any segregated facilities at any of its establishments and that it will not permit its employees to perform their services at any location, under its control, where segregated facilities are maintained. The FIRM agrees that a breach of this
certification is a violation of the Equal Opportunity Clause in this certificate. As used in this Certification, the term “segregated facilities” means any waiting rooms, work area, rest rooms and wash rooms, restaurants and other eating areas, time clocks, locker rooms and other storage or dressing areas, parking lots, drinking fountains, recreation for entertainment area, transportation, and housing facilities provided for employees which are segregated by explicit directive or are, in fact, segregated on the basis of race, color, religion, or national origin, because of habit, local custom, or otherwise.

D) **Affirmative Action Compliance Program:** Unless exempted by regulation and law, the FIRM— if the FIRM has 50 or more employees and if the value of current contracts with the City of Duluth exceeds $50,000—shall prepare and maintain a written affirmative action compliance program that meets the requirement as set forth in 41 CFR 60.

E) **Non-Compliance:** The FIRM certifies that it is not currently in receipt of any outstanding letters of deficiencies, show cause, probable cause, or other such notification of non-compliance with EEO Laws and Regulations.

F) **Employment Goals - “Construction” Projects:** It shall be the goal of the FIRM if the PROJECT is of a construction nature that in all on-site employment generated that no less than 3% of the on-site workforce will be minority employees and that no less than 7% of the on-site workforce will be female employees. Further, it is the goal of the FIRM if the PROJECT is of a construction nature that in all on-site employment generated that no less than 3% of the work hours generated shall be worked by minority employees and that no less than 7% of the work hours generated shall be worked by female employees.

G) **Subcontractors:** The FIRM will for all its PROJECT subcontractors regardless of tier (unless exempted by law and regulation) that received in excess of $2,500 require that: (1) the subcontractor shall execute an “EEO Statement and Certification” similar in nature to this “Statement and Certification”, (2) said documentation to be maintained on file with the FIRM or subcontractor as may be appropriate.

Executed this ________ day of ________________, 20__ by:

________________________________________________________________________

Printed name and title

________________________________________________________________________

Signature

**NOTE:** In addition to the various remedies prescribed for violation of Equal Opportunity Laws, the penalty for false statements is prescribed in 18 U.S.C. 1001.
City of Duluth Purchasing Division

General Specifications

This document is intended to serve the city of Duluth, its Agents and Authorities. Each authority may issue their own purchase order and will be responsible for it. The City of Duluth Authorities are as follows:
1. Duluth Airport Authority
2. Spirit Mountain Recreational Area Authority
3. Duluth Entertainment and Convention Center
4. Duluth Transit Authority
5. Duluth Economic Development Authority
6. Duluth Housing and Redevelopment Authority

The city has a cooperative purchasing agreement with St. Louis county allowing the county to purchase from this bid when requested. St. Louis county will issue and be responsible for its own purchase orders.

10. Qualifications of Bidders:
The city may make such investigations as deemed necessary to determine the ability of the bidder to perform the work, and the bidder shall furnish to the city all such information and data for this purpose as the city may request. The city reserves the right to reject any bid if the evidence submitted by, or investigation of, such bidder fails to satisfy the city that such bidder is properly qualified to carry out the obligations of the contract and to complete the work contemplated therein. Conditional bids will not be accepted.

11. Addenda and Interpretaions:
Responses to general questions and clarifications of bids may be made at the discretion of the city. However, no interpretation of the meaning of the specifications or other pre-bid documents will be made to any bidder orally.

Every request for such interpretation should be in writing and delivered or sent by facsimile to the city purchasing agent or the buyer shown on the bid request, Duluth, Minnesota 55802, and to be given consideration must be received at least five days prior to the date fixed for the opening of bids.

12. Award of Contract - Rejection of Bids:
In determining the successful bidder, there will be considered in addition to price (par Ordinance 7050):
A. The ability, capacity and skill of the bidder to perform the contract.
B. The character, integrity, reputation, judgement, experience and efficiency of the bidder.
C. The quality of performance of previous contract.
D. The sufficiency of the financial re sources, equipment available and ability of the bidder to perform the contract.

13. Quantities:
The city reserves the right to increase or decrease the quantities of items on this bid as required. Any exception to this provision must be noted by the vendor in its bid or proposal.

14. Wages and Salaries:
A. Attention of bidders is particularly called to the requirements concerning the payment of not less than the prevailing wage and salary rates specified in the contract documents and the conditions of employment with respect to certain categories and classifications of employees for all "Public Works" type projects estimated to exceed $2,000.

15. Validity of Bids:
All bids shall be valid for 60 days from the date of bid opening, unless an other period is noted in bid documents or if an extension is agreed upon, in writing prior to the end of the 60 day period.

16. Facsimile Bids:
Facsimile bids are acceptable if: bids are received at the designated facsimile number prior to the scheduled bid opening and an original copy of the bid, identical to the "faxed" bid, is received within 48 hours of the bid opening. Facsimile bid deposits are not acceptable. The city shall endeavor to keep bids confidential, but will accept no responsibility for the confidentiality of facsimile bids. All bids or proposals returned by facsimile are understood to incorporate these general specifications.

17. Insurance:
All vendors doing work on city property, except vendors making routine deliveries, shall submit an insurance certificate indicating insurance coverage as per current city requirements.

18. Website:
duluth.mn.us/city/service/purchasing/index.htm

The word "City" used in these specifications shall mean the city of Duluth and/or its Authorities.

1. Instruction to Bidders:
A. All bids must be completed in a non erasable format on the format provided by city of Duluth, errors are to be cross ed out and initial ed.
B. All bids must be enclosed in a sealed envelope.
C. The bid enclosure and white sticker must be placed on the outside of envelope.
D. The bid enclosure shall be addressed to the city of Duluth, Purchasing Division, Room 100 City Hall, Duluth, Minnesota 55802.

2. Non-Collusion Clause:
Vendor, their agent/employed or any other party agrees to comply and fully perform in accordance with the law and state that they have not, directly or indirectly, entered into an agreement or understanding participated in any ejection, or otherwise taken any action in restraint of free competition biding in connection with the proposal submitted with respect to the above-referenced invitation to bid. Vendor fully acknowledges that such an act of non-compliance may be deemed unlawful and would be considered a violation of the law and subject to prosecution.

3. Award of Contract - Rejection of Bids:
The contract will be awarded to the responsible bidder submitting the lowest bid complying with the conditions of the Invitation for bids. The bidders, to whom the award is made, will be notified at the earliest possible date. The city of Duluth, however, reserves the right to reject any and all bids and to waive any infirmity in bids received whenever such rejection or waiver is in its interest.

5. Obligation of Bidder:
At the time of the opening of bids, each bidder will be presumed to have read and to be thoroughly familiar with the plans, specifications and contract documents (including all addenda). The failure or omission of any bidder to examine any form, instrument, or document shall in no way relieve any bidder from any obligation in respect to their bid.

6. Liquidated Damages for Failure to Enter Contract:
The successful bidder, upon their failure or refusal to accept a purchase order or execute and deliver the contract and bonds as required, shall forfeit to the city, as liquidated damages for such failure or refusal, the security deposited with their bid (if required).

7. Completion of Bid Request:
The city may consider as irregular any bid on which there is an alteration or of departure from the bid form hereto attached and at its option may reject the same.

8. E.O.O. Regulations:
Contractor will be required to comply with all applicable Equal Employment Opportunity (E.O.C) laws and regulations. Affirmative action must be taken to insure that the employees and applicants for employment are not discriminated against because of their race, color, creed, sex or national origin.

9. Participation:

FORM 13/01/94
Revised: 06/28/02