EQUAL EMPLOYMENT OPPORTUNITY (EEO) AFFIRMATIVE
ACTION POLICY STATEMENT & COMPLIANCE CERTIFICATE

TO: City of Duluth, Minnesota PROJECT: ____________________________________________

FROM: ________________________________________________________________________

______________________________________________________________________________

(FIRM’S Name, Address, Telephone Number)

A. **Employment:** It is the policy of the above named FIRM to afford equal opportunity for
employment to all individuals regardless of race, color, creed, religion, national origin, ancestry,
age, sex, marital status, status with respect to public assistance, and/or disability. The FIRM will
take affirmative action to ensure that we will, (1) recruit, hire and promote all job classifications
without regard to race, color, creed, religion, national origin, ancestry, age, sex, marital status,
status with respect to public assistance, and/or disability, except where sex is a bona fide
occupational qualification; (2) base decisions on employment so as to further the principle of equal
employment opportunity; (3) ensure that promotion decisions are in accord with the principles of
equal employment opportunity by imposing only valid requirements for promotional opportunities;
(4) ensure that all personnel actions such as compensation, benefits, transfers, layoffs, return from
layoff, FIRM sponsored training, education tuition assistance, social and recreational programs will
be administered without regard to race, color, creed, religion, national origin, ancestry, age, sex,
marital status, status with respect to public assistance, and/or disability. The FIRM also intends
full compliance with Veteran affirmative action requirements. Additionally, minority and female
employees shall be encouraged to participate in all FIRM activities and refer applicants.

I have designated (name)_______________________ to direct the establishment of and to
monitor the implementation of personnel procedures to guide the FIRM’s affirmative action
program. Where PROJECTS exceed $500,000, this official shall also serve as the liaison officer
that administers the FIRM’s “Minority Business Enterprise Program”. This official is charged with
designing and implementing audit and reporting systems that will keep management informed on
a monthly basis of the status of the equal opportunity area.

Supervisors have been made to understand that their work performance is being evaluated on the
basis of their equal opportunity efforts and results, as well as other criteria. It shall be the
responsibility of the FIRM and its supervisors to take actions to prevent harassment of employees
placed through affirmative action efforts.
B. **Reports:** Unless exempted by law and regulation, the FIRM shall make available and file those reports related to equal opportunity as may be required by the City of Duluth and State and Federal compliance agencies. Requirements and Reports are defined in 41CFR60 “Compliance Responsibility for Equal Opportunity” published by the U.S. Department of Labor which is incorporated herein by reference. Additional requirements are defined in various State and Federal Civil Rights Legislation and Rules promulgated thereunder.

C. **Nonsegregated Facilities:** The FIRM certifies that it does not maintain or provide for its employees any segregated facilities at any of its establishments, and that it does not permit its employees to perform their services at any location, under its control, where segregated facilities are maintained. The FIRM certifies that it will not maintain or provide for its employees any segregated facilities at any of its establishments, and that it will not permit its employees to perform their services at any location, under its control, where segregated facilities are maintained. The FIRM agrees that a breach of this certification is a violation of the Equal Opportunity Clause in this certificate. As used in this Certification, the term “segregated facilities” means any waiting rooms, work area, rest rooms and wash rooms, restaurants and other eating areas, time clocks, locker rooms and other storage or dressing areas, parking lots, drinking fountains, recreation for entertainment area, transportation, and housing facilities provided for employees which are segregated by explicit directive or are in fact segregated on the basis of race, color, religion, or national origin, because of habit, local custom or otherwise.

D. **Affirmative Action Compliance Program:** Unless exempted by regulation and law, the FIRM if the FIRM has 50 or more employees and if the value of current contracts with the City of Duluth exceeds $50,000 shall prepare and maintain a written affirmative action compliance program that meets the requirements as set forth in 41CFR60.

E. **Non-compliance:** The FIRM certifies that it is not currently in receipt of any outstanding letters of deficiencies, show cause, probable cause, or other such notification of non-compliance with EEO Laws and Regulations.

F. **Employment Goals - “Construction” Projects:** It shall be the goal of the FIRM if the PROJECT is of a construction nature that in all on-site employment generated that no less than 3% of the on-site workforce will be minority employees and that no less than 7% of the on-site workforce will be female employees. Further, it is the goal of the FIRM if the PROJECT is of a construction nature that in all on-site employment generated that no less than 3% of the work hours generated shall be worked by minority employees and that no less than 7% of the work hours generated shall be worked by female employees.
G. **Subcontractors:** The FIRM will for all its PROJECT subcontractors regardless of tier (unless exempted by law and regulation) that received in excess of $2,500 will require that; (1) the subcontractor shall execute an “EEO Statement and Certification” similar in nature to this “Statement and Certification” (2) said documentation to be maintained on file with the FIRM or subcontractor as may be appropriate.

Executed this ____________________day__________________________19_____ by:

_____________________________________________________________________________

(Printed Name & Title) (Signature)

**NOTE:** In addition to the various remedies prescribed for violation of Equal Opportunity Laws the penalty for false statements is prescribed in 18 U.S.C. 1001.